

**Constraint Satisfaction**

**and**

**Logic**

Phokion G. Kolaitis

IBM Almaden Research Center

and

UC Santa Cruz

# Tutorial Outline

## Part I: Queries and Logics

- Queries & Definability of Queries
- First-Order Logic, Existential Second Logic
- Combined, Expression, and Data Complexity

## Part II: Logic and CSP Problems

- Conjunctive Queries
- The Chandra-Merlin Theorem
- MMSNP & its extensions.

## Part III: Logic and Tractability of CSP

- First-Order Logic and CSP
- Datalog
- Finite-Variable Logics and Pebble Games

## Basic Concepts

### Definitions:

- *Vocabulary*  $\sigma$ : a set  $\sigma = \{R'_1, \dots, R'_m\}$  of relation symbols of specified arities.
- $\sigma$ -*structure*  $\mathbf{A} = (A, R_1, \dots, R_m)$ :  
a non-empty set  $A$  and relations on  $A$  such that  $\text{arity}(R_i) = \text{arity}(R'_i)$ ,  $1 \leq i \leq m$ .
- *Finite*  $\sigma$ -*structure*  $\mathbf{A}$ : universe  $A$  is finite

### Examples:

- *Graph*:  $\mathbf{G} = (V, E)$ , where  $E$  is binary.
- *String*:  $\mathbf{S} = (\{1, 2, \dots, n\}, P)$ , where  $P$  is unary  
 $m \in P \iff$  the  $m$ -th bit of the string is 1.  
– string 10001 encoded as  $(\{1, 2, 3, 4, 5\}, \{1, 5\})$

## Basic Concepts

**Example:** 3-CNF formulas as finite structures

Every 3-CNF formula can be viewed as a finite structure of the form  $\mathbf{A} = (A, R_0, R_1, R_2, R_3)$ , where each  $R_i$  is a ternary relation.

- 3-CNF formula  $\varphi$  with variables  $x_1, \dots, x_n$
- Structure  $\mathbf{A}^\varphi = (\{x_1, \dots, x_n\}, R_0^\varphi, R_1^\varphi, R_2^\varphi, R_3^\varphi)$ , where

$$R_0^\varphi = \{(x, y, z) : (x \vee y \vee z) \text{ is a clause of } \varphi\}$$

$$R_1^\varphi = \{(x, y, z) : (\neg x \vee y \vee z) \text{ is a clause of } \varphi\}$$

$$R_2^\varphi = \{(x, y, z) : (\neg x \vee \neg y \vee z) \text{ is a clause of } \varphi\}$$

$$R_3^\varphi = \{(x, y, z) : (\neg x \vee \neg y \vee \neg z) \text{ is a clause of } \varphi\}$$

## Queries

### Definitions:

- *Class  $\mathcal{C}$  of structures:* a collection of relational  $\sigma$ -structures closed under isomorphisms.
- *$k$ -ary Query  $Q$  on  $\mathcal{C}$ :*  
a mapping  $Q$  with domain  $\mathcal{C}$  and such that
  - $Q(\mathbf{A})$  is a  $k$ -ary relation on  $\mathbf{A}$ , for  $\mathbf{A} \in \mathcal{C}$ ;
  - $Q$  is *preserved under isomorphisms*, i.e., if  $h : \mathbf{A} \rightarrow \mathbf{B}$  is an isomorphism, then

$$Q(\mathbf{B}) = h(Q(\mathbf{A})).$$

- *Boolean Query  $Q$  on  $\mathcal{C}$ :*  
a mapping  $Q : \mathcal{C} \rightarrow \{0, 1\}$  preserved under isomorphisms. Thus,  $Q$  can be identified with the subclass  $\mathcal{C}'$  of  $\mathcal{C}$ , where

$$\mathcal{C}' = \{\mathbf{A} \in \mathcal{C} : Q(\mathbf{A}) = 1\}.$$

## Examples of Queries

- PATH OF LENGTH 2:  $P2$

Binary query on graphs  $\mathbf{H} = (V, E)$  such that

$P2(\mathbf{H}) = \{(a, b) \in V^2: \text{there is a path of length 2 from } a \text{ to } b\}$ .

- S-T CONNECTIVITY:  $TC$

Binary query on graphs  $\mathbf{H} = (V, E)$  such that

$TC(\mathbf{H}) = \{(a, b) \in V^2: \text{there is a path from } a \text{ to } b\}$ .

- CONNECTIVITY  $CN$ :

Boolean query on graphs  $\mathbf{H} = (V, E)$  such that

$$CN(\mathbf{H}) = \begin{cases} 1 & \text{if } \mathbf{H} \text{ is connected} \\ 0 & \text{otherwise.} \end{cases}$$

- $k$ -COLORABILITY  $k \geq 2$
- 3-SAT (with formulas viewed as structures)

## Definability of Queries

Let  $L$  be a logic and  $\mathcal{C}$  a class of structures

- A  $k$ -ary query  $Q$  on  $\mathcal{C}$  is  *$L$ -definable* if there is an  $L$ -formula  $\varphi(x_1, \dots, x_k)$  with  $x_1, \dots, x_k$  as free variables and such that for every  $\mathbf{A} \in \mathcal{C}$

$$Q(\mathbf{A}) = \{(a_1, \dots, a_k) \in A^k : \mathbf{A} \models \varphi(a_1, \dots, a_k)\}.$$

- A Boolean query  $Q$  on  $\mathcal{C}$  is  *$L$ -definable* if there is an  $L$ -sentence  $\psi$  such that for every  $\mathbf{A} \in \mathcal{C}$

$$Q(\mathbf{A}) = 1 \iff \mathbf{A} \models \psi.$$

## First-Order & Second-Order Logic

- **First-Order Logic FO** (on graphs):
  - *first-order variables*:  $x, y, z, \dots$
  - *atomic formulas*:  $E(x, y), x = y$
  - *formulas*: atomic formulas + connectives + first-order quantifiers  $\exists x, \forall x, \exists y, \forall y, \dots$  that range over the nodes of the graph.
- **Second-Order Logic SO**:

First-order logic + second-order quantifiers  $\exists S, \forall S, \exists T, \forall T, \dots$  ranging over relations of specified arities on the universe of structures.
- **Existential Second-Order Logic ESO**:
$$(\exists S_1) \cdots (\exists S_m) \varphi(\bar{x}, S_1, \dots, S_m), \text{ where } \varphi \text{ is FO.}$$
- **Universal Second-Order Logic USO**:
$$(\forall S_1) \cdots (\forall S_m) \varphi(\bar{x}, S_1, \dots, S_m), \text{ where } \varphi \text{ is FO.}$$



## First-Order Definability

**Example:** On the class  $\mathcal{G}$  of finite graphs

- The query PATH OF LENGTH 2 is FO-definable

$$P2(\mathbf{H}) = \{(a, b) \in V^2 : \mathbf{H} \models \exists z(E(a, z) \wedge E(z, b))\}.$$

- The queries TRANSITIVE CLOSURE, CONNECTIVITY,  $k$ -COLORABILITY,  $k \geq 2$ , are **not** FO-definable.

**Example:** On the class of all finite structures with 4 ternary relations:

The query 3-SAT is **not** first-order definable.

