

Realizability in Cyclic Proof

Extracting Ordering Information for Infinite Descent

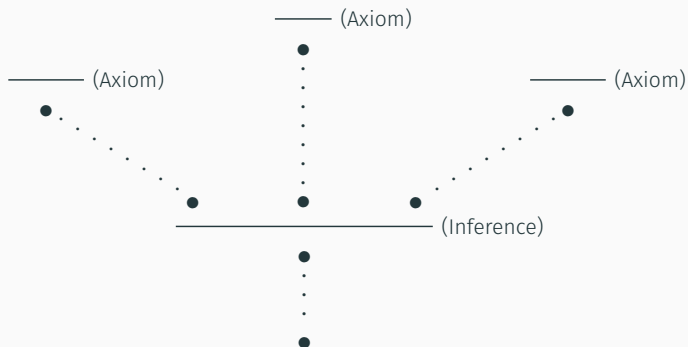
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Kent PLAS Seminar, Monday 23rd October 2017

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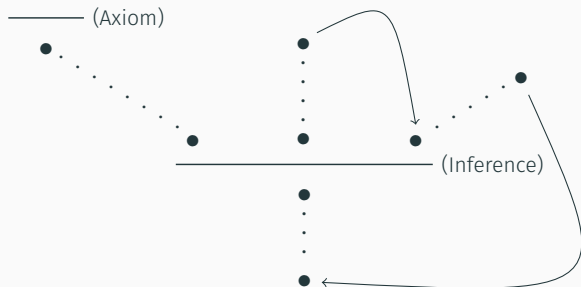
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What is Cyclic Proof?



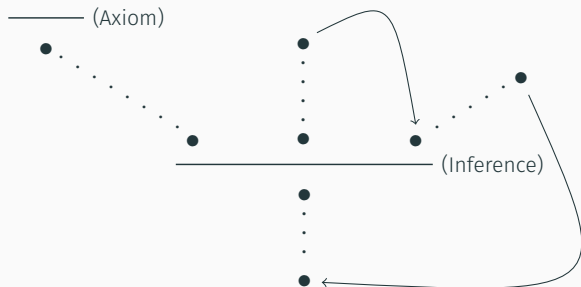
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- But what if we allow proofs to be **cyclic graphs** instead?

What is Cyclic Proof?



- We are all familiar with proofs as finite trees
- But what if we allow proofs to be **cyclic graphs** instead?
- Cyclic proofs must satisfy a syntactic **global trace** property

Example: First Order Logic

- Assume signature with zero, successor, and equality
- Allow **inductive predicate definitions**, e.g.

$$\frac{}{N0} \quad \frac{Nx}{Nsx} \quad \frac{}{E0} \quad \frac{Ex}{Osx} \quad \frac{Ox}{Esx}$$

Example: First Order Logic

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$$\frac{}{N0} \quad \frac{Nx}{Nsx} \quad \frac{}{E0} \quad \frac{Ex}{Osx} \quad \frac{Ox}{Esx}$$

- These induce **unfolding** rules for the sequent calculus, e.g.

$$\frac{\Gamma, t = 0 \vdash \Delta \quad \Gamma, t = sx, Nx \vdash \Delta}{\Gamma, Nt \vdash \Delta} \text{ (Case N) (where } x \text{ fresh)}$$

$$\frac{}{\Gamma \vdash \Delta, N0} \text{ (NR}_1\text{)}$$

$$\frac{\Gamma \vdash \Delta, Nt}{\Gamma \vdash \Delta, Nst} \text{ (NR}_2\text{)}$$

A Cyclic Proof of $\neg x \vdash Ex, Ox$

$\neg x \vdash Ex, Ox$

A Cyclic Proof of $\text{N}x \vdash \text{E}x, \text{O}x$

$$\frac{x = 0 \vdash \text{E}x, \text{O}x \quad x = sy, \text{N}y \vdash \text{E}x, \text{O}x}{\text{N}x \vdash \text{E}x, \text{O}x} \text{ (Case N)}$$

A Cyclic Proof of $Nx \vdash Ex, Ox$

$$\frac{\frac{\vdash E0, O0}{x = 0 \vdash Ex, Ox} (=L) \quad x = sy, Ny \vdash Ex, Ox}{Nx \vdash Ex, Ox} \text{ (Case N)}$$

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$$\frac{\frac{\frac{}{\vdash E0, O0} (ER_1)}{x = 0 \vdash Ex, Ox} (=L) \quad x = sy, Ny \vdash Ex, Ox}{Nx \vdash Ex, Ox} \text{(Case N)}}{}$$

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A Cyclic Proof of $Nx \vdash Ex, Ox$

$$\frac{\frac{\frac{}{\vdash E0, O0} (ER_1)}{x = 0 \vdash Ex, Ox} (=L) \quad \frac{\frac{\frac{Ny \vdash Oy, Osy}{} (ER_2)}{Ny \vdash E sy, O sy} (=L)}{x = sy, Ny \vdash Ex, Ox} (=L)}{Nx \vdash Ex, Ox} (\text{Case N})$$

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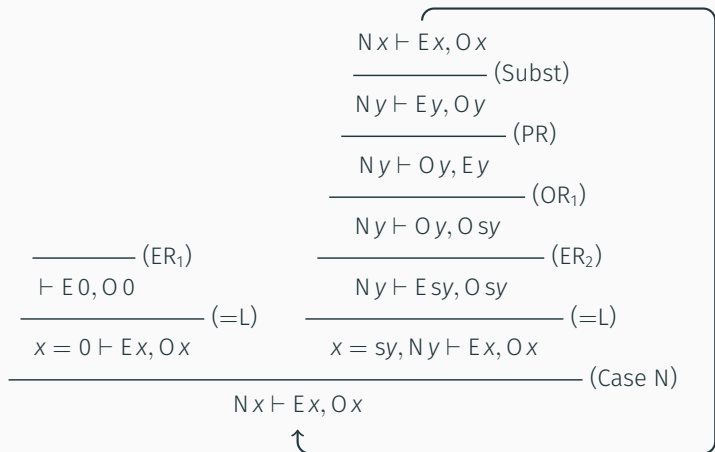
$$\begin{array}{c}
 \frac{}{\vdash \text{E}0, \text{O}0} \text{(ER}_1\text{)} \\
 \frac{}{x = 0 \vdash \text{E}x, \text{O}x} \text{(=L)}
 \end{array}
 \quad
 \begin{array}{c}
 \frac{\text{N}y \vdash \text{E}y, \text{O}y}{\text{N}y \vdash \text{O}y, \text{E}y} \text{(PR)} \\
 \frac{}{\text{N}y \vdash \text{O}y, \text{O}sy} \text{(OR}_1\text{)} \\
 \frac{}{\text{N}y \vdash \text{E}sy, \text{O}sy} \text{(ER}_2\text{)} \\
 \frac{}{x = sy, \text{N}y \vdash \text{E}x, \text{O}x} \text{(=L)}
 \end{array}$$

