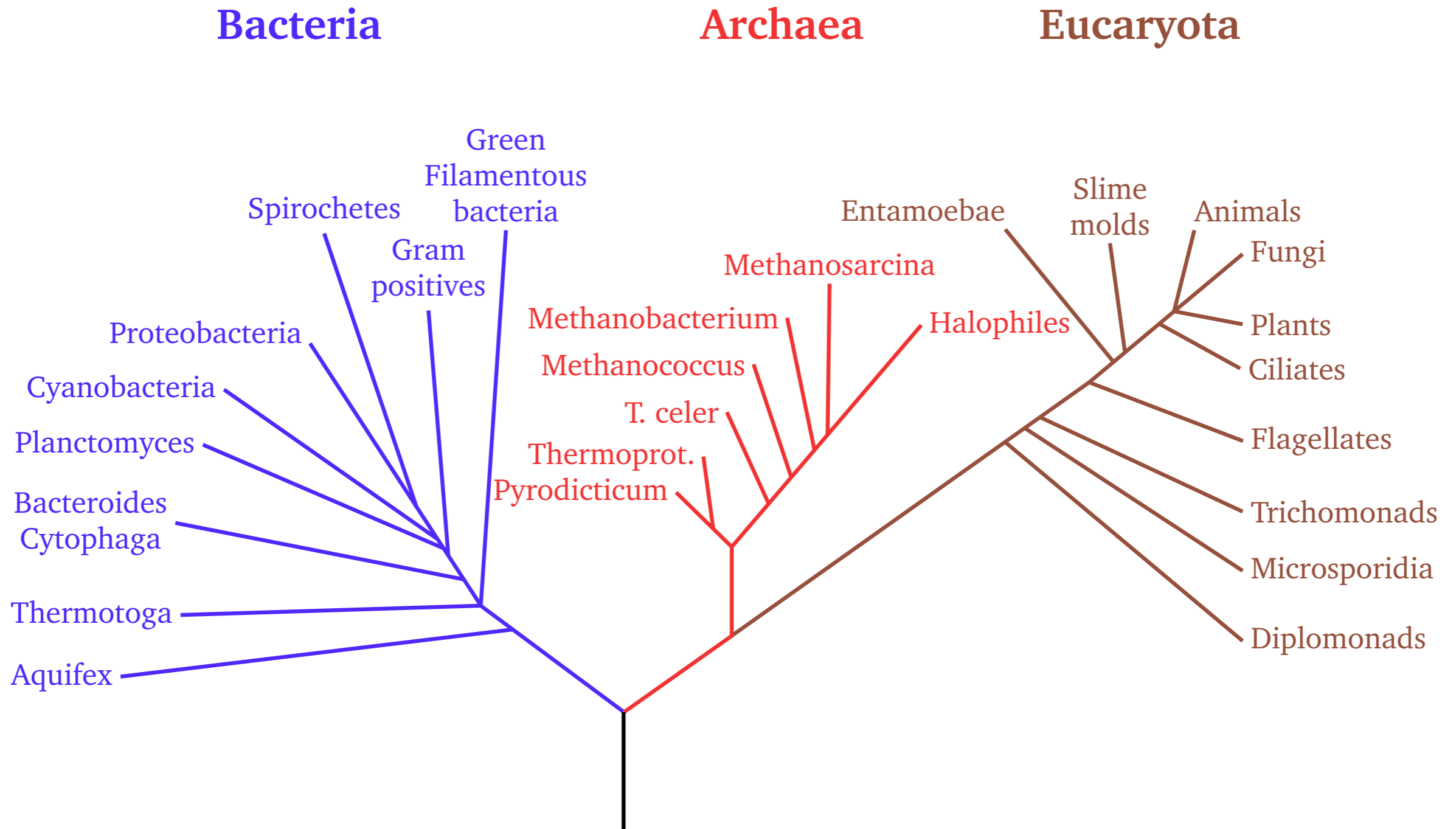

Comparing Phylogenies

Kernelization, Depth-Bounded Search and Beyond

Norbert Zeh

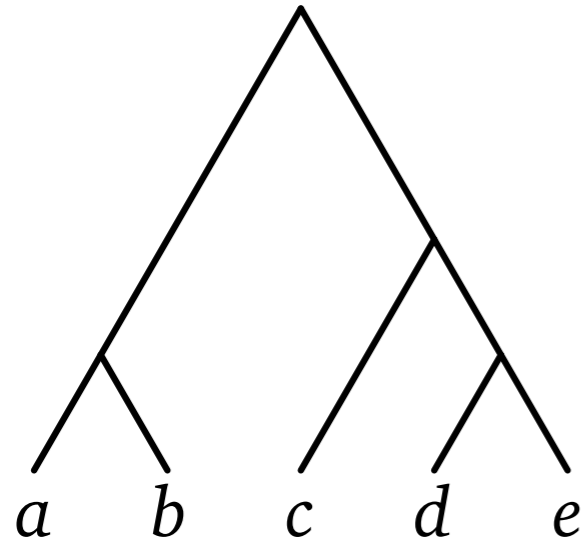
Dalhousie University

Phylogenetic Trees



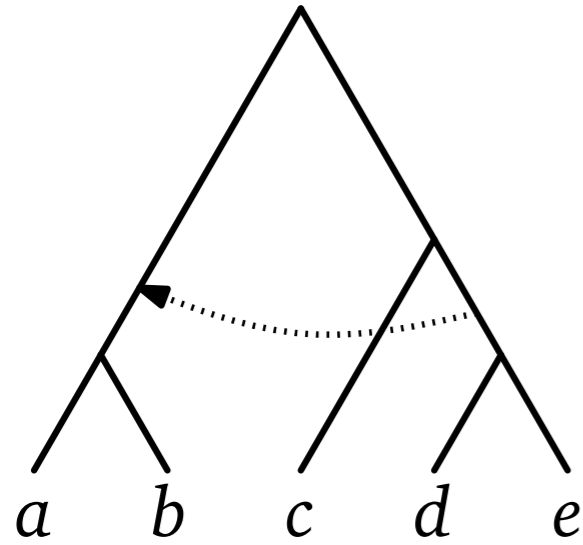
Reticulation Events

Lateral gene transfer (subtree prune-and-regraft)



