

# Positive and Negative Preferences

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# Outline

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- ❑ Motivation: I like this, I don't like that!
- ❑ Background: semiring-based soft constraints
- ❑ Negative Preferences
- ❑ Positive Preferences
- ❑ Bipolar Preferences
- ❑ Bipolar preference problems
- ❑ Solving bipolar preference problems

# Motivation and desires

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- In many real life scenarios users express degrees of acceptance and rejection
- Positive and negative preferences, although symmetric, need different combination operators
  - Example: I like red cars, I like convertibles: red convertible is the best choice
  - Example: I hate black cars, I hate SUVs: black SUV is the worse choice
- Indifference: neither positive nor negative
  - Example: I am indifferent to white cars
- Compensation:
  - a black convertible is acceptable

# Soft constraints

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- One way to model one kind of preferences
  - Quantitative, non-conditional
- Based on an algebraic structure
- Other kinds of preferences:
  - Qualitative, positive/negative, conditional, etc.
- Other ways to model preferences:
  - CP-nets, partial CSPs, hierarchical CSP/CLP, valued CSPs, etc.

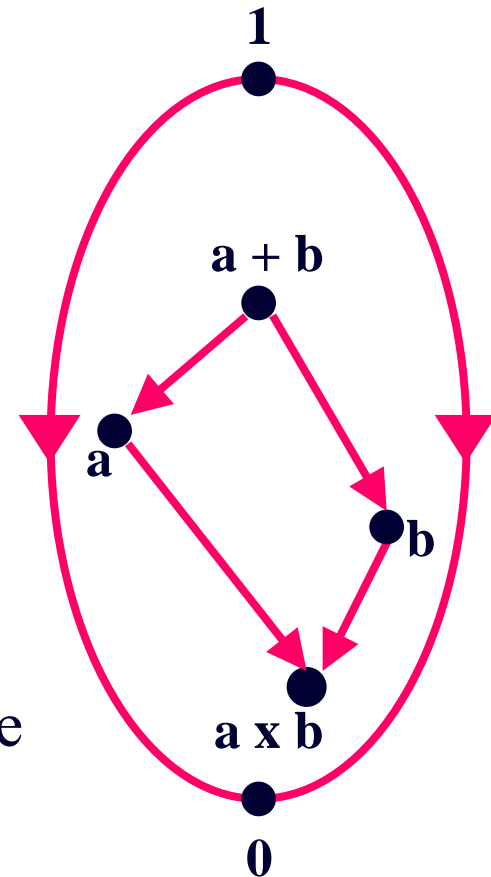
# C-semiring framework for constraints

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- Variables  $\{X_1, \dots, X_n\} = X$
  - Domains  $\{D(X_1), \dots, D(X_n)\} = D$
  - C-semiring  $\langle A, +, \times, 0, 1 \rangle$ :
    - A set of preferences
    - $+$  additive operator commutative, associative, idempotent, unit element 0, flattening property;
    - $\times$  multiplicative operator: commutative, associative, unit element 1, absorbing element 0
    - 0,1 respect. bottom and top element
    - $\times$  distributes over  $+$
- Bistarelli, Montanari, Rossi 1995  
(valued CSPs equivalent if total order)*

# Induced Ordering

- Given a c-semiring  $\langle A, +, \times, 0, 1 \rangle$ :
- $+$  induces a **partial order**  $\leq$  on  $A$ :
  - $a \leq b$  iff  $a + b = b$
- $a + b \geq a, b$  ( $=\text{lub}(a, b)$ )
  - Adding two pref.s gives a higher preference
- $a \times b \leq a, b$  ( $=\text{glb}(a, b)$  if  $\times$  idempotent)
  - Combining two pref.s gives a lower preference
- $+$  and  $\times$  monotone on  $\leq$



# Soft and Hard constraints

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- Soft constraint: pair  $c = \langle f, \text{con} \rangle$  where:
  - Scope:  $\text{con} = \{X_{c1}, \dots, X_{ck}\}$  subset of  $X$
  - Preference function :  
 $f: D(X_{c1}) \times \dots \times D(X_{ck}) \rightarrow A$   
tuple  $(v_1, \dots, v_k) \rightarrow p$  preference
  
- Hard constraint: soft constraint where for each tuple  $(v_1, \dots, v_k)$   
 $f(v_1, \dots, v_k) = 0$  the tuple is forbidden  
or  $f(v_1, \dots, v_k) = 1$  the tuple is allowed