$$\text{N}x \vdash \text{E}x, \text{O}x \quad \text{(Case N)}$$

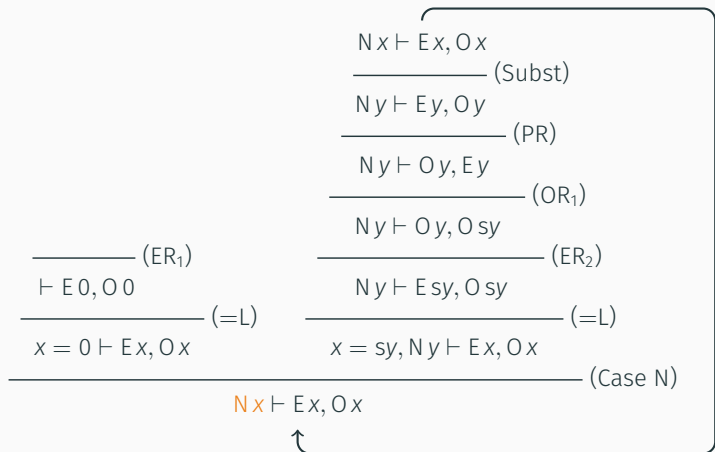
A Cyclic Proof of $Nx \vdash Ex, Ox$

$$\begin{array}{c}
 \text{————— (ER}_1\text{)} \\
 \vdash E0, O0 \\
 \text{————— (=L)} \\
 x = 0 \vdash Ex, Ox \\
 \\
 \text{————— (ER}_2\text{)} \\
 x = sy, Ny \vdash Ex, Ox \\
 \\
 \text{————— (Case N)} \\
 Nx \vdash Ex, Ox
 \end{array}
 \quad
 \begin{array}{c}
 Nx \vdash Ex, Ox \\
 \text{————— (Subst)} \\
 Ny \vdash Ey, Oy \\
 \text{————— (PR)} \\
 Ny \vdash Oy, Ey \\
 \text{————— (OR}_1\text{)} \\
 Ny \vdash Oy, Osy \\
 \text{————— (ER}_2\text{)} \\
 Ny \vdash E sy, O sy \\
 \text{————— (=L)} \\
 x = sy, Ny \vdash Ex, Ox
 \end{array}$$

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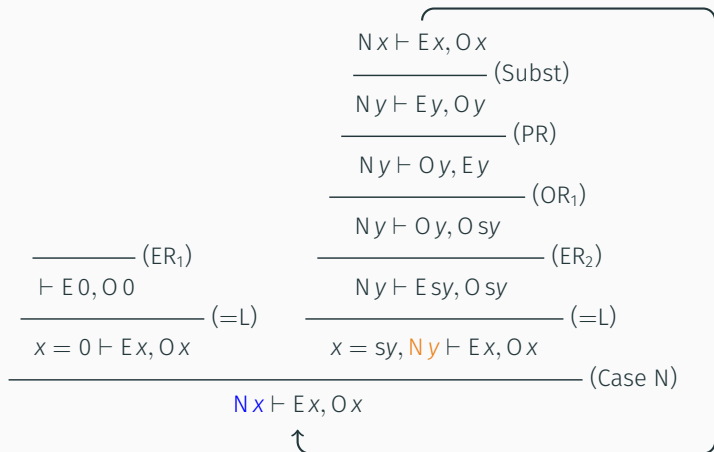
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- Suppose $Nx \vdash Ex, Ox$ is **not** valid:

$[X]_{m_1}$

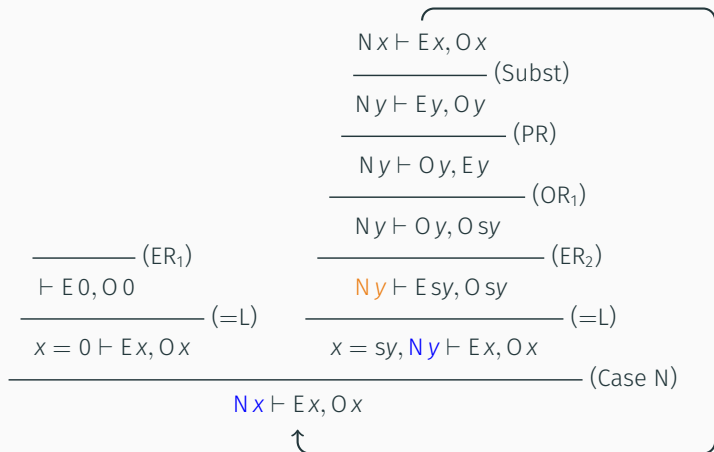
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$$\llbracket x \rrbracket_{m_1} > \llbracket y \rrbracket_{m_2}$$

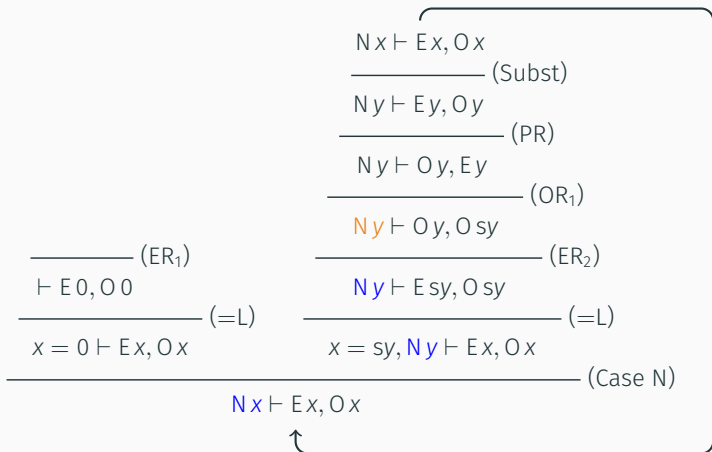
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$$\llbracket x \rrbracket_{m_1} > \llbracket y \rrbracket_{m_2} = \llbracket y \rrbracket_{m_3}$$

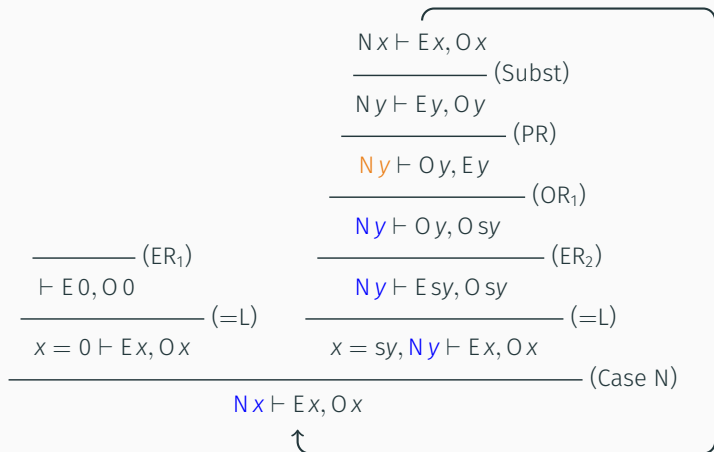
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- Suppose $Nx \vdash Ex, Ox$ is **not** valid:

$$[x]_{m_1} > [y]_{m_2} = [y]_{m_3} = [y]_{m_4}$$

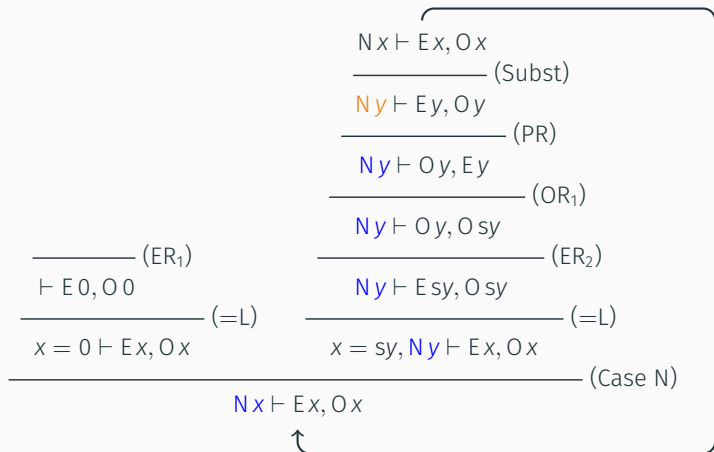
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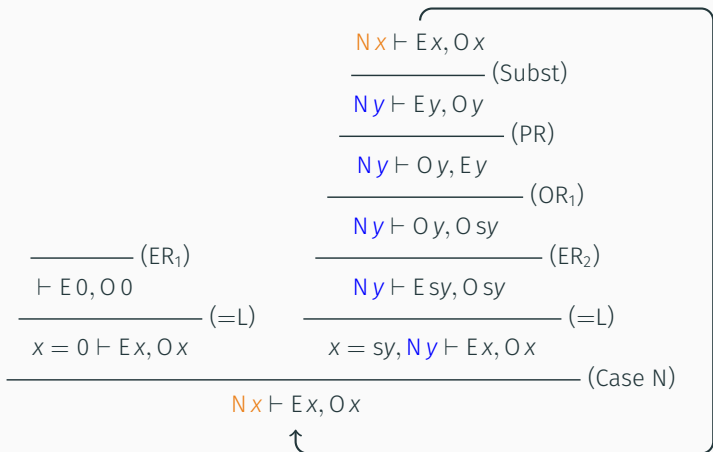
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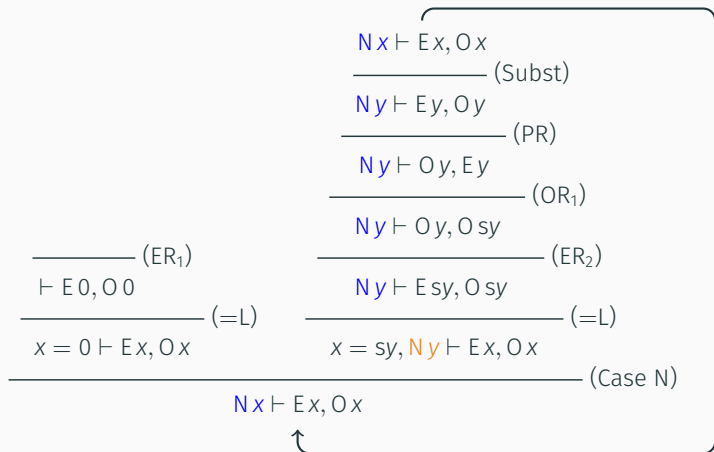
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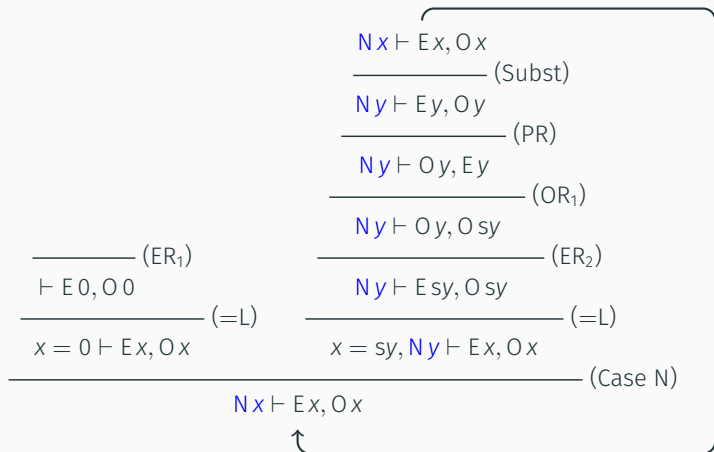
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A Cyclic Proof of $\mathbb{N}x \vdash Ex, Ox$



- Suppose $\mathbb{N}x \vdash Ex, Ox$ is **not** valid:

$$n_1 > n_2 > n_3 > \dots$$

$$(n_i \in \mathbb{N} \text{ for all } i)$$

The Semantics of Inductive Predicate Definitions

Definition (Inductive Definition Set)

An *inductive definition set* contains productions $P_1 \vec{t}_1, \dots, P_j \vec{t}_j \Rightarrow P_0 \vec{t}_0$

Definition (Characteristic Operators)

Inductive definition sets Φ induce *characteristic operators* φ_Φ on predicate interpretations X (functions from predicate formulas to sets of models):

$$\begin{aligned} \varphi_\Phi(X)(P\vec{t}\theta) = \{m \mid & P_1 \vec{t}_1, \dots, P_j \vec{t}_j \Rightarrow P\vec{t} \in \Phi \wedge \forall x \in \text{dom}(\theta) : m(x) = \llbracket \theta(x) \rrbracket_m \\ & \wedge \forall 1 \leq i \leq j : m \in X(P_i \vec{t}_i \theta)\} \end{aligned}$$

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$$\Phi = \left\{ \begin{array}{ccc} \text{N}x & \text{E}x & \text{O}x \\ \text{---} & \text{---} & \text{---} \\ \text{N}0 & \text{N}sx & \text{E}0 & \text{O}sx & \text{E}sx \end{array} \right\} \quad X_\perp(P\vec{t}) = \emptyset \quad \text{for all } P\vec{t}$$

$$\varphi_\Phi(X_\perp)(\text{N}x) = \{[x \mapsto 0]\}$$

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$$\varphi_\Phi(\varphi_\Phi(X_\perp))(N x) = \{[x \mapsto 0], [x \mapsto s0]\}$$

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$$X_\perp \sqsubseteq \varphi_\Phi(X_\perp) \sqsubseteq \varphi_\Phi(\varphi_\Phi(X_\perp)) \sqsubseteq \dots \sqsubseteq \varphi_\Phi^\alpha(X_\perp) \sqsubseteq \dots \sqsubseteq \mu X. \varphi_\Phi(X)$$