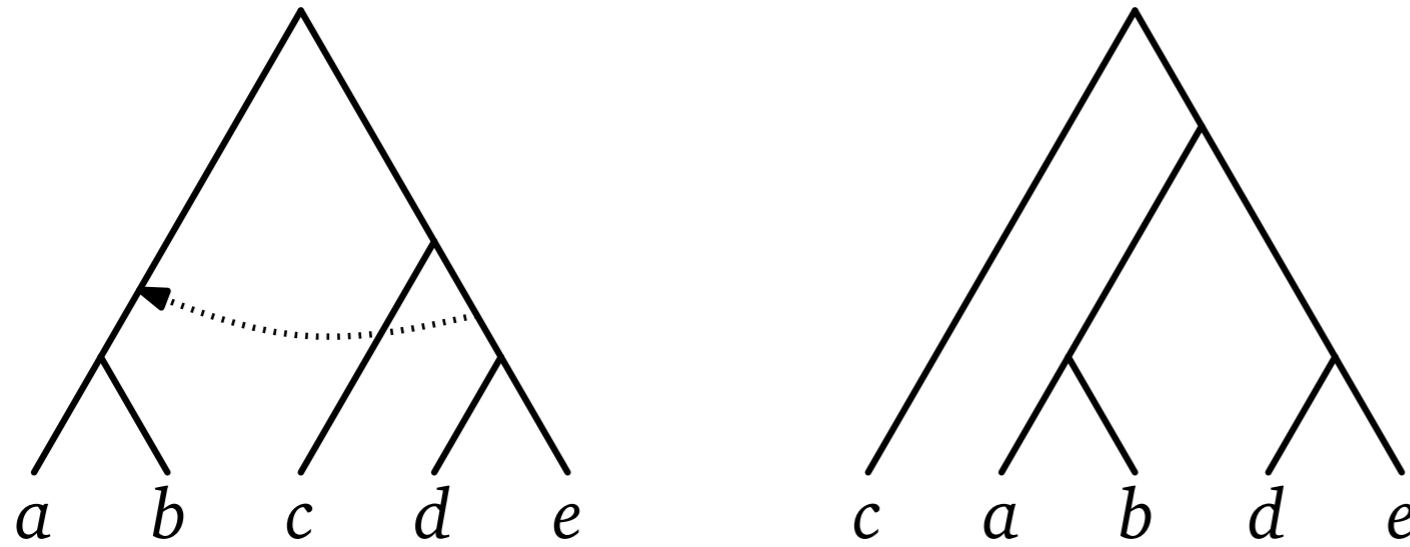
Reticulation Events

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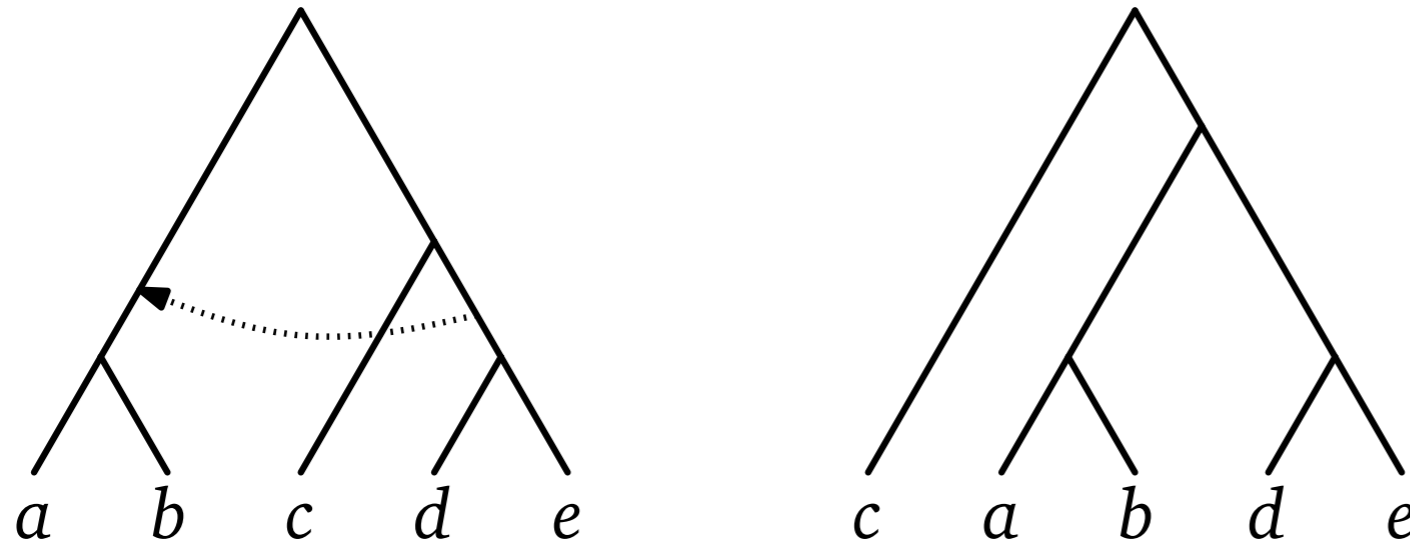
Reticulation Events

Lateral gene transfer (subtree prune-and-regraft)

