## Parameterized Complexity for Graph Linear Arrangement Problems

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#### **Linear Arrangements**

A linear arrangement of a graph G = (V, E)is a one-to-one mapping  $\alpha : V \rightarrow \{1, \dots, |V|\}$ . The length of an edge  $uv \in E$  relative to  $\alpha$  is

$$\lambda_{\alpha}(uv) = |\alpha(u) - \alpha(v)|.$$

The cost  $c(\alpha, G)$  of a linear arrangement  $\alpha$  is

$$\mathsf{c}(\alpha,G) = \sum_{e \in E} \lambda_{\alpha}(e).$$

Linear arrangements of minimal cost are optimal; ola(G) denotes the cost of an optimal linear arrangement of G.



Linear arrangements  $\alpha$  and  $\beta$  with

 $c(\alpha, P_4) = 4 \text{ and } c(\beta, P_4) = 3$ 

## Linear Arrangement Problem (LAP)

It is the problem of deciding, given a graph Gand an integer k, whether  $ola(G) \le k$ .

LAP is a classical NP-complete problem (Garey and Johnson, 1979).

Goldberg and Klipker (1976) were the first to obtain a polynomial-time algorithm for computing optimal linear arrangements of trees. Faster algorithms for trees were obtained by Shiloach (1979) and Chung (1984).

## **Fixed Parameter Tractability: Definitions**

A parameterized problem  $\Pi$  can be considered as a set of pairs (I, k) where I is the problem instance and k (usually an integer) is the parameter.  $\Pi$  is called fixed-parameter tractable (FPT) if membership of (I, k) in  $\Pi$  can be decided in time  $O(f(k)|I|^c)$ , where |I| is the size of I, f(k) is a computable function, and c is a constant independent from k and I.

A reduction to problem kernel (or kernelization) is a polynomial-time many-to-one transformation from the parameterized problem to itself, such that (i) (I,k) is reduced to (I',k')with  $k' \leq ck$ ,  $|I'| \leq g(k)$ , for some constant c and some computable function g, and (ii)  $(I,k) \in \Pi$  if and only if  $(I',k') \in \Pi$ . Here, (I',k') is called the problem kernel.

## **Fixed Parameter Tractability: Approaches**

**FPT**: one of the approaches to solve NP-hard problems

**FPT applicability**: bioinformatics (e.g., M.A. Langston, clique computation via vertex cover)

**FPT applicability**: preprocessing rules for exact and approx. computations

Well-known FPT approaches:

- (a) Reduction to problem kernel (lots)
- (b) Bounded search trees (even more)
- (c) Color-coding

## Parameterized LAP

The following is a straightforward way to parameterize LAP (Fernau, 2005, Serna and Thilikos, 2005):

#### Parameterized LAP

Instance: A graph G. Parameter: A positive integer k. Question: Does G have a linear arrangement of cost at most k?

An edge has length at least 1 in any LA. Thus, for a graph G with m edges we have  $ola(G) \ge m$ . Consequently, parameterized LAP is FPT by trivial reasons.

## LAPAGV

Consider the net cost  $nc(\alpha, G)$  of a linear arrangement  $\alpha$  defined as follows:  $nc(\alpha, G) = \sum_{e \in E} (\lambda_{\alpha}(e) - 1)$ . The net cost of an optimal LA of G is  $ola^+(G) = ola(G) - m$ . The following parameterization of LAP is due to (Fernau, 2005) who asked whether LAPAGV is FPT ?

# LA parameterized above guaranteed value (LAPAGV)

Instance: A graph G. Parameter: A positive integer k. Question: Does G have a linear arrangement of net cost at most k?

#### Lemmas

Lemma 1. Let  $G_1, \ldots, G_p$  be the connected components of a graph G. Then  $ola^+(G) = \sum_{i=1}^p ola^+(G_i)$ .

Lemma 2. If G is a connected bridgeless graph of order  $n \ge 1$ , then  $ola^+(G) \ge (n-1)/2$ .

**Proof:** Assume  $n \ge 3$ ; *G* is 2-edge-connected. Let  $\alpha$  be an optimal linear arrangement of *G* and  $u = \alpha^{-1}(1)$ ,  $w = \alpha^{-1}(n)$ .

Since G is 2-edge-connected, by Menger's Theorem there are two paths P, P' between u to w such that  $E(P) \cap E(P') = \{u, w\}$ . Let G' = $P \cup P'$ . We can prove  $|E(G')| \leq 3(n-1)/2$ . We obtain  $\operatorname{ola}^+(G) = \operatorname{nc}(\alpha, G) \geq \operatorname{nc}(\alpha, G') \geq$  $2(n-1) - |E(G')| \geq (n-1)/2$ .

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#### Lemma 3

Lemma 3. Let G be a connected graph. Let X be a vertex set of G such that G[X] is connected and let G - X have connected components  $G_1, G_2, \ldots, G_r$  with  $n_1, n_2, \ldots, n_r$  vertices, respectively, such that  $n_1 \leq n_2 \leq \ldots \leq n_r$ . Then  $\operatorname{ola}^+(G) \geq \operatorname{ola}^+(G[X]) + \sum_{i=1}^{r-2} n_i$ .