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$$\llbracket \cdot \rrbracket_0^\Phi \subseteq \llbracket \cdot \rrbracket_1^\Phi \subseteq \llbracket \cdot \rrbracket_2^\Phi \subseteq \dots \subseteq \llbracket \cdot \rrbracket_\alpha^\Phi \subseteq \dots \llbracket \cdot \rrbracket^\Phi$$

Models as Realizers

- We say that a model $m \in \llbracket P\vec{t} \rrbracket^\Phi$ **realizes** $P\vec{t}$ (wrt. Φ)
- We define a **realization function** Θ :

$$\Theta(P\vec{t}, m) \stackrel{\text{def}}{=} \min(\{\alpha \mid m \in \llbracket P\vec{t} \rrbracket_\alpha^\Phi\})$$

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- The logical inference rules have the property that

$$\frac{\Sigma_1 \vdash \Pi_1 \quad \dots \quad \Sigma_n \vdash \Pi_n}{\Gamma, P\vec{t} \vdash \Delta}$$

for a counter-model m of $\Gamma, P\vec{t} \vdash \Delta$, there exists a counter-model m' of some $\Sigma_j \vdash \Pi_j$ (local soundness) and if $P\vec{t} \in \Sigma_j$ then $\Theta(P\vec{t}, m') \leq \Theta(P\vec{t}, m)$

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for a counter-model m of $\Gamma, Nt \vdash \Delta$, there exists a counter-model m' of either $\Gamma, t = 0 \vdash \Delta$ or

$\Gamma, t = sx, Nx \vdash \Delta$ and if the latter then $\Theta(Nx, m') < \Theta(N\vec{t}, m)$

Soundness of Cyclic Proof

- Impose global trace condition on proof graphs:
 - Every infinite path must have an **infinitely progressing** trace
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 - Assume the conclusion of the proof is invalid
 - Local soundness implies an infinite sequence of (counter) models
 - These can be mapped to a non-increasing chain of ordinals using the realization function
 - Global trace condition then implies this chain is infinitely descending
 - But the ordinals are well-founded . . . **contradiction**

Cyclic Proof vs Explicit Induction

- Explicit induction requires induction hypothesis F up-front

$$\frac{}{N 0} \quad \frac{N x}{N sx} \quad \frac{\Gamma \vdash F[0] \quad \Gamma, F[x] \vdash F[sx], \Delta \quad \Gamma, F[t] \vdash \Delta}{\Gamma, N t \vdash \Delta} \text{ (Ind } N\text{)}$$

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- Cyclic proof enables '*discovery*' of induction hypotheses
- Complex induction schemes naturally represented by nested and overlapping cycles
- Every sequent provable using the explicit induction rule is also derivable using cyclic proof

Cyclic Proofs of Program Termination

```
struct ll { int data; ll *next; }

void rev(ll *x) { /* reverses list */ }

void shuffle(ll *x) {
    if ( x != NULL ) {

        ll *y = x -> next;

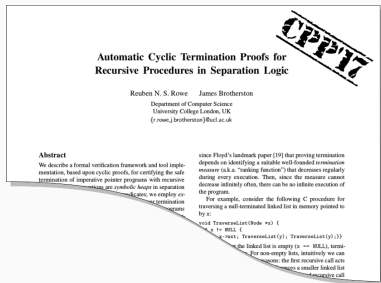
        rev(y);

        shuffle(y);

    }
}
```

Cyclic Proofs of Program Termination

```
struct ll { int data; ll *next; }  
list(x)  $\Leftrightarrow$  (x = NULL  $\wedge$  emp)  $\vee$  (x  $\mapsto$  (d, l) * list(l))  
void rev(ll *x) { list(x) } { /* reverses list */ } { list(x) }  
void shuffle(ll *x) { list(x) } {  
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    { x  $\mapsto$  (d, l) * list(l) }  
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  }  
} { list(x) }
```



Cyclic Proofs of Program Termination

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struct ll { int data; ll *next; }  
list(x)  $\Leftrightarrow (x = \text{NULL} \wedge \text{emp}) \vee (x \mapsto (d, l) * \text{list}(l))$   
void rev(ll *x) { list $_{\alpha}$ (x) } { /* reverses list */ } { list $_{\alpha}$ (x) }  
void shuffle(ll *x) { list $_{\alpha}$ (x) } {  
  if ( x != NULL ) {  
    { x  $\mapsto (d, l) * \text{list}_{\beta}(l) \wedge \beta < \alpha$  }  
    ll *y = x -> next;  
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    { x  $\mapsto (d, y) * \text{list}_{\beta}(y) \wedge \beta < \alpha$  }  
  }  
} { list $_{\alpha}$ (x) }
```

CPP17

Automatic Cyclic Termination Proofs for Recursive Procedures in Separation Logic

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University College London, UK
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Abstract
We describe a formal verification framework and tool implementation, based upon cyclic proofs, for certifying the safe termination of imperative pointer programs with recursive procedures. Our framework is cyclic because it uses separation logic to reason about recursive calls. We employ cyclic proofs to certify the termination of recursive procedures.

since Floyd's landmark paper [19] that proving termination depends on identifying a suitable well-founded termination measure (a.k.a. "ranking function") that decreases regularly during every execution. Thus, since the measure cannot decrease infinitely often, there can be no infinite execution of the program.

For example, consider the following C procedure for traversing a null-terminated linked list in memory pointed to by x :

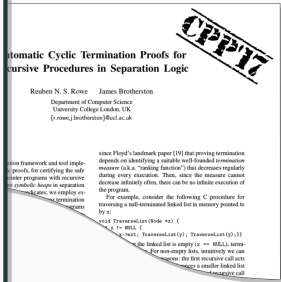
```
void TraverseListNode (void *) {  
  if (x == NULL) {  
    return;  
  }  
  TraverseListNode(x->next);  
}
```

For non-empty lists, intuitively we can suggest: the first recursive call acts on a smaller linked list, and the second recursive call

Cyclic Proofs of Program Termination

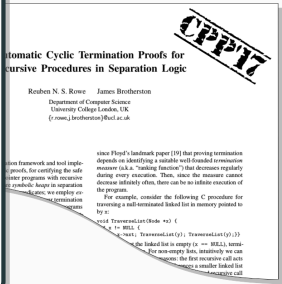
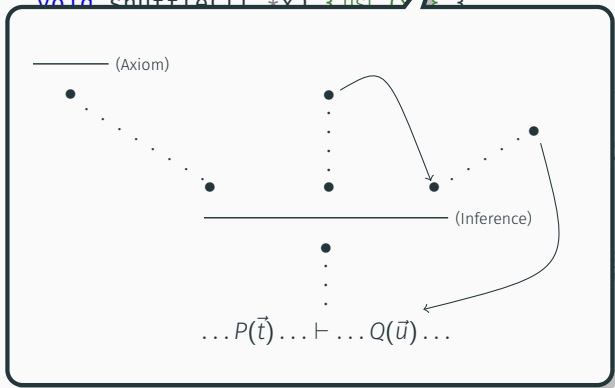
```
struct ll { int data; ll *next; }  
list(x)  $\Leftrightarrow$  (x = NULL  $\wedge$  emp)  $\vee$  (x  $\mapsto$  (d, l) * list(l))  
void rev(ll *x) { list $_{\alpha}$ (x) } { ... } { list $_{\alpha}$ (x) }  
void shuffle(ll *x) { list(y) } { ... } { list(y) }
```

Intra-procedural analysis produces verification conditions, in the form of *entailments*, e.g.

$$x \neq \text{NULL} \wedge x \mapsto (d, y) * \text{list}(y) \vdash \text{list}(x)$$


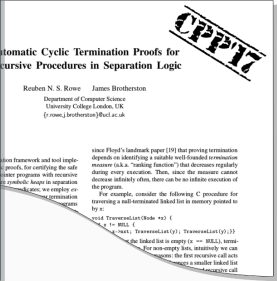
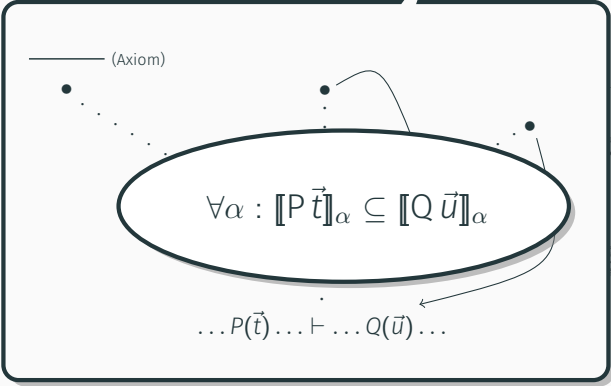
Cyclic Proofs of Program Termination

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Cyclic Proofs of Program Termination