# Soft Constraint Satisfaction Problems

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Set of variables  $V$

Set of **hard and soft constraints**

**Solution:** Assignment to all the variables in  $V$

**Preference of solution  $S$ :**

$$\text{pref}(S) = f_1(S_1) \times \dots \times f_n(S_n)$$

$f_i$  = preference function of  $i$ -th constraint

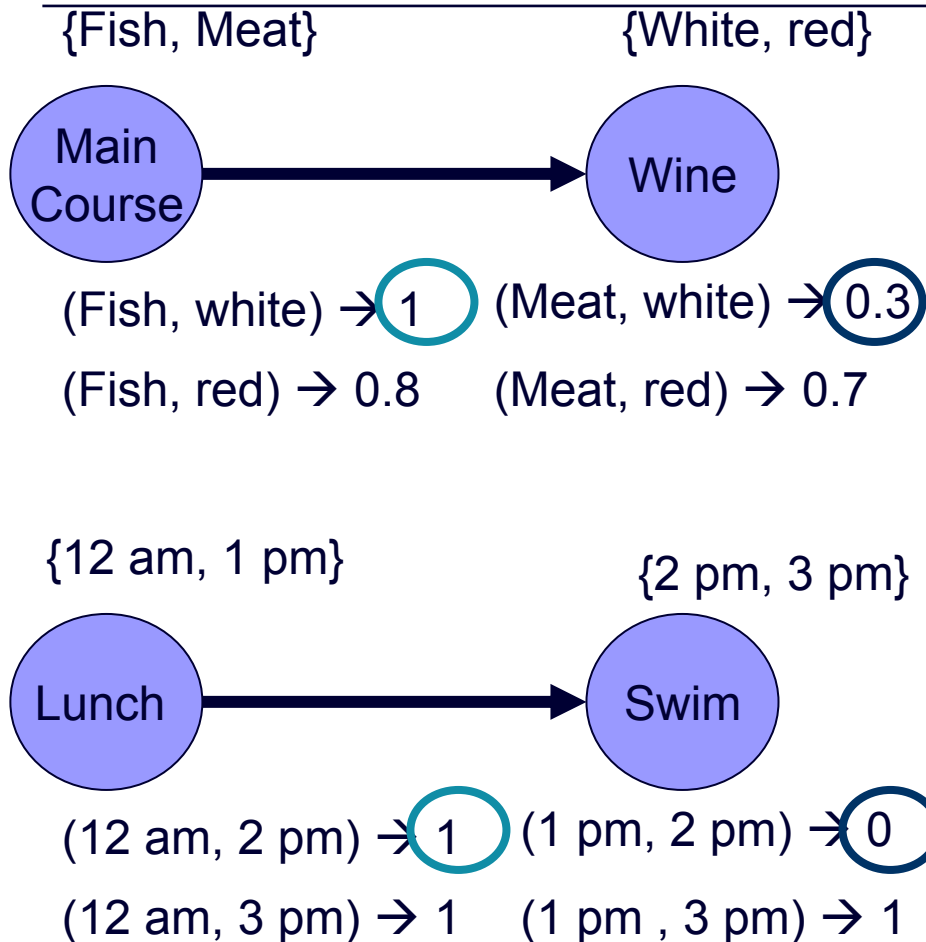
$S_i$  = projection of  $S$  on  $i$ -th constraint

**Ordering:** the preference relation **on the set of solutions** is a partial order

**Optimal solution  $S'$ :** there is no  $S$ ,  $\text{pref}(S) > \text{pref}(S')$



# Fuzzy-SCSP example



Fuzzy semiring

$$S = \langle A, +, \times, 0, 1 \rangle$$

$$S_{FCSP} = \langle [0, 1], \max, \min, 0, 1 \rangle$$

Solution $S$	
Lunch=	1 pm
Main course =	meat
Wine=	white
Swim =	2 pm
pref(S) = min(0.3, 0) = 0	

Solution $S'$	
Lunch=	2 pm
Main course =	meat
Wine=	white
Swim =	2 pm
pref(S) = min(1, 1) = 1	

