

Program Verification Using Cyclic Proof

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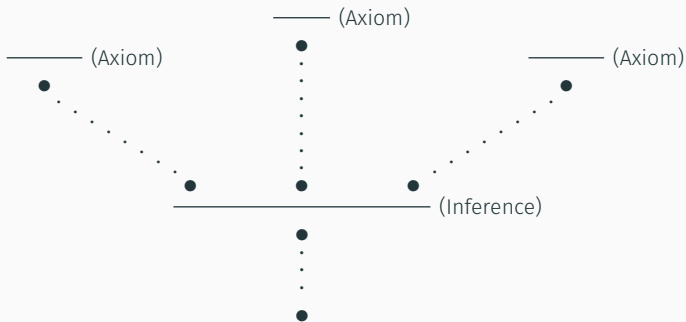
University College London

Programming Principles, Logic and Verification Research Group (PPLV)

Computer Laboratory Programming Research Group Seminar

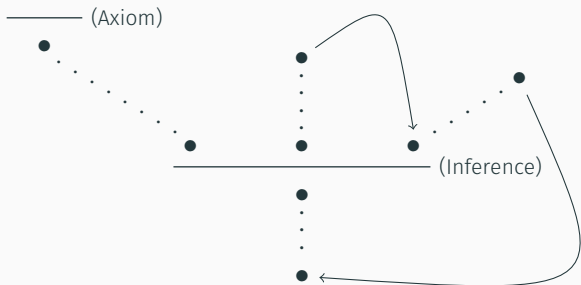
Thursday 19th May 2016

Prologue: It's Proof, But Not As We Know It



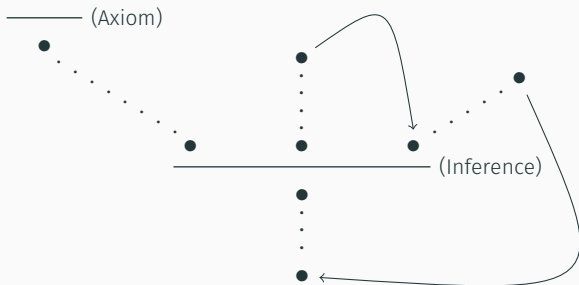
- We are all familiar with proofs as finite trees

Prologue: It's Proof, But Not As We Know It



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- But what if we allow proofs to be **cyclic graphs** instead?

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- But what if we allow proofs to be **cyclic graphs** instead?
- Cyclic proofs must satisfy a **global soundness** property

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- Why cyclic proof?
 - It **subsumes** standard induction
 - It can help **discover** inductive hypotheses
 - Termination arguments can often be **extracted** from cyclic proofs



James Brotherston



Alex Simpson



Richard Bornat



Cristiano Calcagno



Dino Distefano



Nikos Gorogiannis

Example: First Order Logic

- Assume signature with zero, successor, and equality
- Allow **inductive predicate definitions**, e.g.

$$\frac{}{N 0} \quad \frac{N x}{N sx} \quad \frac{}{E 0} \quad \frac{E x}{O sx} \quad \frac{O x}{E sx}$$

Example: First Order Logic

- Assume signature with zero, successor, and equality
- Allow **inductive predicate definitions**, e.g.

$$\frac{}{N 0} \quad \frac{N x}{N sx} \quad \frac{}{E 0} \quad \frac{E x \quad O x}{O sx} \quad \frac{}{E sx}$$

- These induce **unfolding** rules for the sequent calculus, e.g.

$$\frac{\Gamma \vdash \Delta, N t}{\Gamma \vdash \Delta, N st} (NR_2) \quad \frac{\Gamma, t = 0 \vdash \Delta \quad \Gamma, t = sx, N x \vdash \Delta}{\Gamma, N t \vdash \Delta} (\text{Case } N)$$

where x is fresh

A Cyclic Proof of $Nx \vdash Ex, Ox$

$$Nx \vdash Ex, Ox$$

A Cyclic Proof of $N x \vdash E x, O x$

$$\frac{x = 0 \vdash E x, O x \quad x = sy, N y \vdash E x, O x}{N x \vdash E x, O x} \text{ (Case N)}$$

A Cyclic Proof of $Nx \vdash Ex, Ox$

$$\frac{\frac{\vdash E0, O0}{x=0 \vdash Ex, Ox} (=L) \quad x=sy, Ny \vdash Ex, Ox}{Nx \vdash Ex, Ox} \text{ (Case N)}$$