```
struct ll { int data; ll *next; }  
list(x) ⇔ (x = NULL ∧ emp) ∨ (x ↦ (d, l) * list(l))  
void rev(ll *x) { listα(x) } { ... } { listα(x) }  
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Overview of Results

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 - These inclusions hold when the proof graph satisfies a structural (realizability) condition that we define
- The realizability condition is equivalent to a **containment** between two **weighted** automata that can be constructed from the proof graph
 - Under certain extra structural conditions, this containment falls within existing decidability results

Extracting Semantic Orderings: Basic Ideas

To extract these semantic relationships from cyclic proofs:

- We have to consider traces along the **right-hand** side of sequents, which are
 - **maximally** finite
 - matched by some left-hand trace along the same path
- We then count the number of times each trace **progresses**
 - the left-hand one must progress **at least as often** as the right-hand one

Extracting Semantic Orderings: Example (1)

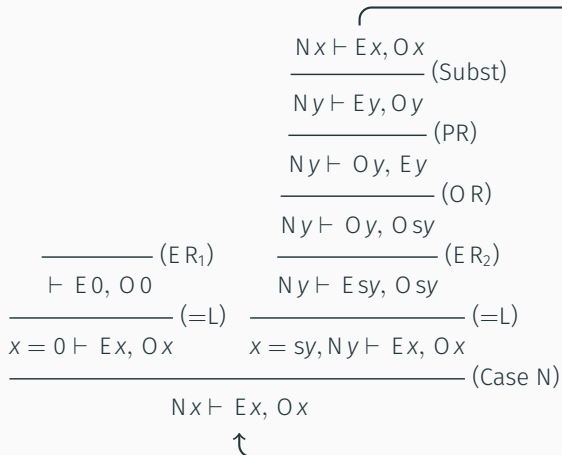
$\Rightarrow N 0$

$Nx \Rightarrow N sx$

$\Rightarrow E 0$

$Ox \Rightarrow E sx$

$Ex \Rightarrow O sx$



Extracting Semantic Orderings: Example (1)

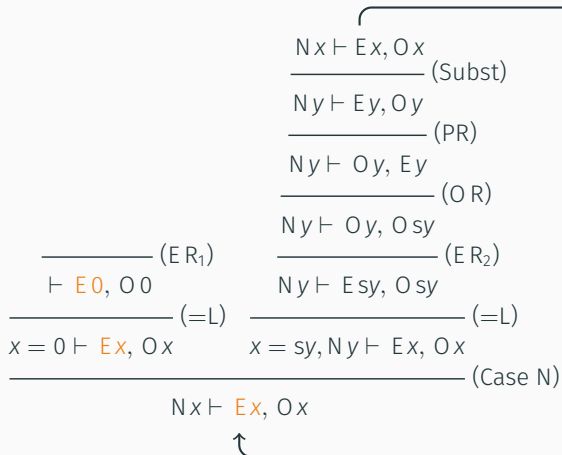
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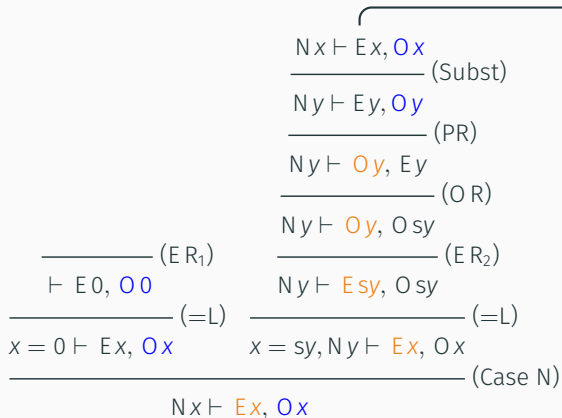
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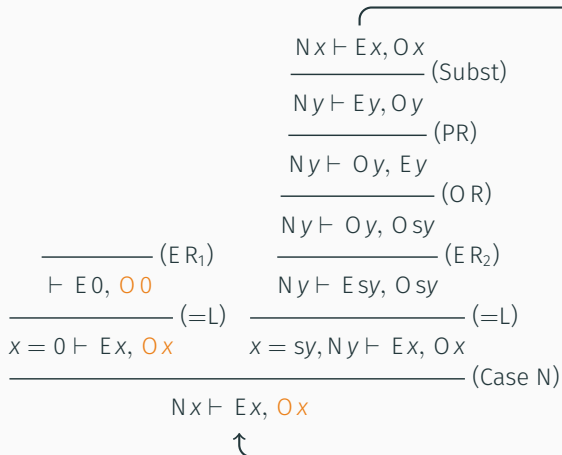
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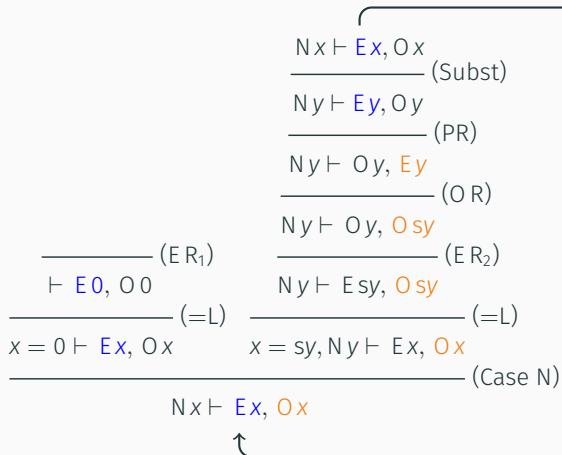
$\Rightarrow N 0$

