

# An Investigation of the Multimorphisms of Tractable and Intractable Classes of Valued Constraints

**Mathematics of Constraint Satisfaction:  
Algebra, Logic and Graph Theory**

20 - 24 March 2006

# Agenda

- The valued constraint formalism (8 mins)
- Reasoning about tractability (5 mins)
- Expressibility/Multimorphisms (10 mins)
- Open Questions (4 minutes)

# Constraint Satisfaction

- We have a set of **variables**
- We have a set of **domain** values for each variable
- We have an **oracle** that determines **validity**
- We have to find any **feasible** assignment

# Constraint Optimization

- We have a set of **variables**
- We have a set of **domain** values for each variable
- We have an **oracle** that determines **cost**
- We have to find any **optimal** assignment

# Assignment Costs: Axioms

$\perp$  is the best value.

$\top$  is the worst value.

Local Computation

$\otimes$  models projection and is commutative, associative and idempotent.

$\oplus$  models aggregation and is commutative and associative;

$$\forall a : (a \otimes \top = a) \wedge (a \oplus \perp) = a;$$

$$\forall a : (a \otimes \perp = \perp) \wedge (a \oplus \top) = \top;$$

$\oplus$  distributes over  $\otimes$  :

$$\forall a,b,c : (a \oplus (b \otimes c) = (a \oplus b) \otimes (a \oplus c)).$$

We then define:

$$(a \leq b) \Leftrightarrow (a \otimes b = a).$$

With respect to  $\leq$  we can show  $\otimes$  and  $\oplus$  are monotonic.

# VCSP framework

- Here we insist  $\leq$  is a total order.
- Then the costs are a valuation structure.
- We write:
  - 0 to mean  $\perp$  (the best value);
  - $\infty$  to mean  $\top$  (the worst value);
  - Projection ( $\otimes$ ) becomes minimum;
- If  $\oplus$  is strictly monotonic (except on  $\infty$ ) we can also subtract costs (we get  $\ominus$ ).

# Constraint Satisfaction

- consists of (CSP);
  - A set of problem variables;
  - A domain of values;
  - A set of constraints.
- Each constraint has a:
  - **Scope**: list of concerned variables;
  - **Relation**: validity of each assignment.

# Valued Constraint Satisfaction

- consists of (VCSP):

- A set of problem variables;
- A domain of values;
- A set of constraints;
- A set of costs (**valuation structure**).

A totally ordered set  
with a strictly  
monotonic  
aggregation operator

- Each constraint has a:

- **Scope**: list of concerned variables;
- **Cost Function**: cost of each assignment.
- **Multiplicity**: How many times we apply it.

# An Optimisation Problem

Classical  
CSP

Unary Valued  
Constraints

at Homomorphisms to Proper Interval Graphs and Bigraphs  
Jörgy Gutin, Pavol Hell, Arash Rafiey, Anders Yeo.

For graphs  $G$  and  $H$ , a mapping  $f: V(G) \rightarrow V(H)$  is a homomorphism of  $G$  to  $H$  if  
 $uv \in E(G)$  implies  $f(u)f(v) \in E(H)$ .

If, moreover, each vertex  $u \in V(G)$  is associated with costs  $c_i(u); i \in V(H)$ ,  
then the cost of the homomorphism  $f$  is

$$\sum_{u \in V(G)} c_{f(u)}(u).$$

For each fixed graph  $H$ , we have the *minimum cost homomorphism problem*,  
written as  $\text{MinHOM}(H)$ .

The problem is to decide, for an input graph  $G$  with costs

$$c_i(u); u \in V(G); i \in V(H),$$

whether there exists a homomorphism of  $G$  to  $H$  and, if one exists, to find one  
of minimum cost.

Optimisation

Aggregation

# A voyage of Discovery

- In general the VCSP is NP-hard.
- It generalizes CSP.



# Reasoning about Tractability + 8 minutes

# Valued Constraint Languages

- For any domain  $D$ , and valuation structure  $\chi$ , a  $k$ -ary cost function is a mapping  $\mu$  from  $D^k$  to  $\chi$ .
- A valued constraint language (for  $D$  and  $\chi$ ) is any set  $\Gamma$  of cost functions.