A Cyclic Proof of $N x \vdash E x, O x$

$$\frac{\frac{\frac{}{\vdash E 0, O 0} (ER_1)}{x = 0 \vdash E x, O x} (=L) \quad x = sy, N y \vdash E x, O x}{N x \vdash E x, O x} \text{ (Case N)}$$

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$$\frac{\frac{\frac{}{\vdash E 0, O 0} (ER_1)}{x = 0 \vdash E x, O x} (=L) \quad \frac{N y \vdash E sy, O sy}{x = sy, N y \vdash E x, O x} (=L)}{N x \vdash E x, O x} \text{(Case N)}$$

A Cyclic Proof of $Nx \vdash Ex, O_x$

$$\frac{\frac{\frac{}{\vdash E 0, O 0} (ER_1)}{x = 0 \vdash E x, O x} (=L) \quad \frac{\frac{N y \vdash E y, O sy}{} (ER_2)}{N y \vdash E sy, O sy} (=L)}{x = sy, N y \vdash E x, O x} (=L)}{Nx \vdash E x, O x} \text{ (Case N)}$$

A Cyclic Proof of $Nx \vdash Ex, Ox$

$$\frac{\frac{\frac{}{\vdash E 0, O 0} (ER_1)}{x = 0 \vdash Ex, Ox} (=L) \quad \frac{\frac{\frac{Ny \vdash Ey, Oy}{} (OR_1)}{Ny \vdash Ey, O sy} (ER_2)}{Ny \vdash E sy, O sy} (=L)}{x = sy, Ny \vdash Ex, Ox} (=L)}{Nx \vdash Ex, Ox} \text{ (Case N)}$$

A Cyclic Proof of $N x \vdash E x, O x$

$$\begin{array}{c}
 \frac{}{\vdash E 0, O 0} \text{ (ER}_1\text{)} \\
 \hline
 x = 0 \vdash E x, O x \text{ (=L)}
 \end{array}
 \qquad
 \begin{array}{c}
 N x \vdash E x, O x \\
 \hline
 \text{ (Subst)} \\
 N y \vdash E y, O y \\
 \hline
 \text{ (OR}_1\text{)} \\
 N y \vdash E y, O sy \\
 \hline
 \text{ (ER}_2\text{)} \\
 N y \vdash E sy, O sy \\
 \hline
 x = sy, N y \vdash E x, O x \text{ (=L)}
 \end{array}$$

$$N x \vdash E x, O x \text{ (Case N)}$$

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$$\begin{array}{c}
 \frac{}{\vdash E 0, O 0} \text{ (ER}_1\text{)} \\
 \hline
 x = 0 \vdash Ex, Ox \text{ (=L)}
 \end{array}
 \qquad
 \begin{array}{c}
 \frac{Nx \vdash Ex, Ox}{Nx \vdash Ey, Oy} \text{ (Subst)} \\
 \frac{Nx \vdash Ey, Oy}{Ny \vdash Ey, O sy} \text{ (OR}_1\text{)} \\
 \frac{Ny \vdash Ey, O sy}{Ny \vdash E sy, O sy} \text{ (ER}_2\text{)} \\
 \frac{Ny \vdash E sy, O sy}{x = sy, Ny \vdash Ex, Ox} \text{ (=L)} \\
 \hline
 Nx \vdash Ex, Ox \text{ (Case N)}
 \end{array}$$

$Nx \vdash Ex, Ox \leftarrow$

A Cyclic Proof of $Nx \vdash Ex, Ox$

$$\begin{array}{c}
 \frac{}{\vdash E 0, O 0} \text{ (ER}_1\text{)} \\
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 \end{array}$$

$Nx \vdash Ex, Ox \leftarrow$

- Suppose $Nx \vdash Ex, Ox$ is **not** valid:

$\llbracket x \rrbracket_{m_1}$

A Cyclic Proof of $Nx \vdash Ex, O_x$

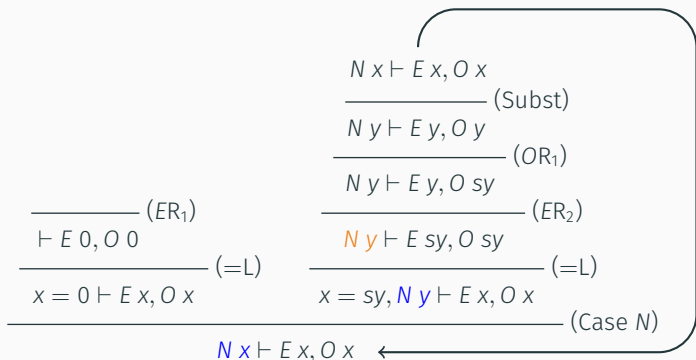
$$\begin{array}{c}
 \frac{}{\vdash E 0, O 0} \text{ (ER}_1\text{)} \\
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 x = 0 \vdash E x, O x \text{ (=L)}
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$$Nx \vdash E x, O x \leftarrow \text{(Case N)}$$

- Suppose $Nx \vdash Ex, O_x$ is **not** valid:

$$\llbracket x \rrbracket_{m_1} > \llbracket y \rrbracket_{m_2}$$

A Cyclic Proof of $Nx \vdash Ex, O_x$



- Suppose $Nx \vdash Ex, O_x$ is **not** valid:

$$\llbracket x \rrbracket_{m_1} > \llbracket y \rrbracket_{m_2} = \llbracket y \rrbracket_{m_3}$$

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 \frac{}{\vdash E 0, O 0} \text{ (ER}_1\text{)} \\
 \hline
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 \end{array}$$

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$$\llbracket x \rrbracket_{m_1} > \llbracket y \rrbracket_{m_2} = \llbracket y \rrbracket_{m_3} = \llbracket y \rrbracket_{m_4}$$

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$$\begin{array}{c}
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 \hline
 x = 0 \vdash E x, O x \text{ (=L)}
 \end{array}
 \qquad
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 \hline
 Ny \vdash E sy, O sy \text{ (=L)} \\
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 x = sy, Ny \vdash E x, O x \text{ (=L)} \\
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 \end{array}$$

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- Suppose $Nx \vdash E x, O_x$ is **not** valid:

$$[x]_{m_1} > [y]_{m_2} = [y]_{m_3} = [y]_{m_4} = [y]_{m_5}$$

A Cyclic Proof of $Nx \vdash Ex, O_x$

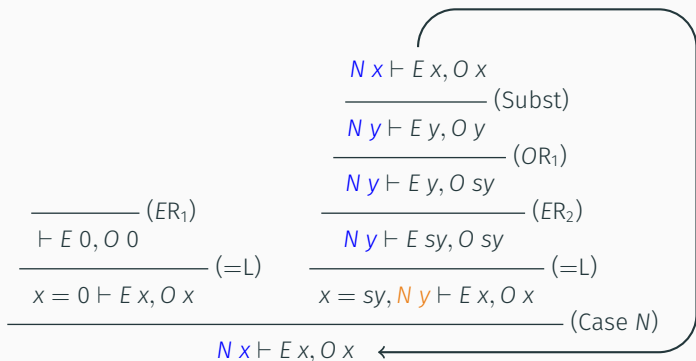
$$\begin{array}{c}
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- Suppose $Nx \vdash Ex, O_x$ is **not** valid:

$$\llbracket x \rrbracket_{m_1} > \llbracket y \rrbracket_{m_2} = \llbracket y \rrbracket_{m_3} = \llbracket y \rrbracket_{m_4} = \llbracket y \rrbracket_{m_5} = \llbracket x \rrbracket_{m_6}$$

A Cyclic Proof of $Nx \vdash Ex, O_x$



- Suppose $Nx \vdash Ex, O_x$ is **not** valid:

$$\llbracket x \rrbracket_{m_1} > \llbracket y \rrbracket_{m_2} = \llbracket y \rrbracket_{m_3} = \llbracket y \rrbracket_{m_4} = \llbracket y \rrbracket_{m_5} = \llbracket x \rrbracket_{m_6} > \llbracket y \rrbracket_{m_7} \dots$$