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Extracting Semantic Orderings: Example (1)

This trace is

- **fully** maximal: the final predicate is introduced by its rule
- **grounded**: the final predicate is derived from a zero premise production (N.B. $\forall m : m \in \llbracket \text{NO} \rrbracket_1$)

$$\begin{array}{c}
 \frac{}{\vdash \text{E}0, 00} \text{ (ER}_1\text{)} \\
 \frac{}{x = 0 \vdash \text{E}x, 0x} \text{ (=L)} \\
 \hline
 \text{Nx} \vdash \text{E}x, 0x
 \end{array}
 \qquad
 \begin{array}{c}
 \frac{\text{Nx} \vdash \text{E}x, 0x}{\text{Ny} \vdash \text{E}y, 0y} \text{ (Subst)} \\
 \frac{\text{Ny} \vdash \text{E}y, 0y}{\text{Ny} \vdash 0y, \text{E}y} \text{ (PR)} \\
 \frac{\text{Ny} \vdash 0y, \text{E}y}{\text{Ny} \vdash 0y, 0sy} \text{ (OR)} \\
 \frac{\text{Ny} \vdash 0y, 0sy}{\text{Ny} \vdash \text{E}sy, 0sy} \text{ (ER}_2\text{)} \\
 \frac{\text{Ny} \vdash \text{E}sy, 0sy}{x = sy, \text{Ny} \vdash \text{E}x, 0x} \text{ (=L)} \\
 \hline
 \text{Nx} \vdash \text{E}x, 0x \text{ (Case N)}
 \end{array}$$

Extracting Semantic Orderings: Example (1)

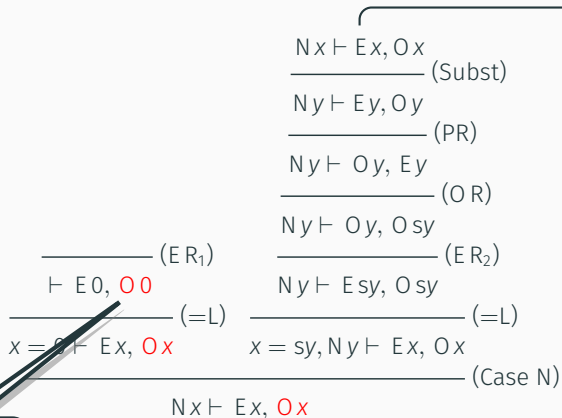
$\Rightarrow N 0$

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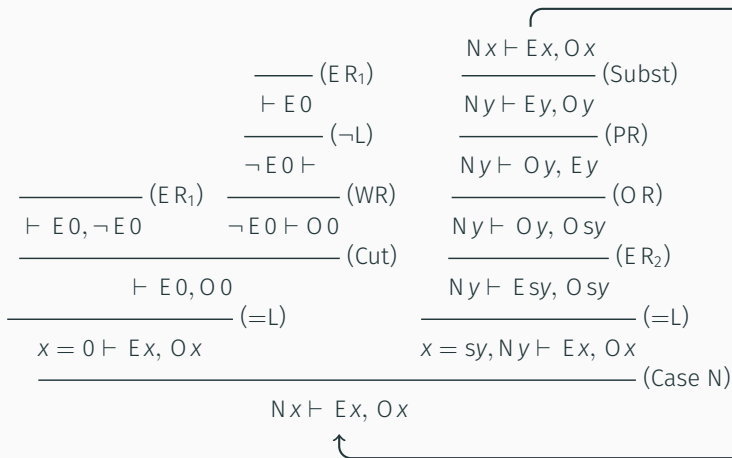
$Ox \Rightarrow E sx$

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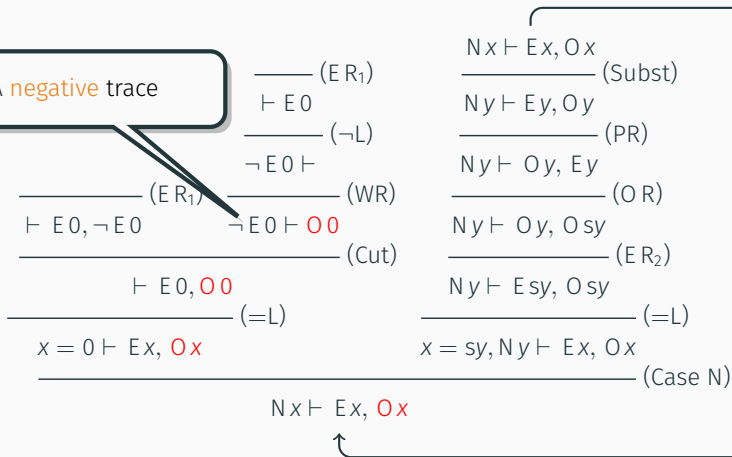
Not grounded!

Extracting Semantic Orderings: Example (1)



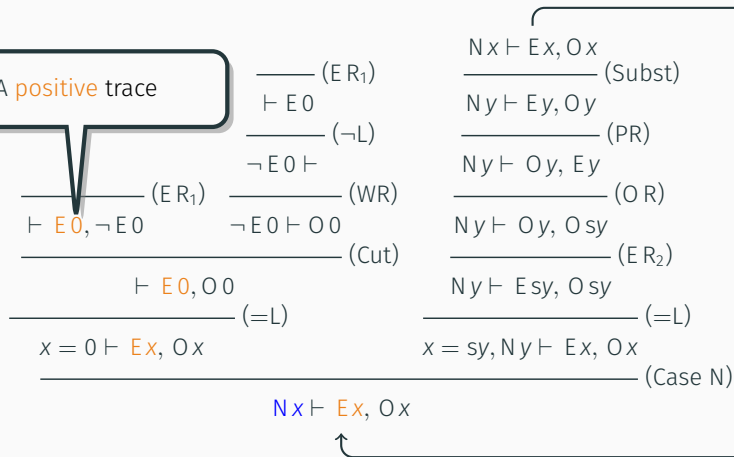
Extracting Semantic Orderings: Example (1)