**OPTIMAL**

# Negative Preferences

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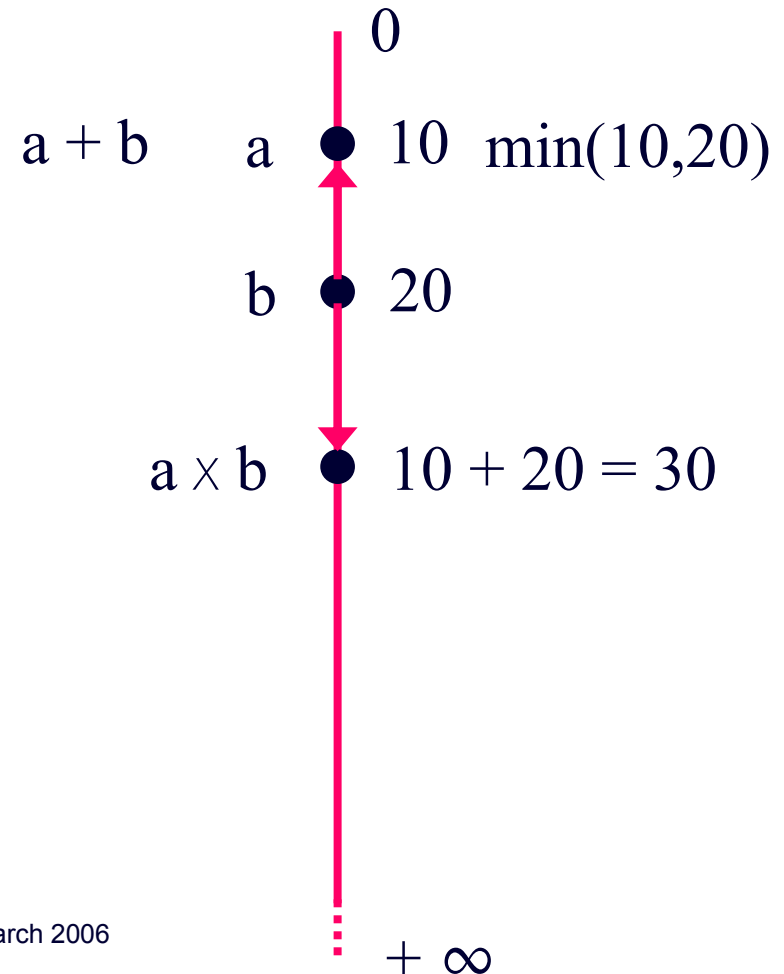
- Standard c-semiring  $\langle A, +, \times, 0, 1 \rangle$
- $a \times b \leq a, b$  : combining negative preferences should go down in the ordering

Example:

- Weighted semiring  $\langle \mathbb{R}^+, \min, +, +\infty, 0 \rangle$ ,
  - Values = costs
  - Goal = minimize the sum of costs

# Weighted c-semiring: induced ordering

$$\begin{array}{ccccccccc} <A, & +, & \times, & 0, & 1> \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ <\mathbb{R}^+, & \min, & +, & +\infty, & 0> \end{array}$$

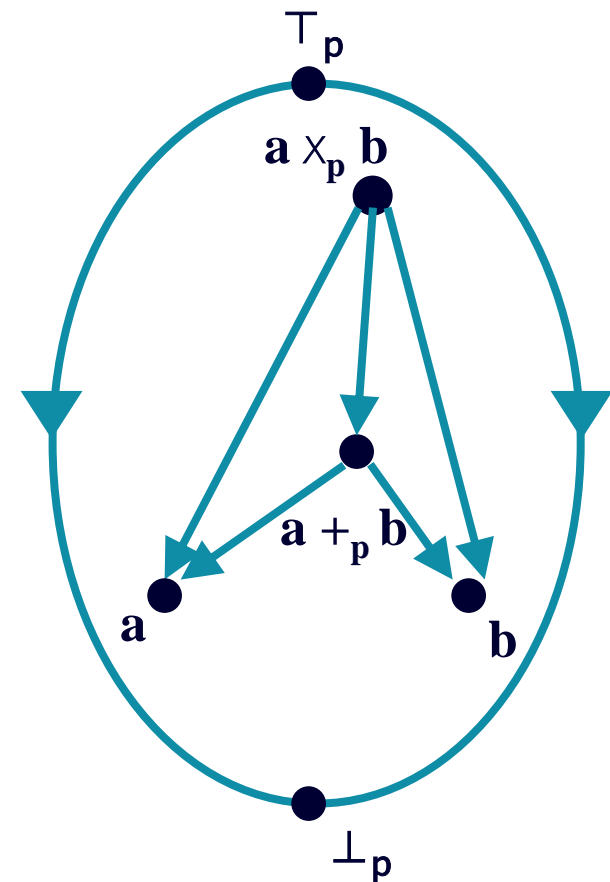


# Positive Preferences

- C-semiring not adapt because the **combining positive preference should go up** in the ordering  $\rightarrow$  new structure
- Positive Preference Structure  $\langle \mathbf{P}, +_p, \times_p, \perp_p, \top_p \rangle$
- $\mathbf{P}$  set,  $\perp_p, \top_p \in \mathbf{P}$ ;
  - $+_p$  additive operator: commutative, associative, idempotent, unit element  $\perp_p$ , absorbing element  $\top_p$ ;
  - $\times_p$  multiplicative operator: commutative, associative, **unit element  $\perp_p$ , absorbing element  $\top_p$**
  - $\perp_p, \top_p$  respect. bottom and top element
  - $\times_p$  distributes over  $+_p$