 $ola^+(G) \ge ola^+(G[X]) + (n_1 + n_2 + n_3 + n_4)$ 

**Proof:** We call a vertex  $u \in V(G)$   $\alpha$ -special if G-u is connected and  $\alpha(u) \notin \{1,n\}$ . Let  $\alpha$  be an optimal LA of G. Assume  $r \geq 3$ . Each non-trivial  $G_i$  has a pair  $u_i, v_i$  of distinct vertices such that  $G_i - u_i$  and  $G_i - v_i$  are connected. If  $G_i$  is trivial, then set  $u_i = v_i$ . Since  $r \geq 3$ , for some  $j \in \{1, 2, \ldots, r\}$ ,  $\alpha(u_j) \notin \{1, n\}$  and  $\alpha(v_j) \notin \{1, n\}$ . Now we claim that there is a vertex  $u \in V(G_j)$  such that G-u is connected. Indeed, we set  $u = u_j$  if there are edges between  $v_j$  and G[X], we set  $u = v_j$ , otherwise.

We have proved that G has an  $\alpha$ -special vertex u not in X. Note:  $ola^+(G) \ge ola^+(G-u) + 1$  for an  $\alpha$ -special vertex u of G. Procedure: while G - X has a least three components, choose an  $\alpha$ -special vertex  $u \notin X$  of G and replace G with G - u.

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#### Lemma 4

A bridge e of G is k-separating if both components of G - e have more than k vertices.

Lemma 4. Let k be a positive integer and let G be a connected graph with n vertices with  $ola^+(G) \le k$ . Then either G has a k-separating bridge or  $n \le 4k + 1$ .

**Proof:** If *G* is a bridgeless graph, by Lemma 2,  $n \leq 2k+1$ . Assume: *G* has a bridge. Choose a bridge  $e_1$  with maximal min{ $|V(F_1)|, |V(F_0)|$ }, where  $F_1, F_0$  are the components of  $G - e_1$ . Assume:  $|V(F_1)| \leq |V(F_0)|$ . Since  $e_1$  is not a *k*-separating bridge,  $|V(F_1)| \leq k$ . Let  $F_0^*$  the bridgeless component of  $F_0$  containing a vertex incident to  $e_1$ . If  $F_0 = F_0^*$  then  $|V(F_0)| \leq 2k+1$ and we are done; hence we assume that  $F_0 \neq$  $F_0^*$ .

Let  $e_2, \ldots, e_r$  denote the bridges of  $F_0$  that are incident to vertices in  $F_0^*$ . Moreover, let  $F_2, \ldots, F_r$  denote the corresponding connected components of  $F_0 - V(F_0^*)$ .



Assume:  $|V(F_2)| \ge |V(F_3)| \ge ... \ge |V(F_r)|$ . Easy to see:  $|V(F_1)| \ge |V(F_2)|$ . By Lemma 3,  $ola^+(G) \ge ola^+(F_0^*) + \sum_{i=3}^r |V(F_i)|$ . Thus,  $\sum_{i=3}^r |V(F_i)| \le k - ola^+(F_0^*)$ . Since  $|V(F_2)| \le |V(F_1)| \le k$  and, by Lemma 2,  $|V(F_0^*)| \le 2 \cdot ola^+(F_0^*) + 1$ , we obtain that

 $n = |V(F_0^*)| + \sum_{i=1}^r |V(F_i)| \le (2 \cdot \text{ola}^+(F_0^*) + 1) + (3k - \text{ola}^+(F_0^*)) = 3k + \text{ola}^+(F_0^*) + 1 \le 4k + 1.$ 

#### **Suppressing Lemma**

Let G be a graph and let v be a vertex of degree 2 of G. Let  $vu_1, vu_2$  denote be the edges incident with v. Assume that  $u_1u_2 \notin E(G)$ . We obtain a graph G' from G by removing v (and the edges  $vu_1, vu_2$ ) from G and adding instead the edge  $u_1u_2$ . We say that G' is obtained from G by suppressing vertex v. Furthermore, if the two edges incident with v are k-separating bridges for some positive integer k, then we say that v is k-suppressible.

Lemma 5. Let G be a connected graph and let v be an  $ola^+(G)$ -suppressible vertex of G. Then  $ola^+(G) = ola^+(G')$  holds for the graph G' obtained from G by suppressing v.

#### **Kernel Theorems**

Theorem 1. Let k be a positive integer, and let G be a connected graph without k-suppressible vertices. If  $ola^+(G) \le k$ , then G has at most 5k + 2 vertices and at most 6k + 1 edges.

Theorem 2. Let f(n,m) be the time sufficient for checking whether  $ola^+(G) \le k$  for a connected graph G with n vertices and m edges. Then f(n,m) = O(m+n+f(5k+2,6k+1)).

Theorem 3. We have  $f(5k + 2, 6k + 1) = O(5.88^k)$ .

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## Serna-Thilikos Problems (2005)

## Vertex Average Min Linear Arrangement

Instance: A graph G. Parameter: A positive integer k. Question: Does G have an LA of cost  $\leq k|V(G)|$ ?

## Edge Average Min Linear Arrangement

Instance: A graph G. Parameter: A positive integer k. Question: Does G have an LA of cost  $\leq k|E(G)|$ ?

#### **Serna-Thilikos Question**

Q.: Are the problems FPT ?

Theorem 4. For each fixed  $k \ge 2$ , both problems are NP-complete.

A.: No, unless P=NP.

## Serna-Thilikos Problem on Graph Profile (2005)

For an LA  $\alpha$  of G = (V, E), its profile  $prf(\alpha, G) =$ 

 $\sum_{v \in V} \max\{\alpha(v) - \alpha(u) : u \in N[v]\}.$ 

#### **Vertex Average Profile**

Instance: A graph G. Parameter: A positive integer k. Question: Does G have an LA of profile  $\leq k|V(G)|$ ?

Theorem 5. For each fixed  $k \ge 2$ , the above problem is NP-complete.