A Cyclic Proof of $Nx \vdash Ex, O_x$

$$\begin{array}{c}
 \frac{}{\vdash E 0, O 0} (ER_1) \\
 \hline
 x = 0 \vdash E x, O x \quad (=L)
 \end{array}
 \qquad
 \begin{array}{c}
 \frac{}{Nx \vdash E x, O x} \text{ (Subst)} \\
 \hline
 Ny \vdash E y, O y \quad (OR_1) \\
 \hline
 Ny \vdash E y, O sy \quad (ER_2) \\
 \hline
 Ny \vdash E sy, O sy \quad (=L) \\
 \hline
 x = sy, Ny \vdash E x, O x \quad (Case N)
 \end{array}$$

$$Nx \vdash E x, O x \quad \leftarrow$$

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$$n_1 > n_2 > n_3 > \dots$$

Example: Separation Logic

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 - $F * G$ denotes a heap h that can be split into **disjoint** sub-heaps h_1 and h_2 which model F and G respectively
- Inductive predicates now represent data-structures, e.g. linked-list segments:

$$\frac{x = y \wedge \mathbf{emp}}{\mathbf{ls}(x, y)}$$

$$\frac{x \mapsto z * \mathbf{ls}(z, y)}{\mathbf{ls}(x, y)}$$

A Cyclic Proof of List Segment Concatenation

$$\text{ls}(x, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)$$

A Cyclic Proof of List Segment Concatenation

$(x = y \wedge \text{emp}) * \text{ls}(y, z) \vdash \text{ls}(x, z)$

⋮

$x \mapsto v * \text{ls}(v, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)$

(Case ls)

$\text{ls}(x, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)$

A Cyclic Proof of List Segment Concatenation

$$\frac{\text{emp} * \text{ls}(x, z) \vdash \text{ls}(x, z)}{(x = y \wedge \text{emp}) * \text{ls}(y, z) \vdash \text{ls}(x, z)} \text{ (=L)}$$

⋮

$$\frac{x \mapsto v * \text{ls}(v, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)}{\text{ls}(x, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)} \text{ (Case ls)}$$

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$$\frac{\frac{\text{ls}(x, z) \vdash \text{ls}(x, z)}{\text{emp} * \text{ls}(x, z) \vdash \text{ls}(x, z)} (\equiv)}{(x = y \wedge \text{emp}) * \text{ls}(y, z) \vdash \text{ls}(x, z)} (=L)}{\dots}$$
$$\frac{x \mapsto v * \text{ls}(v, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)}{\text{ls}(x, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)} \text{(Case ls)}$$

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$$\frac{x \mapsto v * \text{ls}(v, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)}{\text{ls}(x, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)} (\text{Case ls})$$

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A Cyclic Proof of List Segment Concatenation

$$\begin{array}{c}
 \frac{}{\text{ls}(x, z) \vdash \text{ls}(x, z)} \text{ (Id)} \\
 \frac{}{\text{emp} * \text{ls}(x, z) \vdash \text{ls}(x, z)} \text{ (\equiv)} \\
 \frac{}{(x = y \wedge \text{emp}) * \text{ls}(y, z) \vdash \text{ls}(x, z)} \text{ (=L)} \\
 \vdots \\
 \frac{\frac{\frac{}{x \mapsto v \vdash x \mapsto v} \text{ (Id)} \quad \text{ls}(v, y) * \text{ls}(y, z) \vdash \text{ls}(v, z)}{\text{ls}(v, y) * \text{ls}(y, z) \vdash \text{ls}(v, z)} \text{ (*)}}{x \mapsto v * \text{ls}(v, y) * \text{ls}(y, z) \vdash x \mapsto v * \text{ls}(v, z)} \text{ (lsR}_2\text{)}}{x \mapsto v * \text{ls}(v, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)} \text{ (Case ls)} \\
 \frac{}{\text{ls}(x, y) * \text{ls}(y, z) \vdash \text{ls}(x, z)}
 \end{array}$$

A Cyclic Proof of List Segment Concatenation

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\leftarrow

Global Soundness for Cyclic Proof: Elements

- Fix some values that we can **trace** along paths in the proof
 - In our examples: inductive predicate instances

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- Map (**model**, trace-value) pairs to elements of a w.f. set
 - Inductive definitions induce a monotone operator φ on sets of models
 - Interpret the inductive definitions using the lfp

$$\varphi(\perp) \sqsubseteq \varphi(\varphi(\perp)) \sqsubseteq \dots \sqsubseteq \varphi^\omega(\perp) \sqsubseteq \dots \sqsubseteq \mu X. \varphi(X)$$