# Tractability?

- We generalised the notion of a **polymorphism** to a **multimorphism**.
- All tractable Boolean languages (and many others) are characterised by single multimorphisms.
- Intractable Boolean languages have no multimorphisms (to speak of).

# Multimorphisms and Expressibility

?

?

?

Do Multimorphisms capture Expressibility  
in the same way that Polymorphism did  
for crisp constraints?

?

?

?

**No**

Well – at least I don't think so

# Fractional Polymorphism

- Here we generalise the concept of a multimorphism.
- A  $k$ -ary **fractional polymorphism** of  $\phi$  is a (+ve) weighted set of  $k$ -ary polymorphisms of  $\text{Feas}(\phi)$  with total weight equal to  $k$ .

# Fractional polymorphisms

- An  $n$ -ary cost function assigns costs to  $n$ -tuples
- A  $k$ -ary fractional polymorphism maps  $k$ -tuples to weighted sets of  $n$ -tuples
- We take  $k$  (not necessarily different)  $n$ -tuples and add up their costs.
- We apply the fractional polymorphism to them component-wise and add up the weighted costs of the obtained weighted  $n$ -tuples
- **The weighted sum of the costs cannot be worse than original sum of the costs**

# Fractional polymorphism: Example

0: Start with any two 3-tuples

|   |   |   |
|---|---|---|
| 1 | 2 | 3 |
| 5 | 1 | 2 |

Cost:  $\phi(x,y,z) := x + 2y + 3z$

Fractional Polymorphism:  $\{(\min,1),(\max,1)\}$

# Fractional polymorphism : Example

1: Apply the cost function

|        |
|--------|
| $\phi$ |
| $\phi$ |

 $\Rightarrow$ 

|   |   |   |
|---|---|---|
| 1 | 2 | 3 |
| 5 | 1 | 2 |

 $=$ 

|    |
|----|
| 14 |
| 13 |

Cost:  $\phi(x,y,z) := x + 2y + 3z$

Fractional Polymorphism:  $\{(\text{min},1),(\text{max},1)\}$

# Fractional polymorphism : Example

2: Add the results

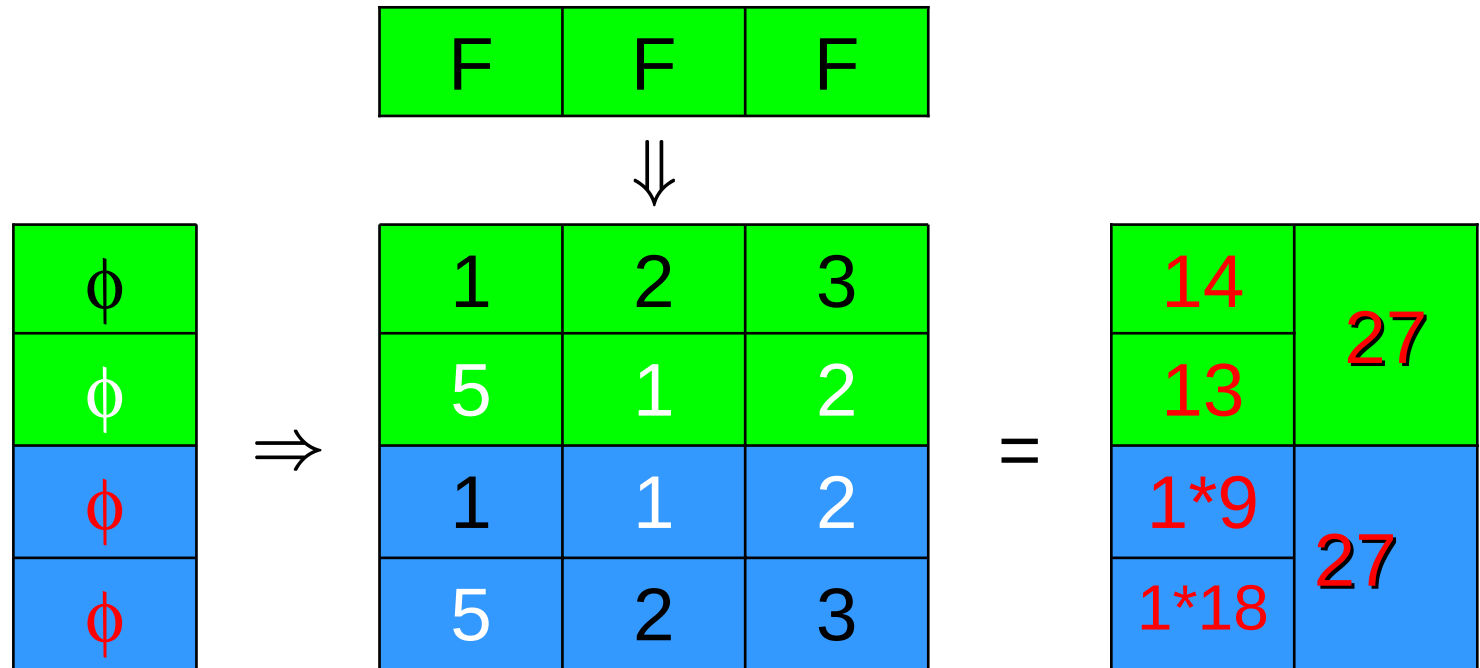
|        |               |                                                                                               |   |   |   |   |   |   |     |                                                                                |    |    |    |
|--------|---------------|-----------------------------------------------------------------------------------------------|---|---|---|---|---|---|-----|--------------------------------------------------------------------------------|----|----|----|
| $\phi$ | $\Rightarrow$ | <table><tr><td>1</td><td>2</td><td>3</td></tr><tr><td>5</td><td>1</td><td>2</td></tr></table> | 1 | 2 | 3 | 5 | 1 | 2 | $=$ | <table><tr><td>14</td><td rowspan="2">27</td></tr><tr><td>13</td></tr></table> | 14 | 27 | 13 |
| 1      | 2             | 3                                                                                             |   |   |   |   |   |   |     |                                                                                |    |    |    |
| 5      | 1             | 2                                                                                             |   |   |   |   |   |   |     |                                                                                |    |    |    |
| 14     | 27            |                                                                                               |   |   |   |   |   |   |     |                                                                                |    |    |    |
| 13     |               |                                                                                               |   |   |   |   |   |   |     |                                                                                |    |    |    |
| $\phi$ |               |                                                                                               |   |   |   |   |   |   |     |                                                                                |    |    |    |