# Positive Preference Structure: Induced Ordering

- Given Positive Preference Structure  $\langle P, +_p, \times_p, \perp_p, \top_p \rangle$
- $+_p$  induces a **partial order**  $\leq$  on  $P$ :
  - $a \leq b$  iff  $a +_p b = b$
- $a +_p b \geq a, b$  ( $=\text{lub}(a, b)$ )
  - Adding two pref.s gives a higher preference
- $a \times_p b \leq a, b$  ( $=\text{glb}(a, b)$  if  $\times_p$  idempotent)
  - Combining two pref.s gives a HIGHER preference
- $+_p$  and  $\times_p$  monotone on  $\leq$



# Bipolar preferences

## □ Preference Structure

$$\langle \mathbf{PUN}, +_p, \times_p, +_n, \times_n, +, \times, \perp, \square, \top \rangle$$

➤  $\langle \mathbf{P}, +_p, \times_p, \square, \top \rangle$  is a positive preference structure

➤  $\langle \mathbf{N}, +_n, \times_n, \perp, \square \rangle$  is a c-semiring

➤  $+: (\mathbf{PUN})^2 \rightarrow (\mathbf{PUN})$

➤  $+|_{\mathbf{N}} = +_n$ ,

➤  $+|_{\mathbf{P}} = +_p$ ,

➤  $a + s = a$ , for all  $a \in \mathbf{P}$  and  $s \in \mathbf{N}$

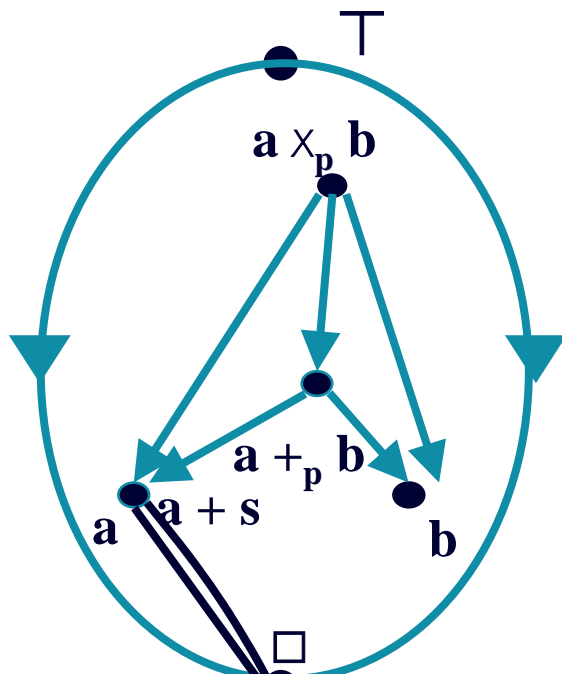
➤  $\times: (\mathbf{PUN})^2 \rightarrow (\mathbf{PUN})$ ,

➤  $\times|_{\mathbf{N}} = \times_n$ ,

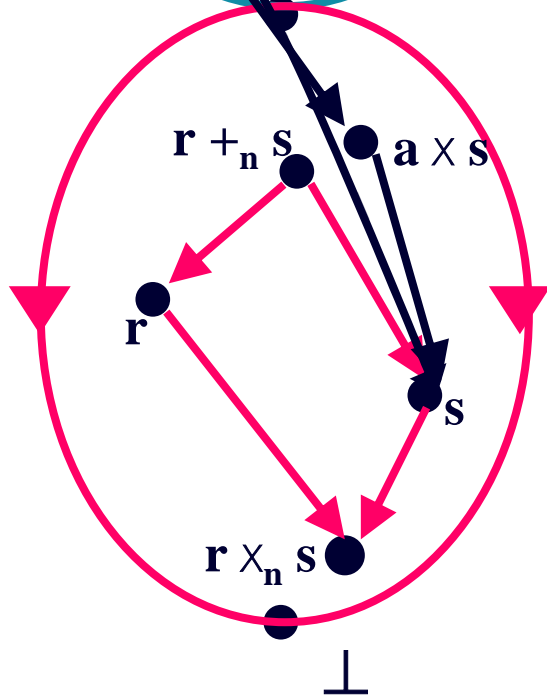
➤  $\times|_{\mathbf{P}} = \times_p$ ,

➤  $s \leq a \times s \leq a$ , for all  $a \in \mathbf{P}$  and  $s \in \mathbf{N}$  (compensation, plus other properties defined later)