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- Map $(m, P \vec{t})$ to the least approximation $\varphi^\alpha(\perp)$ of P in which m appears

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 - Inductive definitions induce a monotone operator φ on sets of models
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$$\varphi(\perp) \sqsubseteq \varphi(\varphi(\perp)) \sqsubseteq \dots \sqsubseteq \varphi^\omega(\perp) \sqsubseteq \dots \sqsubseteq \mu X. \varphi(X)$$

- Map $(m, P \vec{t})$ to the least approximation $\varphi^\alpha(\perp)$ of P in which m appears
- Identify the **progression** points of the proof system, e.g.

$$\frac{x = y \wedge \mathbf{emp} \vdash F \quad x \mapsto v * \mathbf{ls}(v, y) \vdash F}{\mathbf{ls}(x, y) \vdash F} \quad (\text{Case ls})$$

Global Soundness for Cyclic Proof: General Principle

- Impose global soundness condition on proof graphs:
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 - Local soundness implies an infinite sequence of (counter) models
 - Global soundness then implies an infinite descending chain in a well-founded set

Cyclic Proof vs Explicit Induction

- Explicit induction requires induction hypothesis F up-front

$$\frac{}{N 0} \quad \frac{N x}{N sx} \quad \frac{\Gamma \vdash F[0] \quad \Gamma, F[x] \vdash F[sx], \Delta \quad \Gamma, F[t] \vdash \Delta}{\Gamma, N t \vdash \Delta} \text{ (Ind } N\text{)}$$

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- Cyclic proof enables '*discovery*' of induction hypotheses
- Complex induction schemes naturally represented by nested and overlapping cycles
- The explicit induction rules are **derivable** in the cyclic system (cf. Brotherston & Simpson)

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- Theorem (Brotherston & Simpson): the full infinite system is **cut-free complete**
- Cut is likely **not** eliminable in the cyclic sub-system

A Simple Imperative Language

(Terms)	$t ::= \text{nil} \mid x$	
(Boolean Expressions)	$B ::= t=t \mid t!=t$	
(Programs)	$C ::= \varepsilon$	(stop)
	$x:=t;C$	(assignment)
	$x:=[y];C \mid [x]:=y;C$	(load/store)
	$\text{free}(x);C \mid x:=\text{new};C$	(de/allocate)
	$\text{if } B \text{ then } C;C$	(conditional)
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- The following program deallocates a linked list

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$$\text{(free): } \frac{\{P\} C \{Q\}}{\{P * x \mapsto v\} \text{free}(x); C \{Q\}}$$

Handling Loops in Cyclic Proofs

- The standard Hoare rule for handling **while** loops:

$$\frac{\{B \wedge P\} C_1 \{P\} \quad \{\neg B \wedge P\} C_2 \{Q\}}{\{P\} \text{while } B \text{ do } C_1; C_2 \{Q\}}$$

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t is the loop variant

- With cyclic proof, it is enough just to **unfold** loops

$$\frac{\{B \wedge P\} C_1; \text{while } B \text{ do } C_1; C_2 \{Q\} \quad \{\neg B \wedge P\} C_2 \{Q\}}{\{P\} \text{while } B \text{ do } C_1; C_2 \{Q\}}$$

Example: Deallocating the Linked List

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while x!=nil do y:=[x];free(x);x=y;
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Example: Deallocating the Linked List

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{ls(x, nil)} while x != nil do y := [x]; free(x); x = y;
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$\{ls(x, nil)\}$ while $x \neq nil$ do $y := [x]; free(x); x = y;$ {emp}

$$\frac{\left\{ \begin{array}{l} x \neq nil \\ \wedge ls(x, nil) \end{array} \right\} y := [x]; free(x); x = y; \text{while } x \neq nil \text{ do } y := [x]; free(x); x = y; \{emp\}}{\left\{ \begin{array}{l} x = nil \\ \wedge ls(x, nil) \end{array} \right\} \varepsilon \{emp\}} \text{ (while)}$$