**Note:** Results about non-definability in FO-logic can be proved using Ehrenfeucht-Fraïssé Games.

## Second-Order Definability

**Fact:** The queries DISCONNECTIVITY,  $k$ -COLORABILITY, 3-SAT are ESO-definable.

- DISCONNECTIVITY:

$$\begin{aligned} & \exists S(\exists x S(x) \wedge \exists y \neg S(y) \wedge \\ & (\forall z \forall w (S(z) \wedge \neg S(w) \rightarrow \neg E(z, w))). \end{aligned}$$

- 2-COLORABILITY:

$$\exists R \forall x \forall y (E(x, y) \rightarrow (R(x) \leftrightarrow \neg R(y))).$$

- 3-SAT:

$$\begin{aligned} & \exists S \forall x \forall y \forall z ((R_0(x, y, z) \rightarrow S(x) \vee S(y) \vee S(z)) \wedge \\ & (R_1(x, y, z) \rightarrow \neg S(x) \vee S(y) \vee S(z)) \wedge \\ & (R_2(x, y, z) \rightarrow \neg S(x) \vee \neg S(y) \vee S(z)) \wedge \\ & (R_3(x, y, z) \rightarrow \neg S(x) \vee \neg S(y) \vee \neg S(z))). \end{aligned}$$

## The Complexity of Logic

**Definition:** (Vardi – 1982) Let  $L$  be a logic.

- The *combined complexity* of  $L$  is the following decision problem:  
Given a finite structure  $\mathbf{A}$  and an  $L$ -sentence  $\psi$ , does  $\mathbf{A} \models \psi$ ?  
(i.e., it is the *model checking* problem for  $L$ )
- The *data complexity* of  $L$  is the family of the following decision problems  $P_\psi$ , one for each fixed  $L$ -sentence  $\psi$ :  
Given a finite structure  $\mathbf{A}$ , does  $\mathbf{A} \models \psi$ ?
- The *expression complexity* of  $L$  is the family of the following decision problems  $P_{\mathbf{A}}$ , one for each fixed finite structure  $\mathbf{A}$ :  
Given an  $L$ -sentence  $\psi$ , does  $\mathbf{A} \models \psi$ ?

## The Complexity of Logic

**Definition:**  $L$  a logic and  $C$  a complexity class.

- *The data complexity of  $L$  is in  $C$*  if for each  $L$ -sentence  $\psi$ , the problem  $P_\psi$  is in  $C$ .
- *The data complexity of  $L$  is  $C$ -complete* if it is in  $C$  and there is at least one  $L$ -sentence  $\psi$  such that  $P_\psi$  is  $C$ -complete.
- *The expression complexity of  $L$  is in  $C$*  if for each finite structure  $\mathbf{A}$ , the problem  $P_{\mathbf{A}}$  is in  $C$ .
- *The expression complexity of  $L$  is  $C$ -complete* if it is in  $C$  and there is at least one finite structure  $\mathbf{A}$  such that  $P_{\mathbf{A}}$  is  $C$ -complete.

## The Complexity of First-Order Logic

**Theorem:** The following hold for first-order logic:

- The data complexity of FO is in LOGSPACE
- The expression complexity of FO is PSPACE-complete
- The combined complexity of FO is PSPACE-complete.

**Proof:**

- Fix a first-order sentence  $\psi$ . Given finite  $\mathbf{A}$ :  
Cycle through all possible instantiations of the quantifiers of  $\psi$  in  $\mathbf{A}$ , keeping track of the number of them using a counter in binary.
- QBF is PSPACE-complete (Stockmeyer - 1976).  
QBF is the expression complexity of FO on a structure with two distinct elements. ■

## The Complexity of ESO

**Theorem:** The data complexity of ESO is NP-complete.

**Proof:**

- Let  $\Psi$  be an ESO-sentence of the form

$$\exists S_1 \cdots \exists S_m \varphi.$$

Given a finite structure  $\mathbf{A}$ , to test that  $\mathbf{A} \models \Psi$ ,

1. “Guess” relations  $S'_1, \dots, S'_m$  on  $A$ ;
  2. Verify that  $(\mathbf{A}, S'_1, \dots, S'_m) \models \varphi$ , using the fact that the data complexity of FO is in P.
- 3-COLORABILITY is definable by an ESO-sentence and is NP-complete. ■

**Theorem** Both the expression complexity and the combined complexity of ESO are NEXPTIME-complete.

## Descriptive Complexity

**Note:** Actually, a much stronger result holds for the data complexity of ESO:

**Theorem:** Fagin – 1972

The following are equivalent for a Boolean query  $Q$  on the class  $\mathcal{F}$  of all finite  $\sigma$ -structures.

- $Q$  is in NP.
- $Q$  is ESO-definable on  $\mathcal{F}$ .

In other words,  $\text{NP} = \text{ESO}$  on  $\mathcal{F}$ . ■

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## Fragments of First-Order Logic

- First-order logic FO has **high** expression and combined complexity (PSPACE-complete).
- However, there are interesting *fragments* of FO such that:
  1. they have **lower** expression and combined complexity;
  2. they have been extensively studied in *database theory*;
  3. they are intimately connected to *constraint satisfaction*.

## Conjunctive Queries

**Definition:** A *conjunctive query* is a query definable by a FO-formula in prenex normal form built from atomic formulas,  $\wedge$ , and  $\exists$  only.

$$(\exists z_1 \dots \exists z_m) \psi(x_1, \dots, x_k, z_1, \dots, z_m),$$

where  $\psi$  is a conjunction of atomic formulas.

**Note:** CQs can also be written as a *rule*:

$$Q(x_1, \dots, x_k) : - R(y_2, x_3, x_1), S(x_1, y_3), \dots, S(y_7, x_2)$$

**Examples:**

- PATH OF LENGTH 2 (Binary query)

$$(\exists z)(E(x_1, z) \wedge E(z, x_2))$$

$$P2(x_1, x_2) : - E(x_1, z), E(z, x_2)$$

- CYCLE OF LENGTH 3 (Boolean query)

$$(\exists x_1 \exists x_2 \exists x_3)(E(x_1, x_2) \wedge E(x_2, x_3) \wedge E(x_3, x_1))$$

$$Q : - E(x_1, x_2), E(x_2, x_3), E(x_3, x_1)$$

## Conjunctive Queries & Databases

- Relational Joins

Database relations  $R_1(A, B, C), R_2(B, C, D)$ .

By definition,

$$R_1 \bowtie R_2 = \{(a, b, c, d) : R_1(a, b, c) \text{ and } R_2(b, c, d)\}.$$

Clearly,

$$R_1 \bowtie R_2(x, y, z, w) \quad : - \quad R_1(x, y, z), R_2(y, z, w)$$

- Relational joins are precisely the CQs without existential quantification.
- Conjunctive Queries are the most frequently asked queries in databases (a.k.a. SPJ queries)
- The main construct of SQL expresses conjunctive queries

```
SELECT  $R_1.A, R_2.D$ 
```

```
FROM  $R_1, R_2$ 
```

```
WHERE  $R_1.B = R_2.B$  AND  $R_1.C = R_2.C$ 
```

## Conjunctive Query Evaluation

A fundamental problem about conjunctive queries

### **Definition:** CONJUNCTIVE QUERY EVALUATION

- Given a CQ  $Q$  and a structure  $\mathbf{A}$ , find

$$Q(\mathbf{A}) = \{(a_1, \dots, a_k) : \mathbf{A} \models Q(a_1, \dots, a_k)\}$$

- For Boolean queries  $Q$ , this becomes:

Given  $Q$  and  $\mathbf{A}$ , does  $\mathbf{A} \models Q$ ? (is  $Q(\mathbf{A}) = 1$ ?)

