Refining Schaefer's Theorem

Heribert Vollmer

Institut für Theoretische Informatik Universität Hannover

Joint work with: E. Allender, M. Bauland, N. Immerman, H. Schnoor

Boolean Constraint Satisfaction Problems

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Γ – a finite set of Boolean constraint relations

 $CSP(\Gamma)$: Input: a propositional Γ -formula F in CNF Question: Is F satisfiable?

Boolean Constraint Satisfaction Problems

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Γ – a finite set of Boolean constraint relations

 $CSP(\Gamma)$: Input: a propositional Γ -formula F in CNF Question: Is F satisfiable?

Basic Goal: Determine the computational complexity of $CSP(\Gamma)$ as a function of Γ !

The Galois Connection

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Pol(Γ) is the set of all polymorphisms of Γ , i.e., the set of all Boolean functions that preserve every relation in Γ .

Pol(Γ) is a clone, i.e., a set of Boolean functions that contains all projections and is closed under composition (Post).

Pol(Γ) is the set of all polymorphisms of Γ , i.e., the set of all Boolean functions that preserve every relation in Γ .

 Pol(Γ) is a clone, i.e., a set of Boolean functions that contains all projections and is closed under composition (Post).

Inv(B) is the set of all invariants of B, i.e., the set of all Boolean relations that are preserved by every function in B.

Inv(B) is a relational clone, i.e., a set of Boolean relations that contains the equality relation and is closed under primitive positive definitions, i.e., if φ is an Inv(B)-formula and R(x₁,...,x_n) ≡ ∃y₁...y_ℓ φ(x₁,...,x_n, y₁,...,y_ℓ) then R ∈ Inv(B).



Let $\langle \Gamma \rangle$ be the relational clone generated by Γ . Inv(Pol(Γ)) = $\langle \Gamma \rangle$ ("expressive power" of Γ).

Let $\langle \Gamma \rangle$ be the relational clone generated by Γ . $Inv(Pol(\Gamma)) = \langle \Gamma \rangle$ ("expressive power" of Γ).

 If (Γ) = (Γ'), then CSP(Γ) ≡^{log}_m CSP(Γ'), i.e., the complexity of CSP(Γ) depends only on Pol(Γ).
We only have to study co-clones in order to obtain a full classification.

Let $\langle \Gamma \rangle$ be the relational clone generated by Γ . $Inv(Pol(\Gamma)) = \langle \Gamma \rangle$ ("expressive power" of Γ).

 If (Γ) = (Γ'), then CSP(Γ) ≡^{log}_m CSP(Γ'), i.e., the complexity of CSP(Γ) depends only on Pol(Γ).
We only have to study co-clones in order to obtain a full classification.

► If $\langle \Gamma \rangle \supseteq Inv(N_2)$ then CSP(Γ) is NP-complete, otherwise CSP(Γ) is in P [Schaefer].

Schaefer's Theorem

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé



Refining Schaefer's Theorem



Goal: Determine logspace-degree of $CSP(\Gamma)$ for every constraint language Γ !

Goal: Determine logspace-degree of $CSP(\Gamma)$ for every constraint language Γ !

Examples:

► If $\Gamma \subseteq Inv(L_2)$, then $CSP(\Gamma) \in \oplus L$. ($Inv(L_2) = Inv(\{x \oplus y \oplus z\})$: "affine" constraints)

Goal: Determine logspace-degree of $CSP(\Gamma)$ for every constraint language Γ !

Examples:

► If
$$\Gamma \subseteq Inv(L_2)$$
, then $CSP(\Gamma) \in \oplus L$.
($Inv(L_2) = Inv(\{x \oplus y \oplus z\})$: "affine" constraints)

If ⟨Γ⟩ ⊇ Inv(L₃) then CSP(Γ) is hard for ⊕L.
(Inv(L₃) = ⟨x ⊕ y ⊕ z ⊕ w⟩, Reduction from circuit value problem for ⊕-circuits)

Goal: Determine logspace-degree of CSP(Γ) for every constraint language Γ !

Examples:

Thus:

• If
$$Inv(L_3) \subseteq \langle \Gamma \rangle \subseteq Inv(L_2)$$
, then $CSP(\Gamma)$ is $\oplus L$ -complete.

Towards a Finer Classification

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

- If I₀ ⊆ Pol(Γ) or I₁ ⊆ Pol(Γ), then every constraint formula over Γ is satisfiable, and therefore CSP(Γ) is trivial.
- ▶ If $Pol(\Gamma) \in \{I_2, N_2\}$, then $CSP(\Gamma)$ is NP-complete.
- ▶ If $Pol(\Gamma) \in \{V_2, E_2\}$, then $CSP(\Gamma)$ is P-complete.
- ▶ If $Pol(\Gamma) \in \{L_2, L_3\}$, then $CSP(\Gamma)$ is \oplus L-complete.
- ► If $S_{00} \subseteq Pol(\Gamma) \subseteq S_{00}^2$ or $S_{10} \subseteq Pol(\Gamma) \subseteq S_{10}^2$ or $Pol(\Gamma) \in \{D_2, M_2\}$, then $CSP(\Gamma)$ is NL-complete.
- ► If $Pol(\Gamma) \in \{D_1, D\}$ or $S_{02} \subseteq Pol(\Gamma) \subseteq R_2$ or $S_{12} \subseteq Pol(\Gamma) \subseteq R_2$, then $CSP(\Gamma)$ is in L.