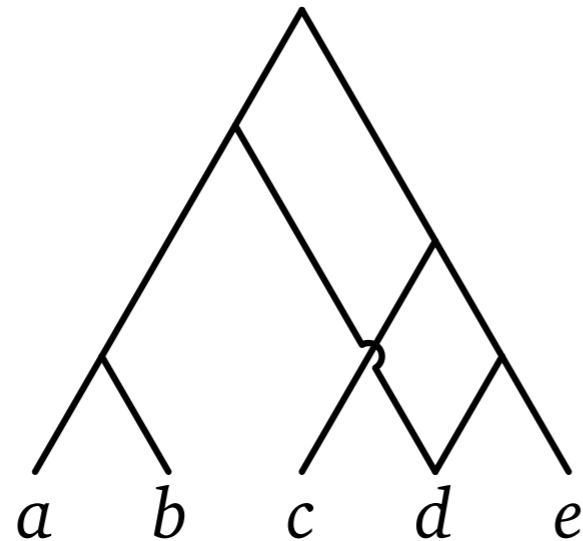


Reticulation Events

Lateral gene transfer (subtree prune-and-regraft)

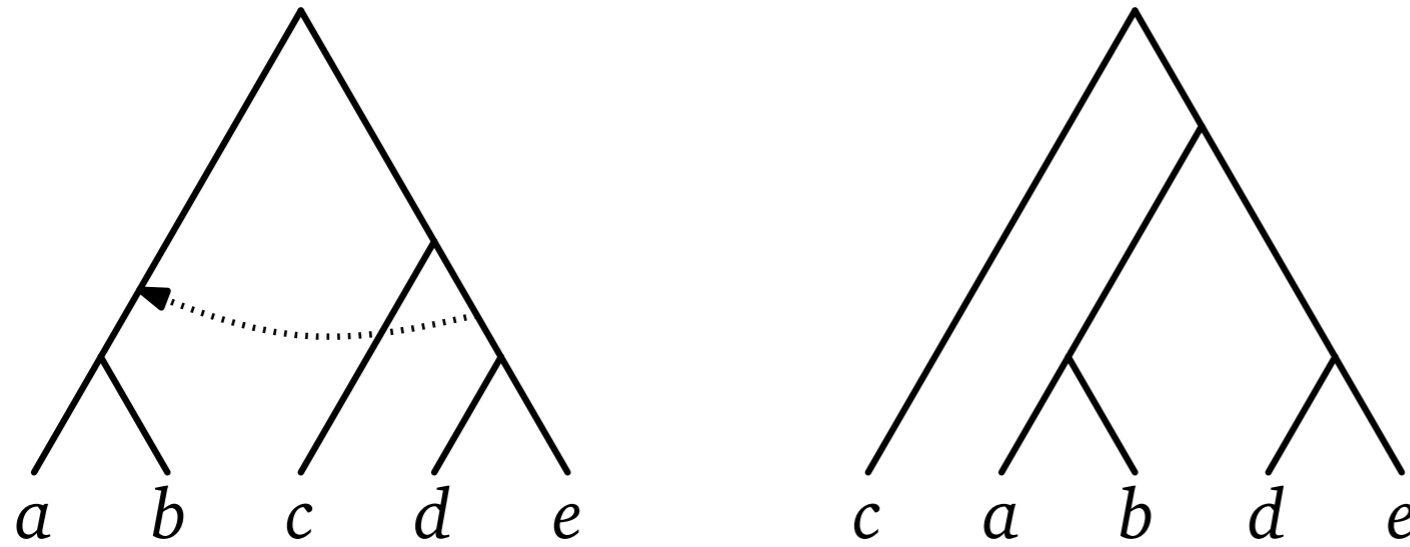


Hybridization

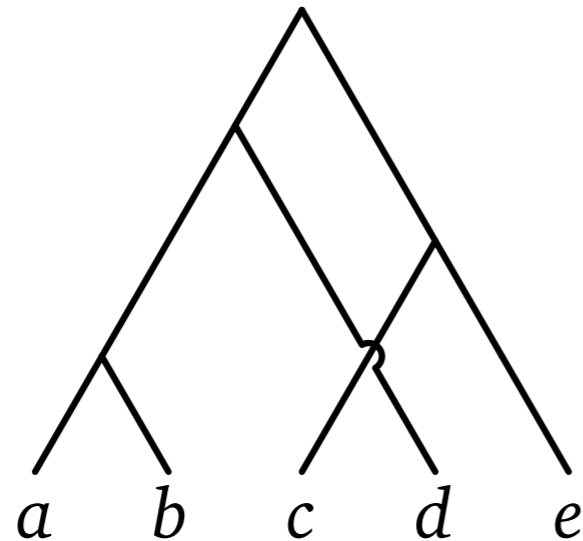


Reticulation Events