- Same problem as the  
*combined complexity of conjunctive queries*

### **Examples:**

- Given a graph  $H$ , find all pairs of nodes connected by a path of length 4.
- Given a graph  $H$ , does it contain a triangle?

## Conjunctive Query Containment

A fundamental problem about conjunctive queries

### **Definition:** CONJUNCTIVE QUERY CONTAINMENT

- Given two  $k$ -ary CQs  $Q_1$  and  $Q_2$ , is it true that for every structure  $\mathbf{A}$ ,

$$Q_1(\mathbf{A}) \subseteq Q_2(\mathbf{A})?$$

- For Boolean queries, this becomes:

Given two Boolean queries  $Q_1$  and  $Q_2$ , does  $Q_1 \models Q_2$ ? (does  $Q_1$  logically imply  $Q_2$ ?)

### **Examples:**

- Is it true that if two nodes of a graph  $\mathbf{H}$  are connected by a path of length 4, then they are also connected by a path of length 3?
- It is true that if a graph  $\mathbf{H}$  contains a  $\mathbf{K}_4$ , then it also contains a  $\mathbf{K}_3$ ?

## Conjunctive Queries and Homomorphisms

- Chandra and Merlin (1977) showed that  
CONJUNCTIVE QUERY EVALUATION  
and  
CONJUNCTIVE QUERY CONTAINMENT  
are the *same* problem.
- The link is the  
HOMOMORPHISM PROBLEM

## Homomorphisms

**Definition:** Consider two relational structures  $\mathbf{A} = (A, R_1^{\mathbf{A}}, \dots, R_m^{\mathbf{A}})$  and  $\mathbf{B} = (B, R_1^{\mathbf{B}}, \dots, R_m^{\mathbf{B}})$ .

$h : \mathbf{A} \rightarrow \mathbf{B}$  is a *homomorphism* if for every  $i \leq m$  and every tuple  $(a_1, \dots, a_n) \in A^n$ ,

$$R_i^{\mathbf{A}}(a_1, \dots, a_n) \implies R_i^{\mathbf{B}}(h(a_1), \dots, h(a_n)).$$

**Definition:** The HOMOMORPHISM PROBLEM

Given two relational structures  $\mathbf{A}$  and  $\mathbf{B}$ , is there a homomorphism  $h : \mathbf{A} \rightarrow \mathbf{B}$ ?

In symbols, does  $\mathbf{A} \rightarrow \mathbf{B}$ ?

**Example:** A graph  $\mathbf{H} = (V, E)$  is 3-colorable

$$\iff$$

there is a homomorphism  $h : \mathbf{H} \rightarrow \mathbf{K}_3$ , where  $\mathbf{K}_3$  is the 3-clique, i.e.,  $\mathbf{K}_3 = (\{R, G, B\}, E_3)$ , where

$$E_3 = \{(R, G), (G, R), (R, B), (B, R), (B, G), (G, B)\}.$$

## Canonical CQs and Canonical Structures

**Definition:** *Canonical Conjunctive Query*

Given  $\mathbf{A} = (A, R_1^{\mathbf{A}}, \dots, R_m^{\mathbf{A}})$ , the *canonical CQ* of  $\mathbf{A}$  is the Boolean CQ  $Q^{\mathbf{A}}$  with the elements of  $A$  as variables and the “facts” of  $\mathbf{A}$  as conjuncts:

$$Q^{\mathbf{A}} : - \bigwedge_{i=1}^m \bigwedge_{\mathbf{t}} R_i^{\mathbf{A}}(\mathbf{t})$$

**Definition:** *Canonical Structure*

Given a Boolean conjunctive query  $Q$ , let  $\mathbf{A}^Q$  be the structure with the variables of  $Q$  as elements and the conjuncts of  $Q$  as “facts”.

**Example:**

- $\mathbf{A} = (\{a, b, c\}, \{(a, b), (b, c), (c, a)\})$

$$Q^{\mathbf{A}} : - E(x, y) \wedge E(y, z) \wedge E(z, x)$$

- $Q : - E(x, y) \wedge E(x, z)$

$$\mathbf{A}^Q = (\{a, b, c\}, \{(a, b), (a, c)\})$$



## Homomorphisms, CQC and CQE

**Theorem:** Chandra & Merlin – 1977

For relational structures  $\mathbf{A}$  and  $\mathbf{B}$ , TFAE

- There is a homomorphism  $h : \mathbf{A} \rightarrow \mathbf{B}$
- $\mathbf{B} \models Q^{\mathbf{A}}$  (i.e.,  $Q^{\mathbf{A}}(\mathbf{B}) = 1$ )
- $Q^{\mathbf{B}} \subseteq Q^{\mathbf{A}}$

Alternatively,

For conjunctive queries  $Q_1$  and  $Q_2$ , TFAE

- $Q_1 \subseteq Q_2$
- There is a homomorphism  $h : \mathbf{A}^{Q_2} \rightarrow \mathbf{A}^{Q_1}$
- $\mathbf{A}^{Q_1} \models Q_2$  (i.e.,  $Q_2(\mathbf{A}^{Q_1}) = 1$ )

## Illustration: 3-COLORABILITY

For a graph  $\mathbf{H}$ , the following are equivalent:

1. There is a homomorphism  $h : \mathbf{H} \rightarrow \mathbf{K}_3$
2.  $\mathbf{K}_3 \models Q^{\mathbf{H}}$
3.  $Q^{\mathbf{K}_3} \subseteq Q^{\mathbf{H}}$

### Proof:

(1)  $\implies$  (2): A hom.  $h : \mathbf{H} \rightarrow \mathbf{K}_3$  provides witnesses in  $\mathbf{K}_3$  for the existential quantifiers in  $Q^{\mathbf{H}}$ .

(2)  $\implies$  (3): If  $\mathbf{K}_3 \models Q^{\mathbf{H}}$  and  $\mathbf{A} \models Q^{\mathbf{K}_3}$ , then there are witness functions  $h : \mathbf{H} \rightarrow \mathbf{K}_3$  and  $h^* : \mathbf{K}_3 \rightarrow \mathbf{A}$ .

The composition  $h^* \circ h : \mathbf{H} \rightarrow \mathbf{A}$  provides witnesses in  $\mathbf{A}$  for the existential quantifiers in  $Q^{\mathbf{H}}$ .