**P**



**N**



## Preference Structure

$$\langle \mathbf{P} \cup \mathbf{N}, +_p, x_p, +_n, x_n, +, x, \perp, \square, \top \rangle$$

$$\langle \mathbf{P}, +_p, x_p, \square, \top \rangle \text{ positive pref. struct.}$$

$$\langle \mathbf{N}, +_n, x_n, \perp, \square \rangle \text{ is a c-semiring}$$

$+$  induces a partial order

$$\forall v \in \mathbf{P} \cup \mathbf{N} \quad \perp \leq v \leq \top$$

$$\forall p \in \mathbf{P}, \forall n \in \mathbf{N}, n \leq \square \leq p$$

$$\forall a, b \in \mathbf{P} \quad a + b = a +_p b \geq a, b$$

$$\forall r, s \in \mathbf{N} \quad r + s = r +_n s \geq r, s$$

$$\forall a, b \in \mathbf{P} \quad a \times b = a \times_p b \geq a, b$$

$$\forall r, s \in \mathbf{N} \quad r \times s = r \times_n s \leq r, s$$

$$\forall a \in \mathbf{P}, \forall s \in \mathbf{N}, a + s = a$$

$$\forall a \in \mathbf{P}, \forall s \in \mathbf{N}, s \leq a \times s \leq a$$

# Examples of unipolar structures

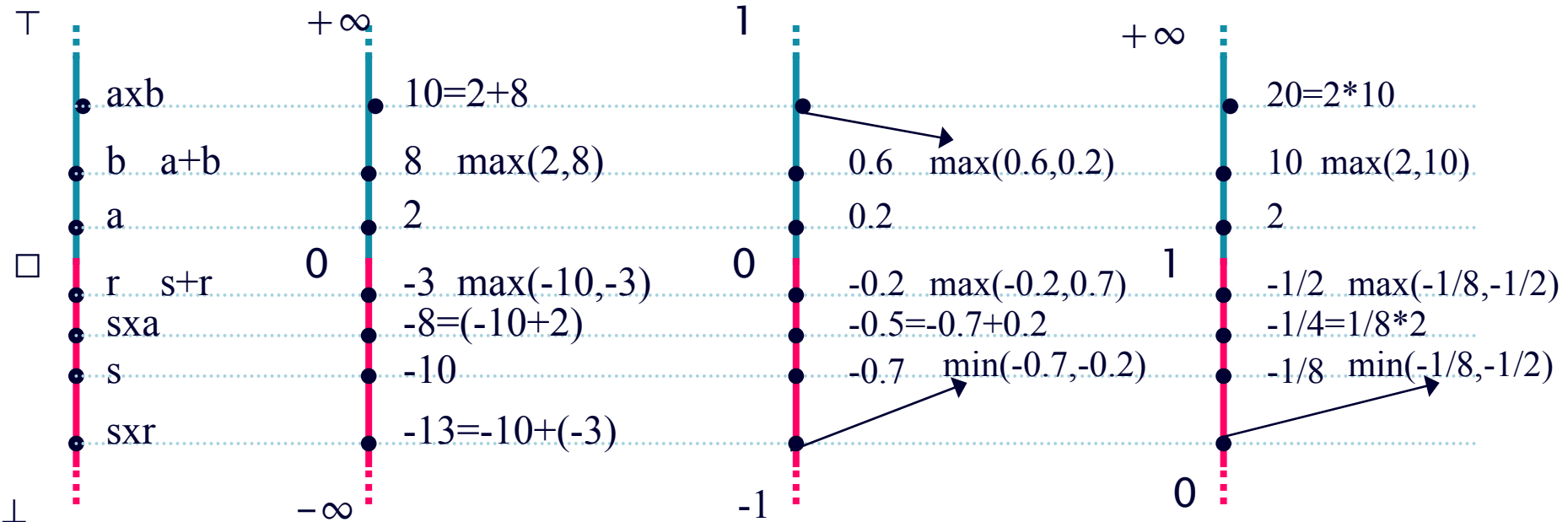
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- Negative structures: any c-semiring
  - Fuzzy  $\langle [0,1], \max, \min, 0, 1 \rangle$
  - Weighted  $\langle \mathbb{R}^+, \min, +, +\infty, 0 \rangle$
  - Probabilistic  $\langle [0,1], \max, \times, 0, 1 \rangle$
  