Cost:  $\phi(x,y,z) := x + 2y + 3z$

Fractional Polymorphism:  $\{(\text{min},1),(\text{max},1)\}$

# Fractional polymorphism : Example

3: Apply the fractional polymorphism

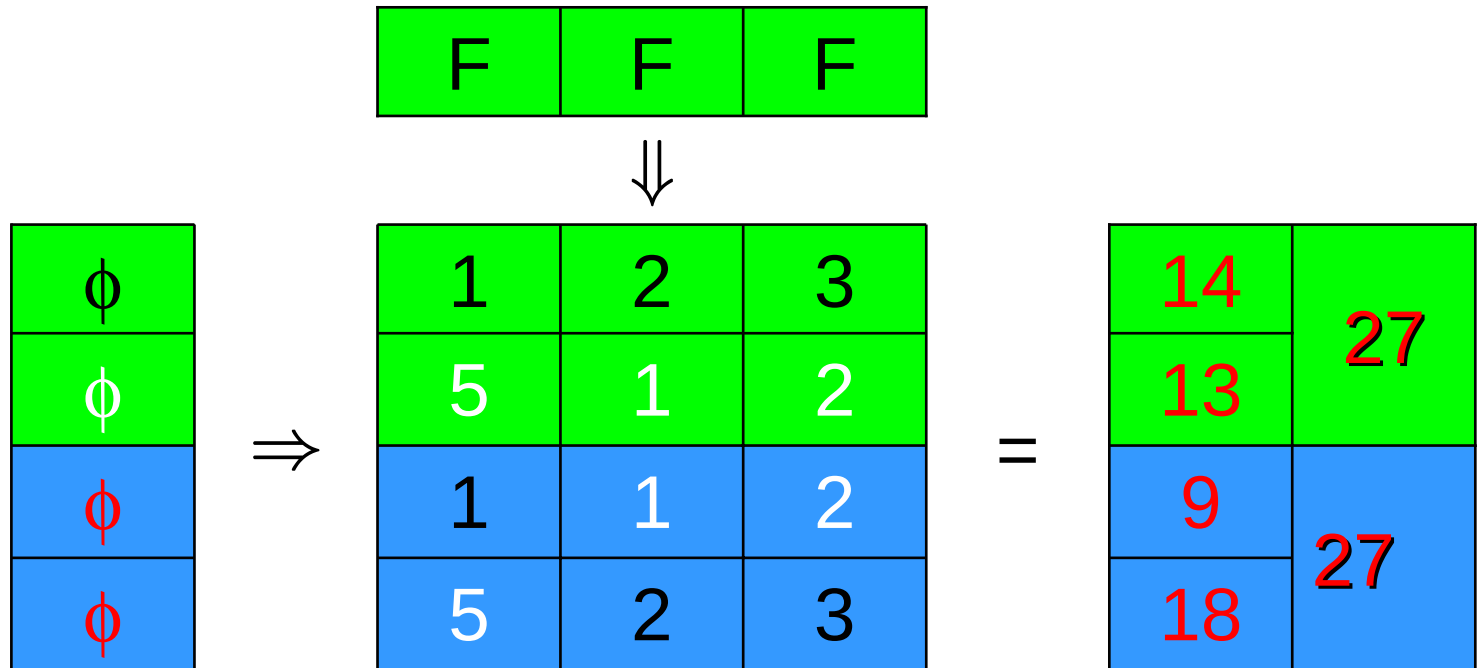


Cost:  $\phi(x,y,z) := x + 2y + 3z$

Fractional Polymorphism:  $\{(\text{min},1),(\text{max},1)\}$

# Fractional polymorphism : Example

4: Check the **transformed result** is no bigger



Cost:  $\phi(x,y,z) := x + 2y + 3z$

Fractional Polymorphism:  $\{(\text{min},1),(\text{max},1)\}$

# Multimorphisms and Polymorphisms

- A **k-ary polymorphism** is a **singleton fractional polymorphism**.
- A **multimorphism** is a **fractional polymorphism with integral weights**.

# Expressibility and Fractional Polymorphisms + 13 minutes

# Expressibility

- We say that  $\phi$  is **expressible** over  $\Gamma$  if there is a VCSP,  $P$ , and a list of variables,  $\sigma$ , for which the projection onto  $\sigma$  of  $\text{Sol}(P)$  has cost function  $\phi$  +/- some constant
- For classical CSPs this notion captured the capability of join and project. Here it captures the equivalent notions

# Expressibility

- Every cost function expressed by  $\Gamma$  has the fractional polymorphisms of  $\Gamma$ .
- If, furthermore, any cost functions with the fractional polymorphisms of  $\Gamma$  can be expressed by  $\Gamma$  then the fractional polymorphisms capture complexity.
- The tractability of a valued constraint language would then be determined by its fractional polymorphisms.