(3)  $\implies$  (1): Since  $\mathbf{K}_3 \models Q^{\mathbf{K}_3}$ , we have  $\mathbf{K}_3 \models Q^{\mathbf{H}}$ . The witnesses to the existential quantifiers give a homomorphism from  $\mathbf{H}$  to  $\mathbf{K}_3$ . ■

## Illustration: 3-SAT

Let  $\varphi$  be a 3-CNF formula with variables  $x_1, \dots, x_n$ :

- $\mathbf{A}^\varphi = (\{x_1, \dots, x_n\}, R_0^\varphi, R_1^\varphi, R_2^\varphi, R_3^\varphi)$ , where

$$R_0^\varphi = \{(x, y, z) : (x \vee y \vee z) \text{ is a clause of } \varphi\}$$

$$R_1^\varphi = \{(x, y, z) : (\neg x \vee y \vee z) \text{ is a clause of } \varphi\}$$

$$R_2^\varphi = \{(x, y, z) : (\neg x \vee \neg y \vee z) \text{ is a clause of } \varphi\}$$

$$R_3^\varphi = \{(x, y, z) : (\neg x \vee \neg y \vee \neg z) \text{ is a clause of } \varphi\}$$

- $\mathbf{B} = (\{0, 1\}, R_0, R_1, R_2, R_3)$ , where

$$R_0 = \{0, 1\}^3 - \{(0, 0, 0)\} \quad R_1 = \{0, 1\}^3 - \{(1, 0, 0)\}$$

$$R_2 = \{0, 1\}^3 - \{(1, 1, 0)\} \quad R_3 = \{0, 1\}^3 - \{(1, 1, 1)\}$$

**Corollary:** The following are equivalent:

- $\varphi$  is satisfiable.
- $\mathbf{A}^\varphi \rightarrow \mathbf{B}$
- $\mathbf{B} \models Q^{\mathbf{A}^\varphi}$
- $Q^{\mathbf{B}} \subseteq Q^{\mathbf{A}^\varphi}$

## CSP and Conjunctive Queries

### Conclusion 1:

- CONSTRAINT SATISFACTION
- THE HOMOMORPHISM PROBLEM
- CONJUNCTIVE QUERY EVALUATION
- CONJUNCTIVE QUERY CONTAINMENT

are the *same* problem.

### Conclusion 2:

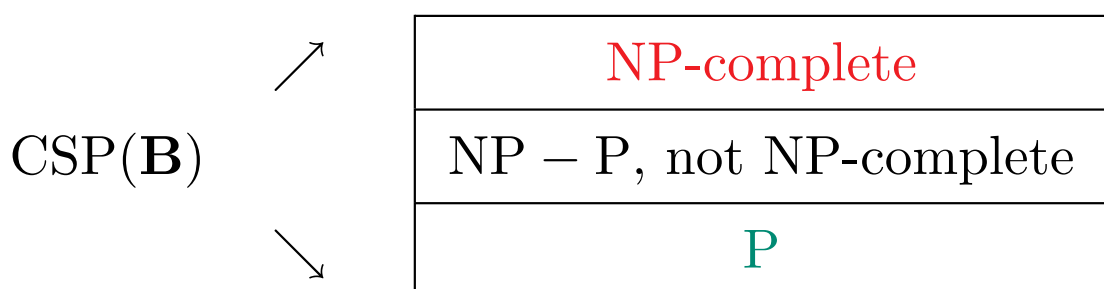
Both the combined complexity and the expression complexity of conjunctive query evaluation are NP-complete (contrast with FO-logic).

## The Feder-Vardi Dichotomy Conjecture

**Definition:**  $\text{CSP}(\mathbf{B}) = \{A : A \rightarrow B\}$

**Conjecture:** Feder-Vardi, 1993

If  $\mathbf{B}$  is a finite structure, then  $\text{CSP}(\mathbf{B})$  is in P or it is NP-complete.



**Note:** This amounts to a dichotomy conjecture about the expression complexity of conjunctive queries

$$\begin{aligned}\text{CSP}(\mathbf{B}) &= \{\mathbf{A} : \mathbf{B} \models Q^{\mathbf{A}}\} \\ &= \{Q : Q \text{ is a conjunctive query and } \mathbf{B} \models Q\}\end{aligned}$$

## CSP and Data Complexity

- We saw that  $\text{CSP}(\mathbf{B})$  is the same problem as the expression complexity of conjunctive queries.
- The data complexity of conjunctive queries is in LOGSPACE, so  $\text{CSP}(\mathbf{B})$  **cannot** be captured by the data complexity of conjunctive queries.
- However,  $\text{CSP}(\mathbf{B})$  is intimately connected to the data complexity of a fragment of existential second-order logic, called *monadic monotone strict NP*, and denoted by MMSNP.

## Existential Monadic Second-Order Logic

**Definition:** Existential Monadic SO-Logic

(also known as Monadic NP)

$$\exists S_1 \exists S_2 \cdots \exists S_m \psi,$$

where  $S_1, \dots, S_m$  are set variables and  $\psi$  is FO.

**Fact:** If  $\mathbf{B} = (B, R_1, \dots, R_m)$  is a finite structure, then  $\text{CSP}(\mathbf{B})$  is definable by a sentence of existential monadic second-order logic with a universal first-order part, i.e., by a sentence of the form

$$\exists S_1 \cdots \exists S_n \forall y_1 \cdots \forall y_s \theta,$$

where  $\theta$  is quantifier-free.

**Proof:** Use one  $S_i$  for each element of  $B = \{1, \dots, n\}$ , so that  $S_i$  is the set of all elements of  $\mathbf{A}$  that are mapped to  $i$ , for  $1 \leq i \leq n$ .

## CSP and Monadic NP

**Example:** 3-COLORABILITY

$\exists R \exists G \exists B \forall x \forall y \theta$ , where  $\theta$  asserts

- $R, B, G$  form a partition

$$(R(x) \vee B(x) \vee G(x)) \wedge$$

$$\neg(R(x) \wedge B(x)) \wedge \neg(B(x) \wedge G(x)) \wedge \neg(R(x) \wedge G(x)) \wedge$$

- If  $(x, y)$  is an edge, then  $x$  and  $y$  are in different parts.

$$(E(x, y) \rightarrow (R(x) \rightarrow \neg R(y)) \wedge (B(x) \rightarrow \neg B(y)) \wedge (G(x) \rightarrow \neg G(y)))$$

**Characteristics:**

- *Monadic*: SO-quantifiers over set variables only;
- *Strict*: only universal FO-quantifiers;
- *Monotone*: all occurrences of  $E$  are negated; there are no  $\neq$ .



## MMSNP - Monadic Monotone Strict NP

**Definition:** Feder-Vardi, 1993

MMSNP is the class of all monadic ESO-formulas

$$(\exists S_1 \cdots \exists S_n)(\forall y_1 \cdots \forall y_s)\theta,$$

such that

- all relations in the vocabulary have only negative occurrences in  $\theta$ ;
- no inequalities  $\neq$  occur in  $\theta$ .

**Proposition:** Feder-Vardi, 1993

For every structure  $\mathbf{B} = (B, R_1, \dots, R_m)$ , there is a MMSNP-formula  $\Psi_{\mathbf{B}}$  that defines  $\text{CSP}(\mathbf{B})$ .

Thus, each  $\text{CSP}(\mathbf{B})$  is a query about the data complexity of MMSNP.

## CSP vs. MMSNP

**Question:** What is the exact relationship between CSP and MMSNP?

**Theorem:** Feder-Vardi, 1993

Every MMSNP-query has a randomized polynomial-time Turing reduction to finitely many  $\text{CSP}(\mathbf{B})$  queries.

**Theorem:** Kun, 2006

The reduction of MMSNP to CSP can be de-randomized.

**Corollary:**

- (1) CSP and MMSNP are polynomially equivalent.
- (2) The Dichotomy Conjecture for CSP is the same as a Dichotomy Conjecture for MMSNP.

## CSP vs. Monadic NP

**Theorem:** Feder-Vardi, 1993

Every problem in NP is polynomially equivalent to

- a problem in strict, monotone, ESO;
- a problem in monadic, monotone, strict ESO with  $\neq$ ;
- a problem in monadic, strict,  $\neq$ -free ESO.