Lateral gene transfer (subtree prune-and-regraft)

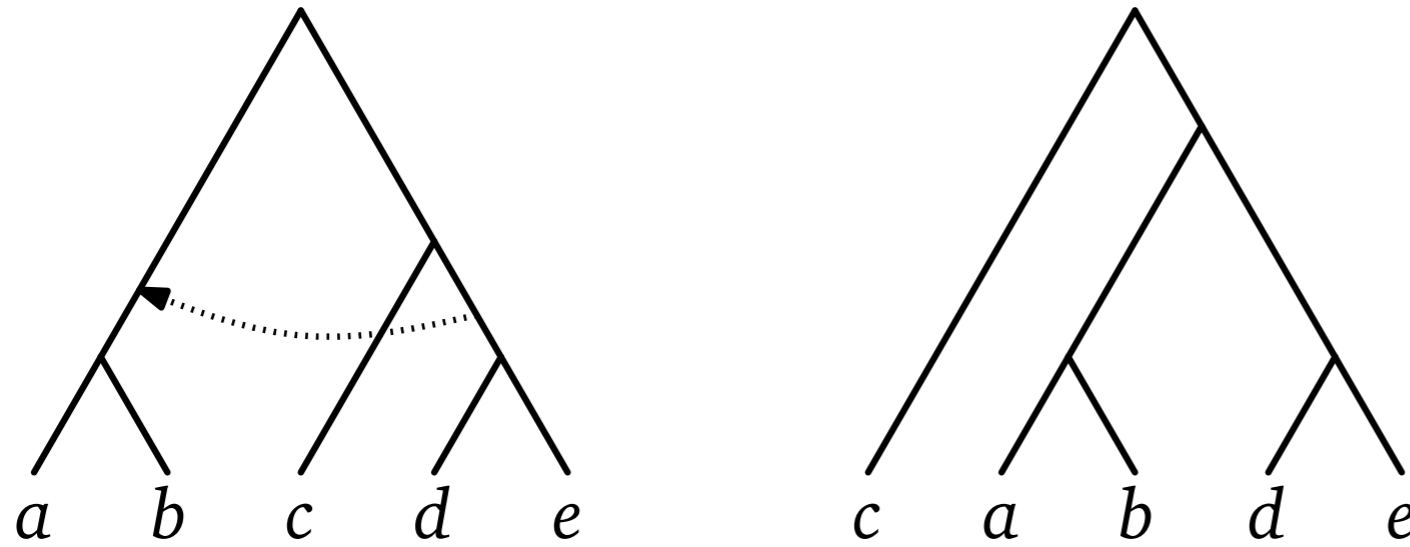


Hybridization

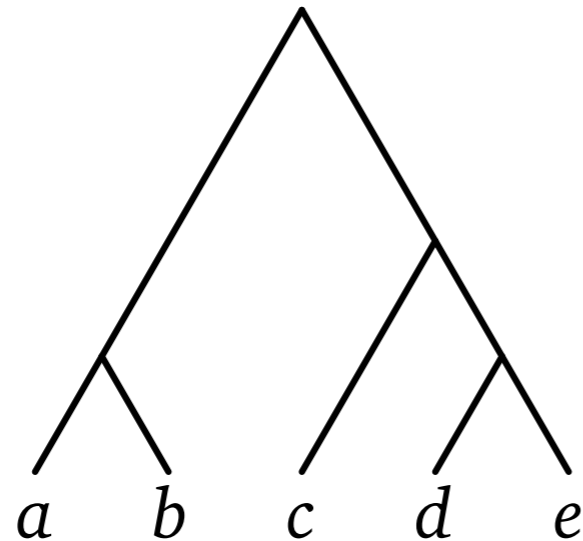


Reticulation Events

Lateral gene transfer (subtree prune-and-regraft)