A **negative** trace

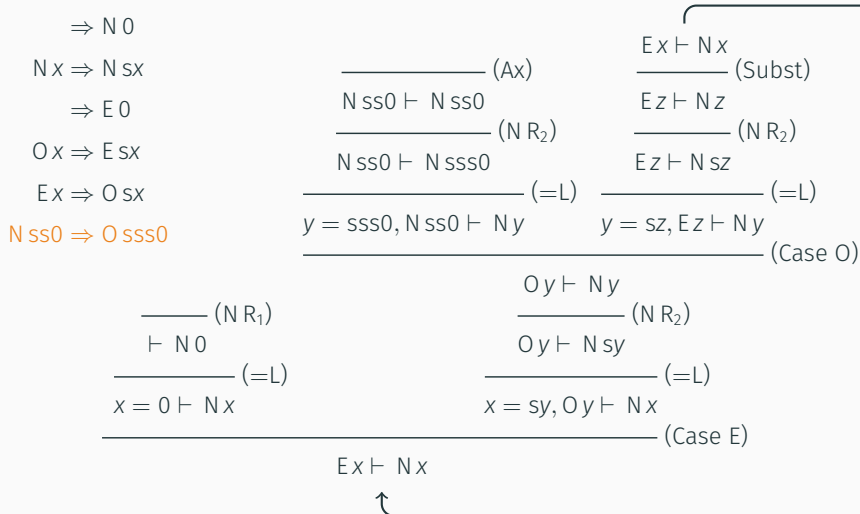


Extracting Semantic Orderings: Example (1)

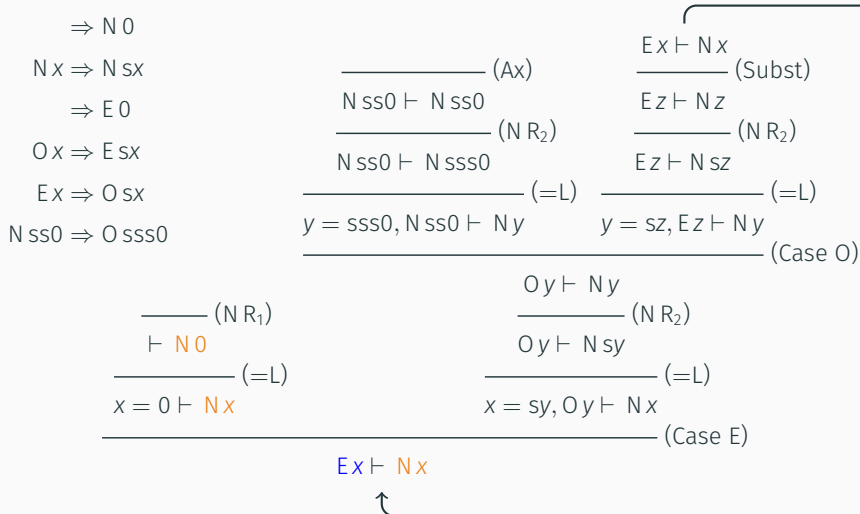
A **positive** trace



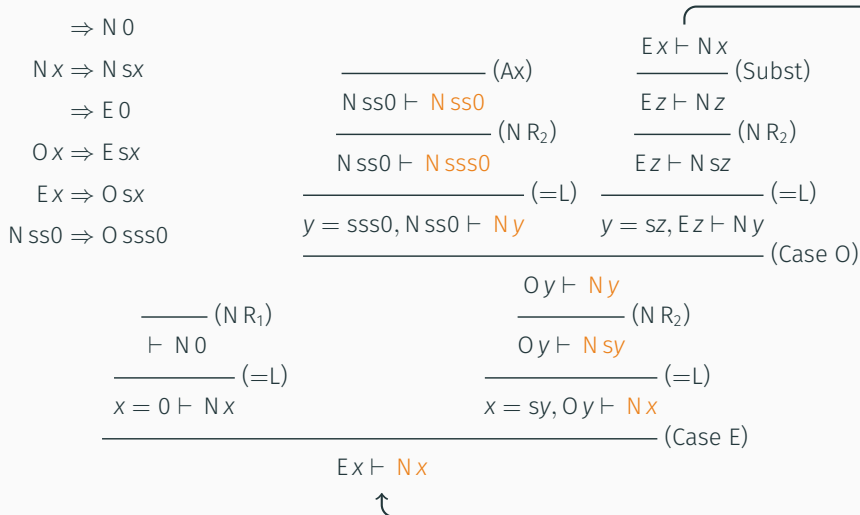
Extracting Semantic Orderings: Example (2)



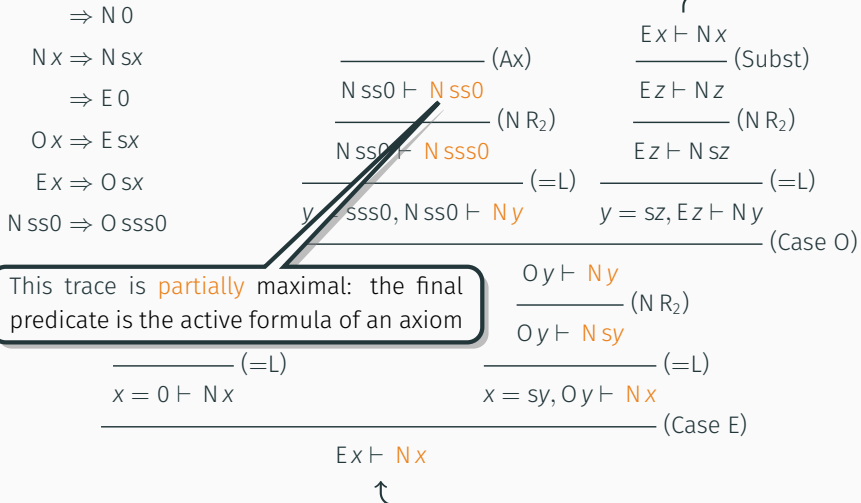
Extracting Semantic Orderings: Example (2)



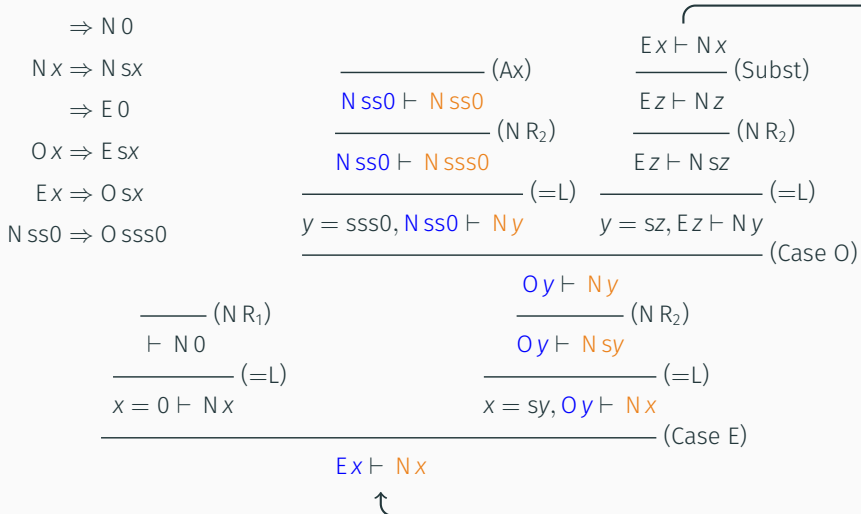
Extracting Semantic Orderings: Example (2)



Extracting Semantic Orderings: Example (2)



Extracting Semantic Orderings: Example (2)



Definition (Realizability Condition)

For every positive maximal right-hand trace, there must exist a left-hand trace following the same path such that:

- either the right-hand trace is grounded, or it is partially maximal with the left-hand trace matching in the length and final predicate
- right unfoldings \leq left unfoldings

Soundness of the Realizability Condition

Theorem

Suppose \mathcal{P} is a cyclic proof of $P\vec{x} \vdash Q\vec{y}$ satisfying the realizability condition, then $\llbracket P\vec{x} \rrbracket_\alpha \subseteq \llbracket Q\vec{y} \rrbracket_\alpha$ for all α

Proof.