**Corollary:** Assuming  $P \neq NP$ , the Dichotomy Conjecture fails for all extensions of MMSNP.

## Summary

- The HOMOMORPHISM PROBLEM is the same as the combined complexity of conjunctive queries (a fragment of first-order logic)

$$\mathbf{A} \rightarrow \mathbf{B} \iff \mathbf{B} \models Q^{\mathbf{A}}$$

- CSP( $\mathbf{B}$ ) is the same problem as the expression complexity of conjunctive queries (a fragment of FO-logic):

Given a structure  $\mathbf{A}$ , does  $\mathbf{B} \models Q^{\mathbf{A}}$ ?

$Q^{\mathbf{A}}$  is the canonical conjunctive query of  $\mathbf{A}$ .

- CSP( $\mathbf{B}$ ) is polynomially equivalent to the data complexity of MMSNP (a fragment of ESO-logic):

Given a structure  $\mathbf{A}$ , does  $\mathbf{B} \models \Psi_{\mathbf{B}}$ ?

$\Psi_{\mathbf{B}}$  is a MMSNP-sentence obtained from  $\mathbf{B}$ .

# Tutorial Outline

## Part I: Queries and Logics

- Queries & Definability of Queries
- First-Order Logic, Existential Second Logic
- Combined, Expression, and Data Complexity

## Part II: Logic and CSP Problems

- Conjunctive Queries
- The Chandra-Merlin Theorem
- MMSNP & its extensions.

## Part III: Logic and Tractability of CSP

- First-Order Logic and CSP
- Datalog
- Finite-Variable Logics and Pebble Games

## Complexity of CSP

**Uniform CSP:** THE HOMOMORPHISM PROBLEM

$$\text{CSP} = \{(\mathbf{A}, \mathbf{B}) : \mathbf{A} \rightarrow \mathbf{B}\}$$

- Combined complexity of conjunctive queries
- NP-complete.

**Non-Uniform CSP:** For every structure  $\mathbf{B}$ ,

$$\text{CSP}(\mathbf{B}) = \{\mathbf{A} : \mathbf{A} \rightarrow \mathbf{B}\}$$

- Expression complexity of conjunctive queries;
- Data complexity of MMSNP;
- It is in NP; can be NP-complete.

**Research Program:** Identify *all* tractable cases of CSP.

## Islands of Tractability of CSP

**Definition:** Let  $\mathcal{C}$  be a class of pairs  $(\mathbf{A}, \mathbf{B})$  of structures.

- $\text{CSP}(\mathcal{C}) = \{(\mathbf{A}, \mathbf{B}) \in \mathcal{C} : \mathbf{A} \rightarrow \mathbf{B}\}$
- We say that  $\mathcal{C}$  is an *island of tractability of CSP* if  $\text{CSP}(\mathcal{C})$  is in P.

**Research Program:** Identify *all* islands of tractability of CSP.

**Fact:** So far, the main focus has been on islands of tractability  $\mathcal{C}$  of the form  $\mathcal{C} = \mathcal{A} \times \mathcal{B}$ , where  $\mathcal{A}$  and  $\mathcal{B}$  are two classes of finite structures.

$$\text{CSP}(\mathcal{A}, \mathcal{B}) = \{(\mathbf{A}, \mathbf{B}) \in \mathcal{A} \times \mathcal{B} : \mathbf{A} \rightarrow \mathbf{B}\}$$

**Note:**  $\text{CSP}(\mathbf{B}) = \text{CSP}(\text{All}, \{\mathbf{B}\})$

## Logic and Tractability of Non-Uniform CSP

**Research Program:** Identify *all* islands of tractability of non-uniform CSP, that is, all structures  $\mathbf{B}$  such that  $\text{CSP}(\mathbf{B})$  is in P.

### Approach through Logic:

- Use logics with tractable data complexity to identify tractable cases of non-uniform CSP.
- If  $L$  is a logic whose data complexity is in P and if  $\mathbf{B}$  is such that  $\text{CSP}(\mathbf{B})$  is definable by an  $L$ -formula, then  $\text{CSP}(\mathbf{B})$  is in P.

### Case Study: First-Order Logic

- The data complexity of FO is in P (in fact, in LOGSPACE).
- When is  $\text{CSP}(\mathbf{B})$  FO-definable?



## First-Order Logic and Non-Uniform CSP

**Theorem:** Atserias - 2005

The following are equivalent for a structure  $\mathbf{B}$ :

- $\text{CSP}(\mathbf{B})$  FO-definable.
- $\overline{\text{CSP}(\mathbf{B})} = \{\mathbf{A} : \mathbf{A} \not\rightarrow \mathbf{B}\}$  is definable by a finite union of conjunctive queries.

**Note:** Follows also from Rossman's Theorem (2005) about preservation under homomorphisms.

**Theorem:** Larose, Loten, and Tardif - 2006

The problem of deciding, given  $\mathbf{B}$ , whether  $\text{CSP}(\mathbf{B})$  is FO-definable is NP-complete.

**Note:** Membership in NP is non-trivial.

## Datalog

**Note:** Recall that CQs can be written as *rules*:

$$P2(x_1, x_2) : - E(x_1, z), E(z, x_2)$$

### Definition:

- Datalog = Conjunctive Queries + Recursion Function, negation and  $\neq$ -free logic programs
- A Datalog program is a finite set of rules given by conjunctive queries

$$T(\bar{x}) : - S_1(\bar{y}_1), \dots, S_r(\bar{y}_r).$$

- Some relation symbols may occur both in the *heads* and the *bodies* of rules.

These are the *recursive* relation symbols or *intensional database predicates* (IDBs).

- The remaining relation symbols are the *extensional database predicates* (EDBs).

## Datalog Examples

**Definition:** TRANSITIVE CLOSURE Query  $TC$

Given graph  $\mathbf{H} = (V, E)$ ,

$TC(\mathbf{H}) = \{(a, b) \in V^2 : \text{there is a path from } a \text{ to } b\}$ .

**Example 1:** Datalog program for  $TC$

$$\left| \begin{array}{l} S(x, y) \quad : - \quad E(x, y) \\ S(x, y) \quad : - \quad E(x, z) \wedge S(z, y) \end{array} \right.$$

**Example 2:** Another Datalog program for  $TC$

$$\left| \begin{array}{l} S(x, y) \quad : - \quad E(x, y) \\ S(x, y) \quad : - \quad S(x, z) \wedge S(z, y) \end{array} \right.$$

- $E$  is the EDB.
- $S$  is the IDB; it defines  $TC$ .

## Datalog Examples

**Definition:** S. Cook – 1974

PATH SYSTEMS  $\mathbf{S} = (F, A, R)$

Given a finite set of *formulas*  $F$ , a set of *axioms*  $A \subseteq F$ , and a *rule of inference*  $R \subseteq F^3$ , compute the *theorems* of this system.

**Example:** Datalog program for PATH SYSTEMS:

$$\left| \begin{array}{l} T(x) \quad : - \quad A(x) \\ T(x) \quad : - \quad T(y), T(z), R(x, y, z) \end{array} \right.$$

- $A$  and  $R$  are the EDBs.
- $T$  is the IDB; it defines the theorems of  $\mathbf{S}$ .

**Theorem:** Cook - 1974

PATH SYSTEMS is a P-complete query.

## Data Complexity of Datalog

### Theorem:

- Every Datalog query is definable by an “effective and uniform” union of conjunctive queries.
- Every Datalog query is in P.
- The data complexity of Datalog is P-complete.