# The Fractional Polymorphisms Conjecture

## Conjecture

For any language  $\Gamma$ , any finite set  $\Gamma^*$  of cost functions improved by the fractional polymorphisms of  $\Gamma$  is polynomial time reducible to  $\Gamma$ .

# Fractional Polymorphisms of $\text{Feas}(\Gamma)$

- $\text{Feas}(\Gamma)$  is the set of cost functions where finite values are replaced by zero.
- It is worth observing that any fractional polymorphism of  $\Gamma$  is also a fractional polymorphism of  $\text{Feas}(\Gamma)$ .
- ...because a fractional polymorphism is a weighted set of polymorphisms of  $\text{Feas}(\Gamma)$ .

# Does $\Gamma$ express $\text{Feas}(\Gamma)$ ?

- If  $\Gamma$  **does not** express  $\text{Feas}(\Gamma)$  then we cannot have the full conjecture holding.  $\text{Feas}(\Gamma)$  provides a counterexample.
- The conjecture fails.

# k-th order Indicator Problem

- Variables:  $V = D^k$
- Scope  $\sigma \in V^*$  matches  $\rho \in \Gamma$  if each of the  $k$  lists of components of  $\sigma$  is in  $\rho$
- The constraint  $\langle \sigma, \rho \rangle$  is applied whenever  $\sigma$  matches  $\rho$

# The valued Indicator Problem

- Consider the  $|\text{Feas}(\phi)|$  indicator problem over the language  $\text{Feas}(\Gamma)$
- Make this into a family of VCSPs by assigning a Multiplicity Variable,  $x(\sigma, \gamma)$ , to each matched scope  $\sigma$  of the (valued) constraint  $\gamma$  in  $\Gamma$  (*and add in a constant*)
- Is there an assignment to the Multiplicity Variables so that the VCSP obtained expresses  $\phi$  on  $\sigma_\phi$  where  $V[\sigma_\phi] = \text{Feas}(\phi)$ ?