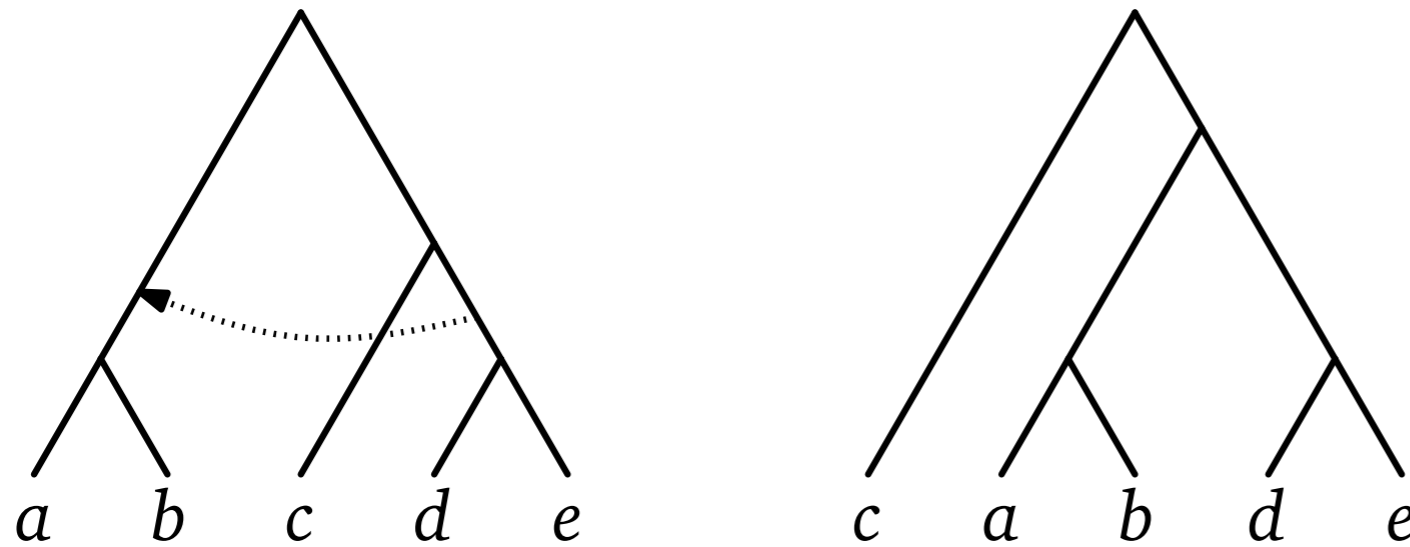


Hybridization

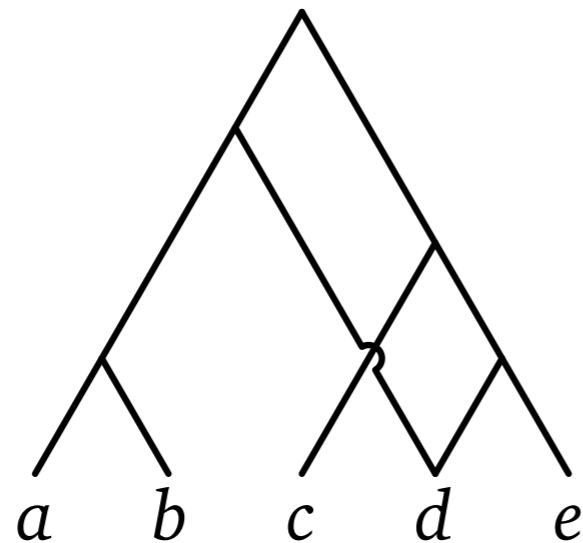


Reticulation Events

Lateral gene transfer (subtree prune-and-regraft)