Classification of CSP-Satisfiability



Refining Schaefer's Theorem

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Let *F* be a Γ' -formula. Construct *F'* as follows:

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Let *F* be a Γ' -formula. Construct *F'* as follows:

▶ Replace every constraint from (Γ) by its defining existentially quantified (Γ ∪ {=})-formula.

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Let *F* be a Γ' -formula. Construct *F'* as follows:

- ▶ Replace every constraint from (Γ) by its defining existentially quantified (Γ ∪ {=})-formula.
- Delete existential quantifiers.

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Let *F* be a Γ' -formula. Construct *F'* as follows:

- ▶ Replace every constraint from ⟨Γ⟩ by its defining existentially quantified (Γ ∪ {=})-formula.
- Delete existential quantifiers.
- Delete equality clauses and replace all variables that are connected via a chain of equality constraints by a common new variable (undirected graph accessibility problem).

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Let *F* be a Γ' -formula. Construct *F'* as follows:

- ▶ Replace every constraint from ⟨Γ⟩ by its defining existentially quantified (Γ ∪ {=})-formula.
- Delete existential quantifiers.
- Delete equality clauses and replace all variables that are connected via a chain of equality constraints by a common new variable (undirected graph accessibility problem).

F' is a Γ -formula.

Then: F is satisfiable iff F' is satisfiable.

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Let F be a Γ' -formula. Construct F' as follows:

- ► Replace every constraint from ⟨Γ⟩ by its defining existentially quantified (Γ ∪ {=})-formula.
- Delete existential quantifiers.
- Delete equality clauses and replace all variables that are connected via a chain of equality constraints by a common new variable (undirected graph accessibility problem).
- F' is a Γ -formula.
- Then: F is satisfiable iff F' is satisfiable.
- Question: Stricter reductions?

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Example 1: $\Gamma_1 = \{x, \overline{x}\}$:

A Γ_1 -formula F is unsatisfiable iff it contains clauses x and \overline{x} for some x, hence $CSP(\Gamma_1) \in coNLOGTIME$.

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Example 1: $\Gamma_1 = \{x, \overline{x}\}$:

A Γ_1 -formula F is unsatisfiable iff it contains clauses x and \overline{x} for some x, hence $CSP(\Gamma_1) \in coNLOGTIME$.

Example 2:
$$\Gamma_2 = \{x, \overline{x}, =\}$$
:

Then $CSP(\Gamma_2)$ can express undirected graph reachability as follows: Given G, s, t, construct F to consist of clauses \overline{s} , t, and u = v for every edge $(u, v) \in G$.

Then t is reachable in G from s iff F is unsatisfiable,

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Example 1: $\Gamma_1 = \{x, \overline{x}\}$:

A Γ_1 -formula F is unsatisfiable iff it contains clauses x and \overline{x} for some x, hence $CSP(\Gamma_1) \in coNLOGTIME$.

Example 2:
$$\Gamma_2 = \{x, \overline{x}, =\}$$
:

Then $CSP(\Gamma_2)$ can express undirected graph reachability as follows: Given G, s, t, construct F to consist of clauses \overline{s} , t, and u = v for every edge $(u, v) \in G$.

Then t is reachable in G from s iff F is unsatisfiable, hence

 $CSP(\Gamma_2)$ is hard for L (under AC⁰-reductions/FO-reductions).

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Example 1: $\Gamma_1 = \{x, \overline{x}\}$:

A Γ_1 -formula F is unsatisfiable iff it contains clauses x and \overline{x} for some x, hence $CSP(\Gamma_1) \in coNLOGTIME$.

Example 2:
$$\Gamma_2 = \{x, \overline{x}, =\}$$
:

Then $CSP(\Gamma_2)$ can express undirected graph reachability as follows: Given G, s, t, construct F to consist of clauses \overline{s} , t, and u = v for every edge $(u, v) \in G$.

Then t is reachable in G from s iff F is unsatisfiable, hence

 $CSP(\Gamma_2)$ is hard for L (under AC⁰-reductions/FO-reductions).

Thus: Provably different complexity: $CSP(\Gamma_2) \leq_m^{AC^0} CSP(\Gamma_1)$,

but $Pol(\Gamma_1) = Pol(\Gamma_2)$ (= R₂).

The Equality Constraint

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

▶ If $\Gamma' \subseteq \langle \Gamma \rangle$ then $\mathsf{CSP}(\Gamma') \leq_m^{\mathsf{AC}^0} \mathsf{CSP}(\Gamma \cup \{=\}) \leq_m^{\mathsf{log}} \mathsf{CSP}(\Gamma).$

The Equality Constraint

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

▶ If $\Gamma' \subseteq \langle \Gamma \rangle$ then $\mathsf{CSP}(\Gamma') \leq_m^{\mathsf{AC}^0} \mathsf{CSP}(\Gamma \cup \{=\}) \leq_m^{\mathsf{log}} \mathsf{CSP}(\Gamma).$

Say that Γ can express equality if equality constraint can be defined by an existentially quantified Γ -formula.