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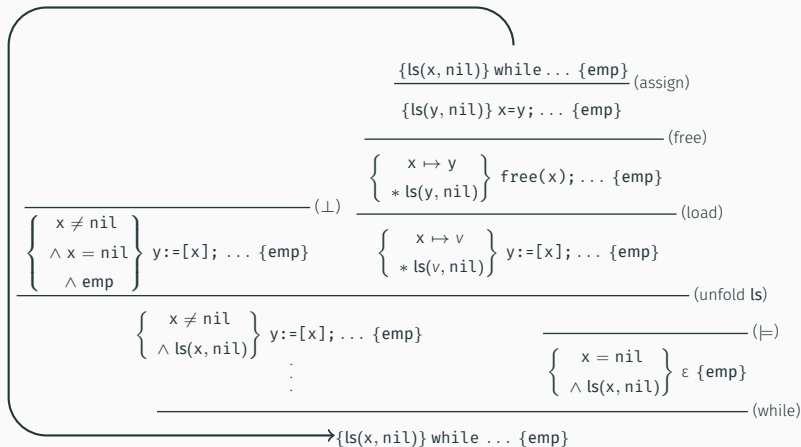
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$$\begin{array}{c}
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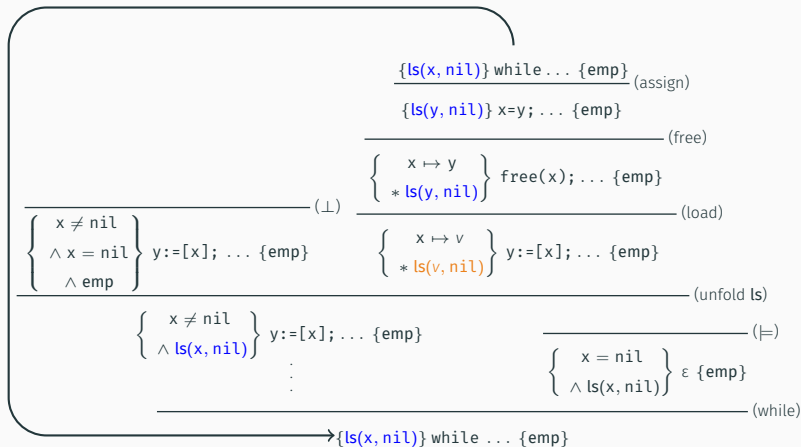
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(Procedures) `proc $p(\vec{x}) \{ C \}$`