### Proof:

- Datalog programs can be evaluated “bottom-up” in a polynomial number of iterations.
- Each iteration is definable by a finite union of conjunctive queries.
- PATH SYSTEMS is a P-complete problem.

## Evaluation of Datalog Programs

**Example :** Datalog program for  $TC$

$$\left| \begin{array}{l} S(x, y) \quad : - \quad E(x, y) \\ S(x, y) \quad : - \quad E(x, z) \wedge S(z, y) \end{array} \right.$$

Bottom-up Evaluation

$$\left| \begin{array}{l} S^0 \quad = \quad \emptyset \\ S^{m+1} \quad = \quad \{(a, b) : \exists z (E(a, z) \wedge S^m(z, b))\} \end{array} \right.$$

**Fact:**

$$S^m \quad = \quad \{(a, b) : \text{there is a path of length } \leq m \text{ from } a \text{ to } b\}$$

$$TC \quad = \quad \bigcup_m S^m$$

$$TC \quad = \quad S^{|V|}.$$

## Preservation Properties

**Fact:** *Preservation Properties* of Datalog.

- Datalog queries are preserved under *homomorphisms*:

Let  $Q$  be a Datalog query. If  $\mathbf{A} \models Q$  and  $\mathbf{A} \rightarrow \mathbf{B}$ , then  $\mathbf{B} \models Q$ .

- Similarly, Datalog queries are *monotone*, i.e., they query is preserved if new tuples are added to the EDBs.

**Reason:** Unions of conjunctive queries have these preservation properties.

## Datalog and CSP

**Fact:** Let  $\mathbf{B} = (B, R_1^{\mathbf{B}}, \dots, R_m^{\mathbf{B}})$ .

- In general,  $\text{CSP}(\mathbf{B})$  is *not* monotone.
- Hence,  $\text{CSP}(\mathbf{B})$  is *not* expressible in Datalog.

However,

- $\overline{\text{CSP}(\mathbf{B})}$  is monotone, where

$$\overline{\text{CSP}(\mathbf{B})} = \{\mathbf{A} : \mathbf{A} \not\rightarrow \mathbf{B}\}.$$

- Hence, it is conceivable that  $\overline{\text{CSP}(\mathbf{B})}$  is expressible in Datalog (and, thus, it is in P).



## Datalog and CSP

**Fact:** Feder & Vardi – 1993

Definability of  $\overline{\text{CSP}(\mathbf{B})}$  in Datalog is a unifying explanation for many tractability results about  $\text{CSP}(\mathbf{B})$ .

**Example:** 2-COLORABILITY =  $\text{CSP}(\mathbf{K}_2)$

Datalog program for NON 2-COLORABILITY

$$\left| \begin{array}{l} O(X, Y) \quad : - \quad E(X, Y) \\ O(X, Y) \quad : - \quad O(X, Z), E(Z, W), E(W, Y) \\ Q \quad \quad \quad : - \quad O(X, X) \end{array} \right.$$

## Datalog and CSP

**Theorem:** Feder & Vardi – 1993

- If  $\mathbf{B} = (B, R_1, \dots, R_k)$  is such that  $\text{Pol}(\{R_1, \dots, R_k\})$  contains a near-unanimity function, then  $\overline{\text{CSP}(\mathbf{B})}$  is definable in Datalog.

**Special Case:** 2-SAT

- If  $\mathbf{B} = (B, R_1, \dots, R_k)$  is such that  $\text{Pol}(\{R_1, \dots, R_k\})$  contains a semi-lattice function, then  $\overline{\text{CSP}(\mathbf{B})}$  is definable in Datalog.

**Special Cases:**

HORN  $k$ -SAT, DUAL HORN  $k$ -SAT,  $k \geq 2$ .

- There are affine Boolean structures  $\mathbf{B}$  such that  $\overline{\text{CSP}(\mathbf{B})}$  is **not** definable in Datalog.

## Horn 3-SAT and Datalog

Horn 3-CNF formula  $\varphi$  viewed as a finite structure

$$\mathbf{A}^\varphi = (\{x_1, \dots, x_n\}, U, P, N), \text{ where}$$

- $U$  is the set of unit clauses  $x$
- $P$  is the set of clauses  $(\neg x \vee \neg y \vee z)$
- $N$  is the set of clauses  $(\neg x \vee \neg y \vee \neg z)$

Datalog program for HORN 3-UNSAT

Unit Propagation Algorithm

$$\left| \begin{array}{l} T(z) \quad : - \quad U(z) \\ T(z) \quad : - \quad P(x, y, z), T(x), T(y) \\ Q \quad \quad : - \quad N(x, y, z), T(x), T(y), T(z) \end{array} \right.$$

## CSP and Datalog

**Fact:** Expressibility in Datalog is a unifying explanation for many, but not all, tractability results about  $\text{CSP}(\mathbf{B})$ .

**Open Problem:** Is there an algorithm to decide whether, given  $\mathbf{B}$ , we have that  $\overline{\text{CSP}(\mathbf{B})}$  is expressible in Datalog?

**Note:** It follows from the work of Larose, Loten, and Tardif that this problem is NP-hard.

## Datalog and CSP

**Question:** Fix  $\mathbf{B} = (B, R_1, \dots, R_m)$ .

When is  $\overline{\text{CSP}(\mathbf{B})}$  expressible in Datalog?

**Answer:**

Feder & Vardi – 1993, K ... & Vardi – 1998, 2000

Expressibility of  $\overline{\text{CSP}(\mathbf{B})}$  in Datalog can be characterized in terms of

- Finite-Variable Logics
- Pebble Games
- Consistency Properties.

## Existential $k$ -Pebble Games

**Spoiler** and **Duplicator** play on two structures **A** and **B**. Each player uses  $k$  pebbles. In each move,

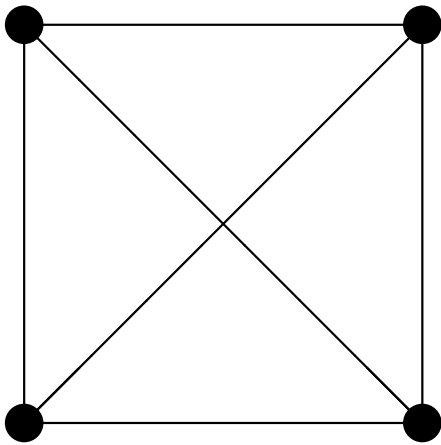
- **Spoiler** places a pebble on or removes a pebble from an element of **A**.
- **Duplicator** tries to duplicate the move on **B**.

$$\begin{array}{cccccc} \mathbf{A} : & a_1 & a_2 & \dots & a_l & \\ & \downarrow & \downarrow & \dots & \downarrow & \\ \mathbf{B} : & b_1 & b_2 & \dots & b_l & \quad l \leq k \end{array}$$

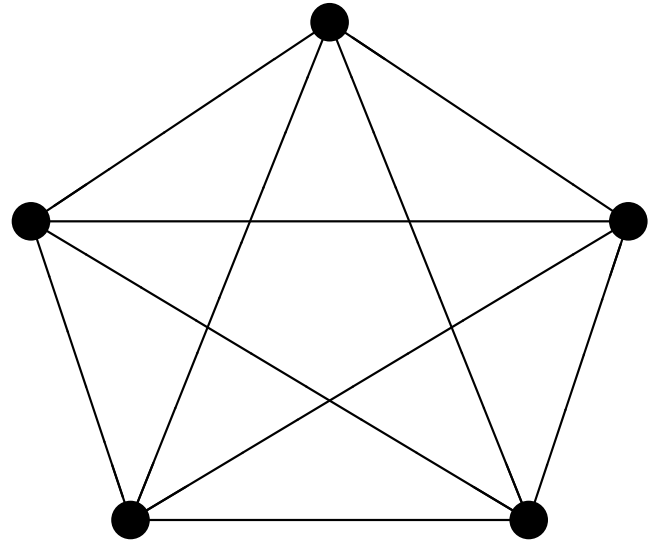
- **Spoiler** *wins* the  $(\exists, k)$ -pebble game if at some point the mapping  $a_i \mapsto b_i$ ,  $1 \leq i \leq l$ , is **not** a partial homomorphism.
- **Duplicator** *wins* the  $(\exists, k)$ -pebble game if the above never happens.