- Positive structures
  - $\langle \mathbb{R}^+, \max, +, 0, +\infty \rangle$
  - $\langle [0,1], \max, \max, 0, 1 \rangle$
  - $\langle [1, +\infty], \max, \times, 0, +\infty \rangle$



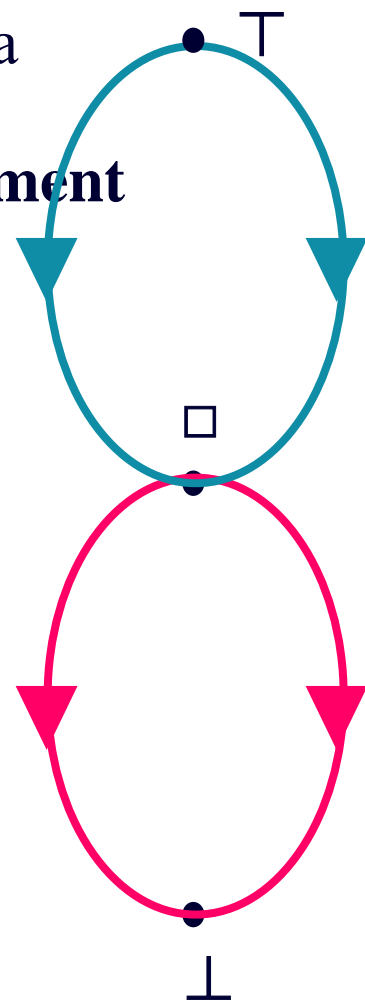
# Examples of bipolar preference structures

<b>PUN</b>	$+_p$	$\times_p$	$+_n$	$\times_n$	$+$	$\times$	$\perp$	$\square$	$\top$
$[0, +\infty]$ $\cup [0, -\infty]$	max	+	max	+	max	+	$-\infty$	0	$+\infty$
$[0, 1]$ $\cup [-1, 0]$	max	max	max	min	max	+	-1	0	1
$\mathbb{Z}^{>1}$ $\cup [0, 1]$	max	*	max	min	max	*	0	1	$+\infty$



# Conservative extension of soft constraints

- The preference structure represented by a c-semiring is a special case of a bipolar preference structure where: **the positive preferences collapses into the indifferent element**
- Examples:
- Fuzzy semiring  $\langle [0,1], \max, \min, 0, 1 \rangle$ 
  - preference = 1  $\rightarrow$  indifference, nothing wrong
  - preference = 0.9  $\rightarrow$  weak rejection
  - preference = 0.2  $\rightarrow$  strong rejection
  - preference = 0  $\rightarrow$  unacceptable
- Classic semiring  $\langle \{\text{false}, \text{true}\}, \text{or}, \text{and}, \text{false}, \text{true} \rangle$ 
  - preference = true  $\rightarrow$  indifference, no violations
  - preference = false  $\rightarrow$  rejection, some violations