(Programs) `$C ::= \dots \mid p(\vec{t}); C$`

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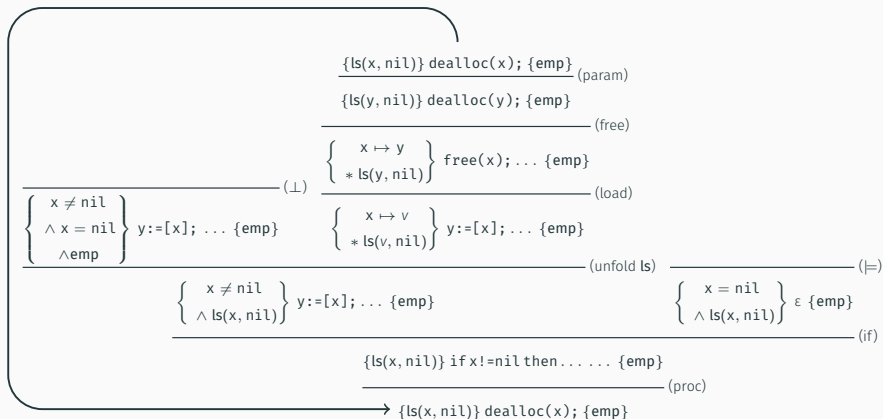
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- The following procedure recursively deallocates a linked list

```
proc dealloc(x) { if x!=nil then y:= [x]; free(x); dealloc(y); }
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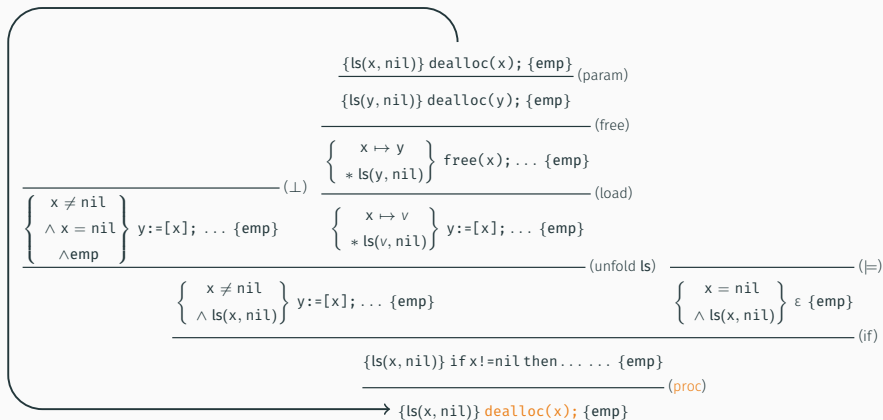
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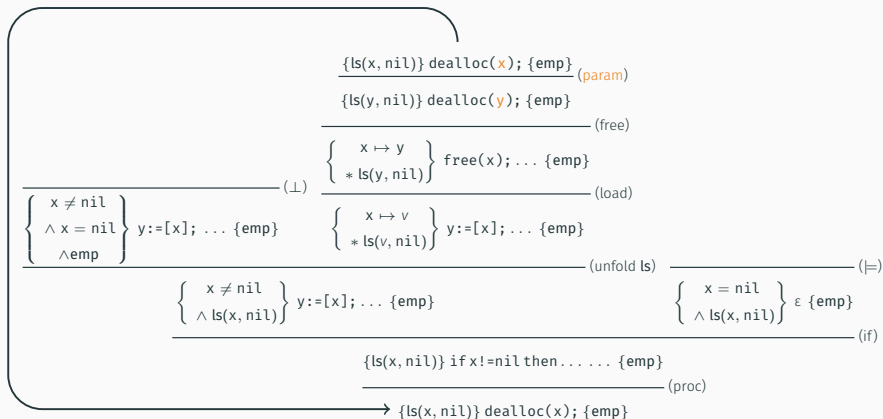
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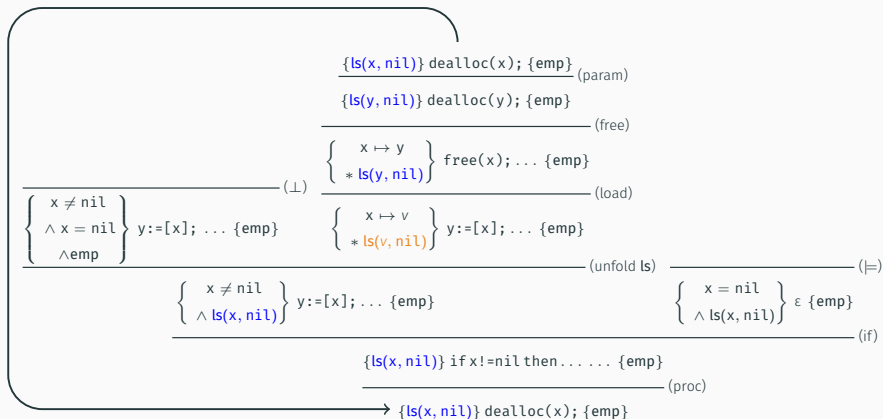
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A Subtlety with Procedures

```
proc shuffle(x) {  
  if x!=nil then  
    y:=x; reverse(y); shuffle(y); x:=y;  
}
```


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proc shuffle(x) {  
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}
```

⋮

{ls(y, nil)} reverse(y); {ls(y, nil)} ⋮

(frame)

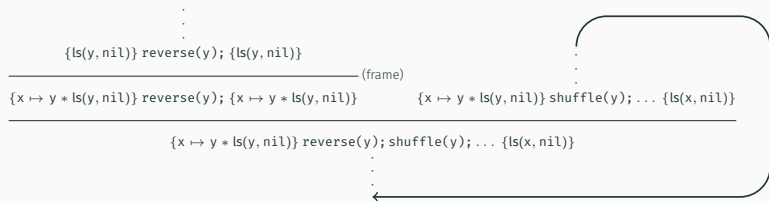
{x ↦ y * ls(y, nil)} reverse(y); {x ↦ y * ls(y, nil)} {x ↦ y * ls(y, nil)} shuffle(y); ... {ls(x, nil)}