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Proof.

Pick a model $m \in \llbracket P\vec{x} \rrbracket_\alpha$ (i.e. $\Theta(P\vec{x}, m) \leq \alpha$)

- m corresponds to a positive maximal right-hand trace in \mathcal{P}
- The number of unfoldings in this right-hand trace is an **upper** bound on $\Theta(Q\vec{y}, m)$
- The number of unfoldings in any left-hand trace following the same path is a **lower** bound on $\Theta(P\vec{x}, m)$
- From the realizability condition, we have that $\Theta(Q\vec{y}, m) \leq \Theta(P\vec{x}, m)$
- Because approximations grow monotonically, also $m \in \llbracket Q\vec{y} \rrbracket_\alpha$

Deciding the Realizability Condition

- We use **weighted automata** to decide whether the realizability condition holds
- We construct weighted automata that count the progression points in left and right-hand traces
- The realizability condition corresponds to an **inclusion** of the right-hand trace automaton within the left-hand one

Weighted Automata

Definition (Weighted Automata)

Let Σ be an alphabet, and (V, \oplus, \otimes) a semiring of weights. A weighted automaton \mathcal{A} is a tuple (Q, q_I, F, γ) consisting of a set Q of states containing an initial state $q_I \in Q$, a set $F \subseteq Q$ of final states, and a weighted transition function $\gamma : (Q \times \Sigma \times Q) \rightarrow V$.

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1. The value of a run of \mathcal{A} is the semiring product of all its transitions
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$\mathcal{L}_1 \leq \mathcal{L}_2$ if and only if for every word w such that $\mathcal{L}_1(w)$ is defined, $\mathcal{L}_2(w)$ is also defined and $\mathcal{L}_1(w) \leq \mathcal{L}_2(w)$

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Sum automata are weighted automata over $(\mathbb{N}, \max, +)$

Weighted Automata: Existing Results

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Theorem (Krob '94, Almagor Et Al. '11)

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Definition

A weighted automaton is called **finite-valued** if there exists a bound on the number of distinct values of accepting runs on any given word

Theorem (Filiot, Gentilini & Raskin '14)

Given two finite-valued weighted automata \mathcal{A} and \mathcal{B} , it is decidable whether $\mathcal{L}_{\mathcal{A}} \leq \mathcal{L}_{\mathcal{B}}$

Weighted Automata from Cyclic Entailment Proofs

Given a cyclic entailment proof \mathcal{P} , we can construct two kinds of finite-valued sum automata, $\mathcal{A}_{\mathcal{P}}[n]$ ($n \in \mathbb{N}$) and $\mathcal{C}_{\mathcal{P}}$:

- The words accepted are paths in the proof from the root sequent
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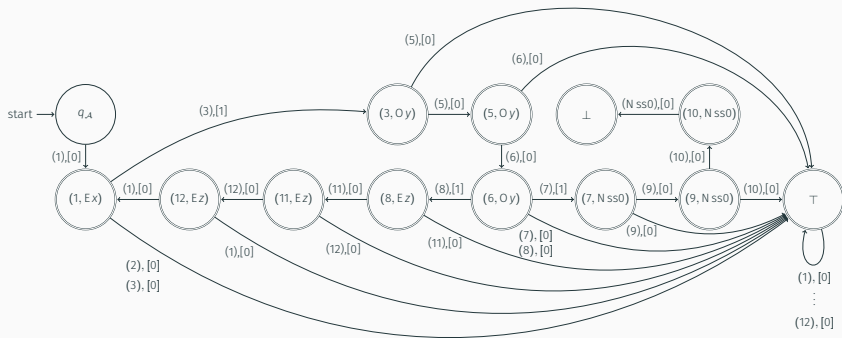
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- $\mathcal{C}_{\mathcal{P}}$ is grounded when all final states correspond to ground predicate instances

Weighted Automata from Cyclic Entailment Proofs

The full left-hand automaton for the example proof of $Ex \vdash Nx$



An Equivalence between Realizability and Weighted Inclusion

The construction of the weighted automata admits the following result:

Theorem

Let \mathcal{P} be a cyclic entailment proof which is *dynamic* and *balanced*; then \mathcal{P} satisfies the realizability condition if and only if $\mathcal{C}_{\mathcal{P}} \leq \mathcal{A}_{\mathcal{P}}[N]$ and $\mathcal{C}_{\mathcal{P}}$ is grounded (where N is a function of \mathcal{P})

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The cyclic proof is:

- *dynamic* when every (reachable) basic trace cycle has a non-zero number of progression points
- *balanced* when every (reachable) basic *binary* trace cycle has equal numbers of left and right-hand progression points
 - a binary cycle is a pair of left and right-hand trace cycles following the same path

The bound N is a function of other graph-theoretic quantities of \mathcal{P}

Corollary: Bootstrapping Cyclic Entailment Systems

Suppose we deduce $\llbracket P\vec{t} \rrbracket_\alpha \subseteq \llbracket Q\vec{u} \rrbracket_\alpha$ from a proof of $\Gamma, P\vec{t} \vdash \Sigma, Q\vec{u}$

Then we can safely trace across an active cut formula

$$\frac{\Gamma, P\vec{t} \vdash \Sigma, Q\vec{u} \quad Q\vec{u}, \Pi \vdash \Delta}{\Gamma, P\vec{t}, \Pi \vdash \Sigma, \Delta} \text{ (Cut)}$$

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This is explicitly forbidden in existing cyclic proof systems, precisely because there is no way to ensure in general that there is an inclusion between $\llbracket P\vec{t} \rrbracket_\alpha$ and $\llbracket Q\vec{u} \rrbracket_\alpha$

Conclusions

- We have shown that information about inclusions between the semantics of inductive predicates can be extracted from cyclic proofs of entailments
- This information can be used to construct ranking functions for programs
- Our results are formulated abstractly, and so hold for any cyclic proof system whose rules satisfy certain properties
- We use the term **realizability** because we extract semantic information from the proofs

Future Work

- Implement the decision procedure within the cyclic proof-based verification framework CYCLIST
- Evaluate to what extent entailments found ‘in the wild’ satisfy the realizability condition
- Investigate further theoretical questions:
 - are there weaker structural properties of proofs that still admit completeness with the approximate automata
 - If the semantic inclusion $\llbracket P\vec{x} \rrbracket_\alpha \subseteq \llbracket Q\vec{y} \rrbracket_\alpha$ holds, is there a cyclic proof of $P\vec{x} \vdash Q\vec{y}$ satisfying the realizability condition?