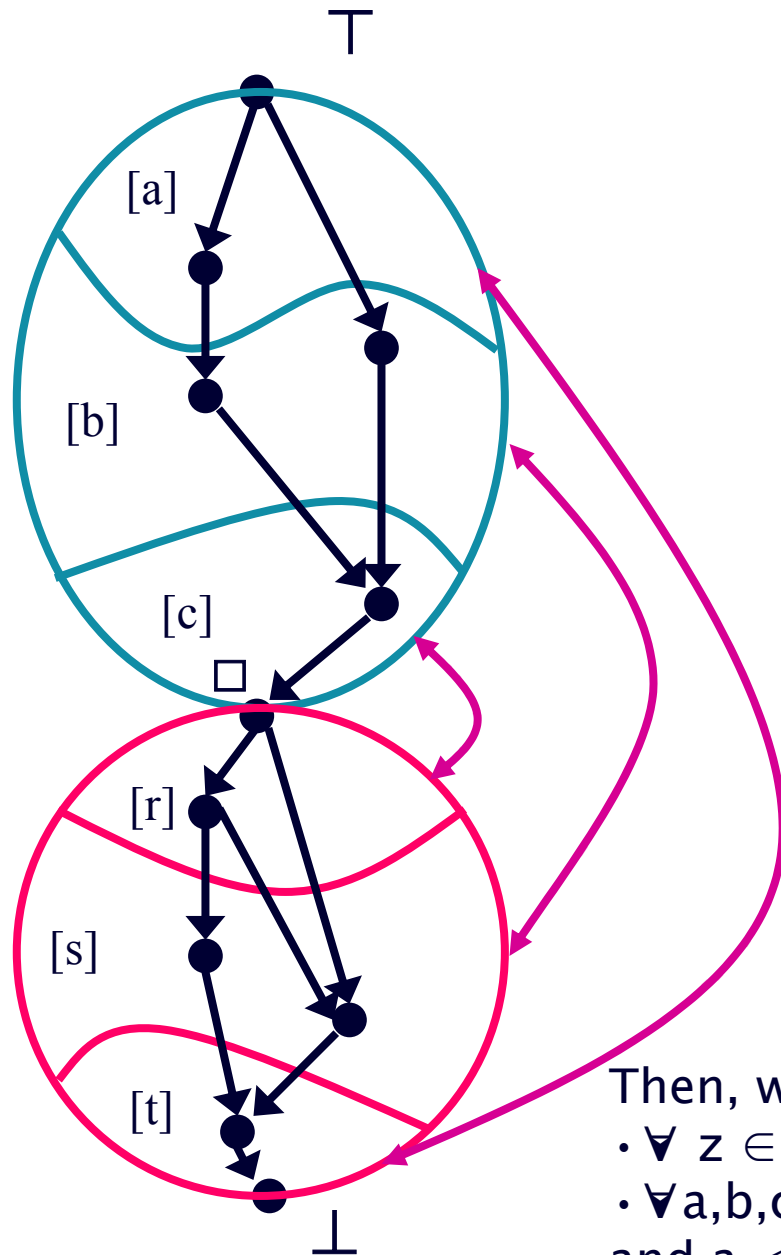


# Other properties for preference compensation

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- To define them:
  1. Partition of  $P$  and  $N$  in the same number of classes
  2. Elements in the same class behave similarly when combined with elements of the opposite class
  3. Ordering among the classes
  4. Correspondence function  $c \rightarrow c^{-1}$ , for all  $h$  in  $c$  and for all  $f$  in  $c^{-1}$ ,  $h \times f = \square$

**Pos**



**Neg**

1. Partition of P and N in the same number of classes

2. Order the classes

$[a] > [b]$  iff  $\forall x \in [a], \forall y \in [b], x \geq y$

$[a] \bowtie [b] > [c] > [r] > [s] > [t]$

3. Define a bijection f such that

$\forall x, y \in N,$   
 $[x] \leq$  or  $\bowtie [y]$  iff  $f([x]) \geq$  or  $\bowtie f([y])$   
 $\bowtie$  incomparable

Then, we have:

- $\forall z \in [x], \forall y \in f([x]), z \times y = \square$
- $\forall a, b, c \in P \cup N,$  if  $[a] < [b]$  then  $a \times c < b \times c$  and  $a < a \times b < b$

# Associativity of $\times$

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□  $\times$  might be not associative

□ Example: If  $\times_p$  idempotent,

$p \in P$ ,  $n \in N$  and  $p \times n = \square$ .

Then

$$p \times (p \times n) = p \times_p \square = p$$

$$(p \times_p p) \times n = p \times n = \square$$

□ It may be not associative even if  $\times_p$  and  $\times_n$  are not idempotent

# Associativity of $\times$

$\mathbf{P \cup N}$	$+_p$	$\times_p$	$+_n$	$\times_n$	$+$	$\times$	$\perp$	$\square$	$\top$
$[0, +\infty]$ $\cup [0, -\infty]$	max	+	max	+	max	+	$-\infty$	0	$+\infty$
$[0, 1]$ $\cup [-1, 0]$	max	max	max	min	max	+	-1	0	1
$\mathbb{Z}^{>1}$ $\cup [0, 1]$	max	*	max	min	max	*	0	1	$+\infty$

- The first structure is associative, while the other two are not

# Associativity of $\times$

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- If one of the following holds:
  - $x_p$  or  $x_n$  idempotent
  - $|P| \neq |N|$
  - there are negative (positive) preferences  $a$  and  $b$  such that  $a, b \neq \perp (\top)$  and  $a \times_n b = \perp (\top)$
  - there are preferences  $a, b, c$  such that
$$a \times f(b) = c \text{ but } c \times b \neq a$$
- $\times$  is not associative