## Example

### *Cliques of Different Size*



$\mathbf{K}_4$



$\mathbf{K}_5$

**Fact:** Let  $\mathbf{K}_k$  be the  $k$ -clique

- **Duplicator** wins the  $(\exists, k)$ -pebble game on  $\mathbf{K}_k$  and  $\mathbf{K}_{k+1}$ .
- **Spoiler** wins the  $(\exists, k)$ -pebble game on  $\mathbf{K}_k$  and  $\mathbf{K}_{k-1}$ .

## Paths of Different Size



$L_m$



$L_n$

- **Spoiler** wins the  $(\exists, 3)$ -pebble game on  $L_m$  and  $L_n$ , where  $m > n$ .
- **Duplicator** wins the  $(\exists, 3)$ -pebble game on  $L_n$  and  $L_m$ , where  $m > n$ .



## Winning Strategies in the $(\exists, k)$ -Pebble Game

**Definition:** A *winning strategy* for the *Duplicator* in the  $(\exists, k)$ -pebble game is a non-empty family  $I$  of partial homomorphisms from  $\mathbf{A}$  to  $\mathbf{B}$  such that

- If  $f \in I$  and  $h \subseteq f$ , then  $h \in I$

( $I$  is *closed under subfunctions*).

- If  $f \in I$  and  $|f| < k$ , then for every  $a \in A$ , there is  $g \in I$  so that  $f \subseteq g$  and  $a \in \text{dom}(g)$ .

( $I$  has the *forth property up to  $k$* )

**Fact:** If  $\mathbf{A} \rightarrow \mathbf{B}$ , then the Duplicator wins the  $(\exists, k)$ -pebble game on  $\mathbf{A}$  and  $\mathbf{B}$  for every  $k$ .

## $k$ -Datalog

**Definition:** A  $k$ -Datalog program is a Datalog program in which each rule

$$t_0 \text{ : - } t_1, \dots, t_m$$

has at most  $k$  distinct variables.

**Example:** NON 2-COLORABILITY revisited

$$\left| \begin{array}{l} O(X, Y) \text{ : - } E(X, Y) \\ O(X, Y) \text{ : - } O(X, Z), E(Z, W), E(W, Y) \\ Q \text{ : - } O(X, X) \end{array} \right.$$

Therefore,

NON 2-COLORABILITY is definable in 4-Datalog.

## $k$ -Datalog and $(\exists, k)$ -Pebble Games

**Theorem:** K ... & Vardi

- Let  $Q$  be a query definable by a  $k$ -Datalog program. If  $\mathbf{A}$  satisfies  $Q$  and the **Duplicator** wins the  $(\exists, k)$ -pebble game on  $\mathbf{A}$  and  $\mathbf{B}$ , then also  $\mathbf{B}$  satisfies  $Q$ .
- There is a polynomial-time algorithm to decide whether, given two finite structures  $\mathbf{A}$  and  $\mathbf{B}$ , the **Spoiler** or the **Duplicator** wins the  $(\exists, k)$ -pebble game on  $\mathbf{A}$  and  $\mathbf{B}$ .
- For every fixed finite structure  $\mathbf{B}$ , there is a  $k$ -Datalog program that expresses the query: given a finite structure  $\mathbf{A}$ , does the **Spoiler** win the  $(\exists, k)$ -game on  $\mathbf{A}$  and  $\mathbf{B}$ ?

## Datalog and Non-Uniform CSP

**Theorem:** K ... & Vardi

Let  $k$  be a positive integer and  $\mathbf{B}$  a finite structure.

Then the following are equivalent:

- $\overline{\text{CSP}(\mathbf{B})}$  is definable in  $k$ -Datalog
- $\text{CSP}(\mathbf{B}) = \{\mathbf{A} : \text{Duplicator wins the } (\exists, k)\text{-pebble game on } \mathbf{A} \text{ and } \mathbf{B}\}$ .
- For every finite structure  $\mathbf{A}$ , establishing strong  $k$ -consistency for  $\mathbf{A}$  and  $\mathbf{B}$  implies that there is a homomorphism from  $\mathbf{A}$  to  $\mathbf{B}$ .

## The Complexity of Existential $k$ -Pebble Games

**Theorem:** K ... and Panttaja - 2003

- (Also implicit in Kasif - 1986)

For every  $k \geq 2$ , the following problem is P-complete:

Given two finite structures  $\mathbf{A}$  and  $\mathbf{B}$ , does the Duplicator win the  $(\exists, k)$ -pebble game on  $\mathbf{A}$  and  $\mathbf{B}$ ?

- The following problem is EXPTIME-complete:  
Given a positive integer  $k$  and two finite structures  $\mathbf{A}$  and  $\mathbf{B}$ , does the Duplicator win the  $(\exists, k)$ -pebble game on  $\mathbf{A}$  and  $\mathbf{B}$ ?

**Corollary:**

The following problem is EXPTIME-complete:

Given a positive integer  $k$  and two finite structures  $\mathbf{A}$ ,  $\mathbf{B}$ , can strong  $k$ -consistency be established for (the CSP instance encoded by)  $\mathbf{A}$  and  $\mathbf{B}$ ?

## Datalog and Tractability of CSP

### Summary:

- Definability of  $\overline{\text{CSP}(\mathbf{B})}$  in  $k$ -Datalog is a sufficient condition for tractability of  $\text{CSP}(\mathbf{B})$ .
- Single *canonical* polynomial-time algorithm: determine who wins the  $(\exists, k)$ -pebble game.

### Open Problem:

Fix a positive integer  $k \geq 2$ . Is there an algorithm to decide whether, given  $\mathbf{B}$ , we have that  $\overline{\text{CSP}(\mathbf{B})}$  is expressible in  $k$ -Datalog?

## Tractability of Non-Uniform CSP

- Thus far, we have concentrated on tractability results for non-uniform CSP.
- What about tractability results for uniform CSP?
- Does logic help to discover islands of tractability for uniform CSP?

## Tractability of Uniform CSP

Recall that if  $\mathcal{A}$  and  $\mathcal{B}$  are classes of finite structures, then

$$\text{CSP}(\mathcal{A}, \mathcal{B}) = \{ \mathbf{A}, \mathbf{B} \in \mathcal{A} \times \mathcal{B} : \mathbf{A} \rightarrow \mathbf{B} \}$$

**Theorem:** Dechter & Pearl – 1989

Let  $\sigma$  be a fixed vocabulary, let  $k \geq 2$  be a positive integer, and let  $\mathcal{T}(k)$  be the class of all  $\sigma$ -structures of *treewidth* less than  $k$ .

Then  $\text{CSP}(\mathcal{T}(k), \text{All})$  is in P.