# Expressibility and Fractional Polymorphisms

## New Theorem

- Either the valued indicator problem expresses a cost function that finitely matches  $\phi$  (matches  $\phi$  wherever it is finite)
- Or, by a variant of Farkas' Lemma we get that there is a fractional polymorphism of  $\Gamma$  that is not a fractional polymorphism of  $\phi$ .

# Does $\Gamma$ express $\text{Feas}(\Gamma)$ ?

- Suppose that  $\Gamma$  expresses  $\text{Feas}(\Gamma)$  then, if  $\text{Pol}(\text{Feas}(\Gamma)) \subseteq \text{Pol}(\text{Feas}(\phi))$  we can express  $\text{Feas}(\phi)$  over  $\Gamma$
- $\text{Feas}(\phi) +$  a finite match of  $\phi$  is equal to  $\phi$
- In this case we get that the fractional polymorphisms of  $\Gamma$  and polymorphisms of  $\text{Feas}(\Gamma)$  exactly capture expressibility.
- The conjecture holds.

# Corollaries

- Any language  $\Gamma$  without any fractional polymorphisms (to speak of) is intractable. (Such a language expresses XOR).
- If  $\Gamma$  expresses (e.g. includes)  $\text{Feas}(\Gamma)$  then the expressibility of  $\Gamma$  is known.
- Finite expressiveness is captured by fractional polymorphisms.
- XOR has no fractional polymorphisms to speak of and so expresses any finite cost function.

# Feas( $\Gamma$ )

- Feas( $\Gamma$ ) is not in general expressible.
- So  $\Gamma$  cannot express all cost functions with the fractional polymorphisms of  $\Gamma$ .

# Conclusions and Open Questions

## +23 minutes

# Conclusions - Expressibility

- Fractional Polymorphisms characterise finite expressibility (and so reducibility)
- Fractional Polymorphisms, and classical Polymorphisms, characterise expressibility for languages with feasibility
- Feasibility is not (in general) expressible

# Conclusions - Complexity

- No fractional polymorphisms means intractable
- Adding all finite cost functions closed under the same fractional polymorphisms does not change the complexity
- Adding all cost functions closed under the same fractional polymorphisms (and polymorphisms) to a language with feasibility does not change the complexity

# Open Questions

- What exactly characterises expressibility ... and so reducibility?
  - Is there something a bit stronger than fractional polymorphism?
- For which languages  $\Gamma$  is  $\text{Feas}(\Gamma)$  expressible?
  - What characterises those non-expressible feasibility cost functions?

# The Valued Indicator Problem expresses $\phi$

If the following equations are satisfied:

- $\forall \mathcal{F} \in \text{Pol}_{|\text{Feas}(\phi)|}(\Gamma),$   
$$\sum_{\gamma \in \Gamma} \sum_{\sigma \text{ matches } \text{Feas}(\gamma)} x(\sigma, \gamma) \gamma(\mathcal{F}(\sigma)) \geq \phi(\mathcal{F}(\sigma_\phi))$$

with equality when  $F$  is a projection,

then with the multiplicities  $x(\sigma, \gamma)$  the valued indicator problem expresses a function that finitely matches  $\phi$

# The Valued Indicator Problem **does not** express $\phi$

By Farkas' Lemma the following equations:

- $\forall \gamma \in \Gamma, \forall \sigma \text{ matches } \gamma,$   
$$\sum_{\mathcal{F} \in \text{Pol}(\Gamma)} y(\mathcal{F}) \gamma(\mathcal{F}(\sigma)) = 0$$

$$\forall \gamma \in \Gamma,$$
$$\sum_{\mathcal{F} \in \text{Pol}(\Gamma)} y(\mathcal{F}) \phi(\mathcal{F}(\sigma_\phi)) < 0$$

where  $y(\mathcal{F}) \geq 0$  whenever  $\mathcal{F}$  is not a projection.

This solution precisely defines a fractional polymorphism of  $\Gamma$  that is not a fractional polymorphism of  $\phi$