# Bipolar Preference Problems (1)

- Variables  $\{X_1, \dots, X_n\} = X$
- Domains  $\{D(X_1), \dots, D(X_n)\} = D$
- Preference Structure  $\langle \mathbf{P} \cup \mathbf{N}, +_p, \times_p, , +_n, \times_n, +, \times, \perp, \square, \top \rangle$
- Set of bipolar constraints  $C$ 
  - Bipolar constraint: pair  $c = \langle f, \text{con} \rangle$  where:
    - Scope:  $\text{con} = \{X_{c1}, \dots, X_{ck}\}$  subset of  $X$
    - Preference function :
 
$$f: D(X_{c1}) \times \dots \times D(X_{ck}) \rightarrow \mathbf{P} \cup \mathbf{N}$$

tuple  $(v_1, \dots, v_k) \rightarrow d$ , degree of preference or rejection



# Bipolar Preference Problems (2)

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**Solution:** Assignment to all the variables in  $V$

**Preference of solution  $S$ :**

$$\text{pref}(S) = (p_1 \times_p \dots \times_p p_k) \times (n_1 \times_n \dots \times_n n_h)$$

$p_i \in P$  positive values assigned to projections  
 $n_i \in N$  negative values assigned to projections

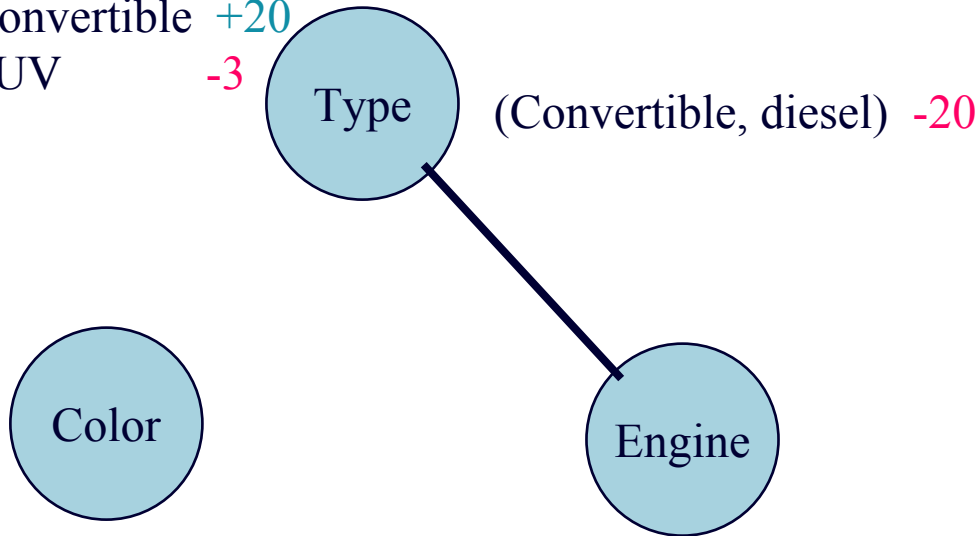
**Ordering:** the preference relation on the set of solutions is a partial order

**Optimal solution  $S'$ :** there is no  $S$ ,  $\text{pref}(S) > \text{pref}(S')$

# Example

I like red cars,  
 I like convertibles a lot;  
 I hate black cars,  
 I don't care much for SUVs,  
 I like diesel because it is  
 cheaper  
 But I don't want a diesel  
 convertible  
 I am indifferent to white cars

Convertible +20  
 SUV -3



Red +10  
 Black -10  
 White 0

Diesel +10  
 Gasoline 0

PUN	$+_p$	$\times_p$	$+_n$	$\times_n$	+	$\times$	$\perp$	$\square$	T
$[0, +\infty]$ $\cup [0, -\infty]$	max	+	max	+	max	+	$-\infty$	0	$+\infty$



20+10= 30



20

d=diesel

d



-3+10+10= 17



10



d



7

d



0



d



-3

d



-10



-13

d



-30

# Solving bipolar preference problems

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- Branch and bound can be adapted
- Value associated at every tree node:  $(p, n)$
- To compute an upper bound of the preference of solutions in the subtree:
  - Compute  $(p', n')$ , where  $p'$  ( $n'$ ) combination of best positive (negative) preferences in subtree
  - Upper bound =  $(p \times p') \times (n \times n')$
- If  $x$  is associative,  $p$  and  $n$  can be combined, also in the computation of the upper bound
- Constraint propagation: useful on negative preferences, not convenient on positive preferences

# Open issues

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- ❑ Conditions for associativity of compensation
- ❑ Negative preferences which cannot be compensated
- ❑ Branch and bound and constraint propagation when  $x$  is associative
- ❑ Connection with non-monotonic reasoning
- ❑ Tractable structures for bipolar preference problems