▶ If Γ can express equality then $CSP(Γ \cup \{=\}) \leq_m^{AC^0} CSP(Γ)$.

There is an algorithm that detects if Γ can express equality.

The Equality Constraint

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

▶ If $\Gamma' \subseteq \langle \Gamma \rangle$ then $\mathsf{CSP}(\Gamma') \leq_m^{\mathsf{AC}^0} \mathsf{CSP}(\Gamma \cup \{=\}) \leq_m^{\mathsf{log}} \mathsf{CSP}(\Gamma).$

Say that Γ can express equality if equality constraint can be defined by an existentially quantified Γ -formula.

▶ If Γ can express equality then $CSP(Γ \cup \{=\}) \leq_m^{AC^0} CSP(Γ)$.

There is an algorithm that detects if Γ can express equality.

If Γ can express equality then CSP(Γ) is hard for L, otherwise CSP(Γ) ∈ coNLOGTIME.

LOGSPACE-Cases

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

- ▶ If $Pol(\Gamma) \in \{D_1, D\}$, then $CSP(\Gamma)$ is L-complete.
- If S₀₂ ⊆ Pol(Γ) ⊆ R₂ or S₁₂ ⊆ Pol(Γ) ⊆ R₂, then either CSP(Γ) is in coNLOGTIME, or CSP(Γ) is L-complete. There is an algorithm deciding which case occurs.

Classification of CSP-Satisfiability



Refining Schaefer's Theorem



```
Post's lattice: L_2 \subseteq R_2, hence Inv(R_2) \subseteq Inv(L_2).
```

Hence:

Undirected graph accessibility is in ⊕L, in other words:
SL ⊆ ⊕L [Karchmer, Wigderson, 1993].



```
Post's lattice: L_2 \subseteq R_2, hence Inv(R_2) \subseteq Inv(L_2).
```

Hence:

► Undirected graph accessibility is in ⊕L, in other words: SL ⊆ ⊕L [Karchmer, Wigderson, 1993].

(Today we even know $SL \subseteq L$.)

Isomorphism

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Myhill's Isomorphism Theorem:

If A ≤₁ B and B ≤₁ A then A and B are isomorphic via a recursive bijection.

Isomorphism

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Myhill's Isomorphism Theorem:

If A ≤₁ B and B ≤₁ A then A and B are isomorphic via a recursive bijection.

In the polynomial time setting:

Isomorphism conjecture/Berman-Hartmanis-Conjecture:

If A ≤^p_m B and B ≤^p_m A then A and B are isomorphic via a polynomial-time computable bijection.

Implies $P \neq NP$.



```
Isomorphism Theorem holds for \leq_m^{AC^0}-reducibility:
```



Isomorphism Theorem holds for $\leq_m^{AC^0}$ -reducibility:

For every constraint language Γ, CSP(Γ) is AC⁰-isomorphic either to 0Σ* or to the standard complete set for one of the complexity classes NP, P, ⊕L, NL, or L.

There are only six different CSP-problems!

Why study Boolean CSP?

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Provide a reasonably accurate bird's eye view of complexity theory [Creignou, Khanna, Sudan]:

- inclusions among complexity classes
- relations among reducibility notions
- structure of complete problems

Why study Boolean CSP?

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

Provide a reasonably accurate bird's eye view of complexity theory [Creignou, Khanna, Sudan]:

- inclusions among complexity classes
- relations among reducibility notions
- structure of complete problems
- playground for the study of many issues related to counting classes
- CSP isomorphism problems yield good candidates for "intermediate problems"



The classification presented in this talk is given in

E. Allender, M. Bauland, N. Immerman, H. Schnoor, H. Vollmer. The complexity of satisfiability problems: refining Schaefer's theorem; Proceedings 30th Mathematical Foundations of Computer Science, Springer Lecture Notes in Computer Science Vol. 3618, pp. 71–82, 2005.

More on counting for Boolean CSP:

M. Bauland, E. Böhler, N. Creignou, S. Reith, H. Schnoor, H. Vollmer. Quantified constraints: the complexity of decision and counting for bounded alternation; Electronic Colloqium on Computational Complexity, TR05-024, 2005.

More on isomorphism for Boolean CSP:

E. Böhler, E. Hemaspaandra, S. Reith, H. Vollmer. The complexity of Boolean constraint isomorphism; Proceedings 21st Symposium on Theoretical Aspects of Computer Science, Springer Lecture Notes in Computer Science Vol. 2996, pp. 164–175, 2004.

Open Questions for Boolean CSP

CSP Galois Schaefer Classification I Logspace Equality Classification II Applications Résumé

- Fine classification for Boolean counting problem

- Infinite constraint languages?

- Uniform Boolean CSP?