{x ↦ y * ls(y, nil)} reverse(y); shuffle(y); ... {ls(x, nil)}

⋮

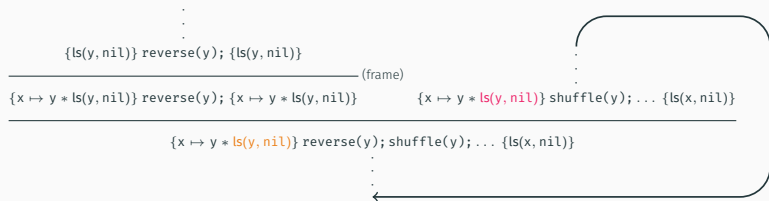
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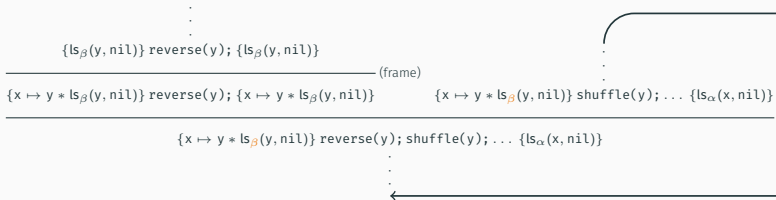


Solution: Explicit Approximation

- We explicitly label predicate instances, e.g. $ls_\alpha(x, y)$
 - indicates which approximation to interpret them in

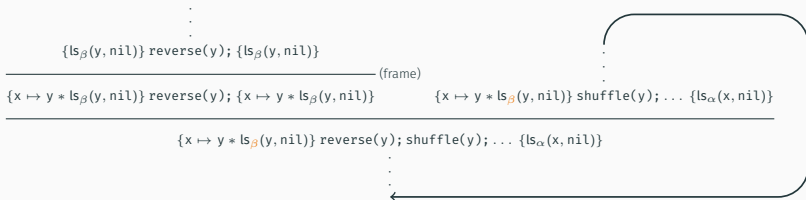
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 - indicates which approximation to interpret them in
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- We now need **constraints** on labels when unfolding, e.g.

$$\frac{\Gamma, \beta < \alpha, t = 0 \vdash \Delta \quad \Gamma, \beta < \alpha, t = sx, N_\beta x \vdash \Delta}{\Gamma, N_\alpha t \vdash \Delta} \text{ (Case N)}$$

The CYCLIST Verification Tool

- Our verification tool, CYCLIST, is implemented in OCaml
- Generic cyclic proof-search procedure using iterated depth-first search
 - Cycles are formed eagerly and discarded if unsound
- The generic proof search is **parametric**
 - Different proof systems implemented as separate modules

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- The generic proof search is **parametric**
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github.com/ngorogiannis/cyclist

Performance Results

Program	Time (ms)	LOC	Procs	Nodes	Back-links
list traverse	17	6	1	18	2
tree traverse	24	7	1	26	2
list deallocate	14	7	1	13	1
tree deallocate	21	8	1	24	2
tree reflect	20	9	1	22	2
list rev. deallocate	43	18	1	49	2
list append	28	21	1	34	1
list reverse	122	14	1	34	1
list reverse (tail rec.)	31	18	1	32	1
list reverse (with append)	47	28	2	56	2
list filter	27	16	1	29	1
list partition	31	25	1	40	1
list ackermann	126	17	1	50	3
queue	894	30	3	119	6
functional queue	254	28	3	62	1
shuffle	202	23	2	79	4

Results of Experimental Evaluation on 2.93GHz Intel Core i7-870, 8GB RAM

Concluding Remarks

- Ongoing work: inferring constraints on predicate labels automatically
- Some problems remain hard, of course
 - Generalisation of inductive hypotheses
 - Finding and applying lemmas
 - Synthesizing procedure summaries (see previous point!)

Thank You

Related Work

- Cyclic proofs for FOL with inductive predicates
(Brotherston & Simpson, LICS 2007)
- Cyclic proofs for Separation Logic with inductive predicates
(Brotherston, SAS 2007)
- Cyclic proofs verifying simple heap-manipulating **WHILE** language
(Brotherston, Bornat & Calcagno, POPL 2008)
- Implementations in Isabelle/HOL, then OCaml
(Brotherston, Distefano, Gorogiannis, CADE 2011/APLAS 2012)
- Abduction of inductive predicates using cyclic proof
(Brotherston & Gorogiannis, SAS 2014)
- Current Work — cyclic proofs for verifying:
 - **procedural** heap-manipulating language (Rowe, Brotherston)
 - **temporal** properties (Tellez Espinosa, Brotherston)