**Question:**

- Can this result be explained in terms of definability in Datalog?
- Can this result be explained in terms of the  $(\exists, k)$ -pebble game?



## Bounded Treewidth & Finite-Variable Logics

**Fact:** Having  $\text{tw}(\mathbf{A}) < k$  turns out to be tightly connected to the canonical query  $Q^{\mathbf{A}}$  being definable in a fragment of FO with  $k$  variables.

**Definition:** Fix an integer  $k \geq 2$ .

- $\text{FO}^k$  is the collection of all first-order formulas with  $k$  distinct variables.
- $\text{CQ}^k$  is the collection of all  $\text{FO}^k$ -formulas built using atomic formulas,  $\wedge$ , and  $\exists$  only.

**Example:** Let  $\mathbf{C}_n$  be the  $n$ -element cycle,  $n \geq 3$ .

The canonical CQ  $Q^{\mathbf{C}_n}$  is expressible in  $\text{CQ}^3$ .

For instance,  $Q^{\mathbf{C}_4}$  is logically equivalent to

$$\exists x \exists y \exists z (E(x, y) \wedge E(y, z) \wedge (\exists y)(E(z, y) \wedge E(y, x))).$$

## Bounded Treewidth & Finite-Variable Logics

**Question:** When is  $Q^{\mathbf{A}}$  definable in  $CQ^k$ ?

**Definition:**  $\mathbf{A}$  and  $\mathbf{B}$  are *homomorphically equivalent*, denoted  $\mathbf{A} \sim_h \mathbf{B}$ , if there are homomorphisms  $h : \mathbf{A} \rightarrow \mathbf{B}$  and  $h' : \mathbf{B} \rightarrow \mathbf{A}$ .

**Theorem:** Dalmau, K ..., Vardi - 2002

Fix a  $k$  and a finite structure  $\mathbf{A}$ .

Then the following are equivalent:

- $Q^{\mathbf{A}}$  is definable in  $CQ^k$ .
- There is some  $\mathbf{B} \in \mathcal{T}(k)$  such that  $\mathbf{A} \sim_h \mathbf{B}$ .
- $\text{core}(\mathbf{A}) \in \mathcal{T}(k)$ .

## Cores

**Definition:** We say that a structure  $\mathbf{B}$  is the *core* of a structure  $\mathbf{A}$  if

- $\mathbf{B}$  is a submodel of  $\mathbf{A}$ .
- There is a homomorphism from  $\mathbf{A}$  to  $\mathbf{B}$  (thus,  $\mathbf{A} \equiv_h \mathbf{B}$ ).
- There is no homomorphism  $h : \mathbf{B} \rightarrow \mathbf{B}'$  from  $\mathbf{B}$  to a proper submodel  $\mathbf{B}'$  of  $\mathbf{B}$ .

**Examples:**

- $\text{core}(\mathbf{K}_k) = \mathbf{K}_k$
- If  $\mathbf{H}$  is 2-colorable, then  $\text{core}(\mathbf{H}) = \mathbf{K}_2$ .
- If  $\mathbf{H}$  is 3-colorable and contains a  $\mathbf{K}_3$ , then  $\text{core}(\mathbf{H}) = \mathbf{K}_3$ .

**Note:** Cores play an important role in database query processing and optimization.

## Beyond Bounded Treewidth

**Definition:** Fix a vocabulary  $\sigma$  and a  $k \geq 2$ .

$\mathcal{H}(\mathcal{T}(k))$  is the class of all  $\sigma$ -structures that are homomorphically equivalent to a structure in  $\mathcal{T}(k)$ .

**Fact:**  $\mathcal{H}(\mathcal{T}(k))$  is the class of all  $\sigma$ -structures  $\mathbf{A}$  such that  $\text{core}(\mathbf{A})$  has treewidth less than  $k$ .

**Example:** Every 2-colorable graph is in  $\mathcal{H}(\mathcal{T}(2))$ .

**Fact:**  $\mathcal{T}(k)$  is properly contained in  $\mathcal{H}(\mathcal{T}(k))$

**Proof:** There are 2-colorable graphs of arbitrarily large treewidth (for instance,  $m \times m$ -grids)

## Islands of Tractability of Uniform CSP

**Theorem :** Dalmau, K ..., Vardi – 2002

Fix a vocabulary  $\sigma$  and an integer  $k \geq 2$ .

- For every structure  $\mathbf{A} \in \mathcal{H}(\mathcal{T}(k))$  and for every structure  $\mathbf{B}$ , the following are equivalent:
  1.  $\mathbf{A} \rightarrow \mathbf{B}$
  2. The Duplicator wins the  $(\exists, k)$ -pebble game on  $\mathbf{A}$  and  $\mathbf{B}$ .
- If  $\mathbf{B}$  is a fixed  $\sigma$ -structure, then  $\overline{\text{CSP}(\mathcal{H}(\mathcal{T}(k)), \{\mathbf{B}\})}$  is definable in  $k$ -Datalog.
- $\text{CSP}(\mathcal{H}(\mathcal{T}(k)), \text{All})$  is in P.

Actually, it is definable in least fixed-point logic LFP.

### **Algorithm:**

Determine the winner in the  $(\exists, k)$ -pebble game.

## Classification Theorem

**Theorem:** Grohe – 2003

Assume that  $\text{FPT} \neq W[1]$ .

If  $\mathcal{A}$  is a r.e. class of finite structures over some fixed vocabulary  $\sigma$  such that  $\text{CSP}(\mathcal{A}, \text{All})$  is in P, then there is a  $k \geq 2$  such that  $\mathcal{A} \subseteq \mathcal{H}(\mathcal{T}(k))$ .

**Note:**  $\text{FPT} \neq W[1]$  is the analog of  $\text{P} \neq \text{NP}$  for parametrized complexity.

**Conclusion:** For every fixed vocabulary  $\sigma$ , the classes  $\mathcal{H}(\mathcal{T}(k))$  constitute the *largest* islands of tractability of the form  $\text{CSP}(\mathcal{A}, \text{All})$  among all classes  $\mathcal{A}$  of  $\sigma$ -structures.

## Summary

- The combinatorial concept of bounded treewidth has a logical reconstruction via definability in finite-variable logics.
- $\text{CSP}(\mathcal{H}(\mathcal{T}(k)), \text{All})$ ,  $k \geq 2$ , are large islands of tractability of uniform CSP.
- Determining the winner in the  $(\exists, k)$ -pebble game is a polynomial-time algorithm for  $\text{CSP}(\mathcal{H}(\mathcal{T}(k)), \text{All})$  (hence, also for  $\text{CSP}(\mathcal{T}(k), \text{All})$ ).

## Logic and CSP

- UNIFORM CSP is the same problem as the *combined complexity of conjunctive queries*
- NON-UNIFORM CSP
  - is the same problem as the *expression complexity of conjunctive queries*
  - is polynomially equivalent to the *data complexity of MMSNP*
- Datalog and  $(\exists, k)$ -pebble games provide a unifying explanation for many, but not all, tractability results for NON-UNIFORM CSP
- $(\exists, k)$ -pebble games give rise to large islands of tractability for UNIFORM CSP.



## Concluding Remarks

- Constraint Satisfaction is a meeting point of
  - Computational Complexity
  - Database Theory
  - Logic
  - Universal Algebra
  - Graph Theory.
- The quest for islands of tractability of CSP goes on through the synergy and interaction of all these areas.