Hybridization



Zeina the Zonkey
Owklawn Farm Zoo, Nova Scotia

Reconciling Phylogenies

1. Tree distances

- **SPR distance:** number of SPR operations to transform one tree into the other

NP-hard [Bordewich/Semple 2005]

- **Hybridization number:** minimum number of nodes with two parents in any network that displays both trees

NP-hard [Bordewich/Semple 2007]

- **Robinson-Foulds distance:** number of bipartitions that disagree

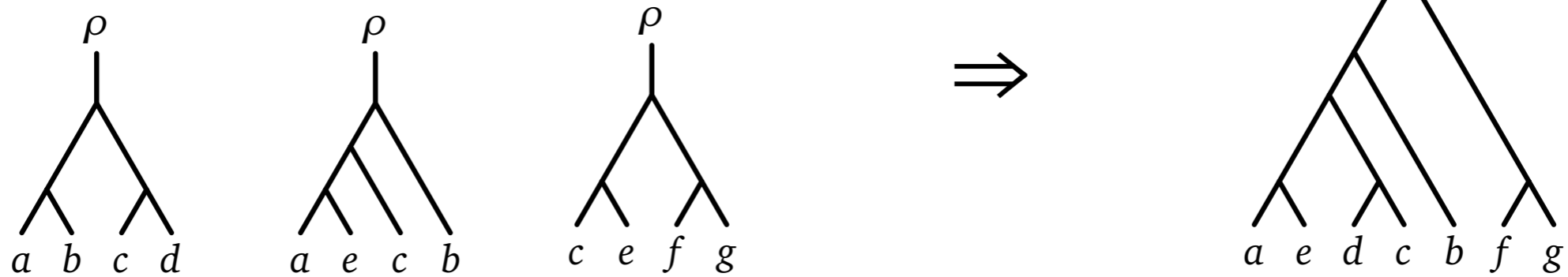
Linear-time, but ...



Reconciling Phylogenies

2. Supertrees

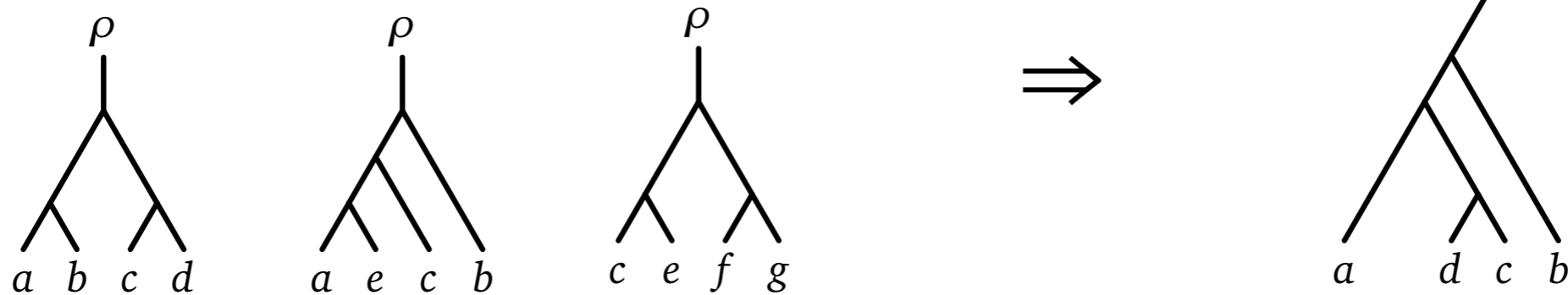
- MRP supertrees [Ragan 1992]
- RF supertrees [Bansal et al. 2010]
- SPR supertrees [Whidden/Zeh/Beiko 2012]
- ...



Reconciling Phylogenies

2. Supertrees

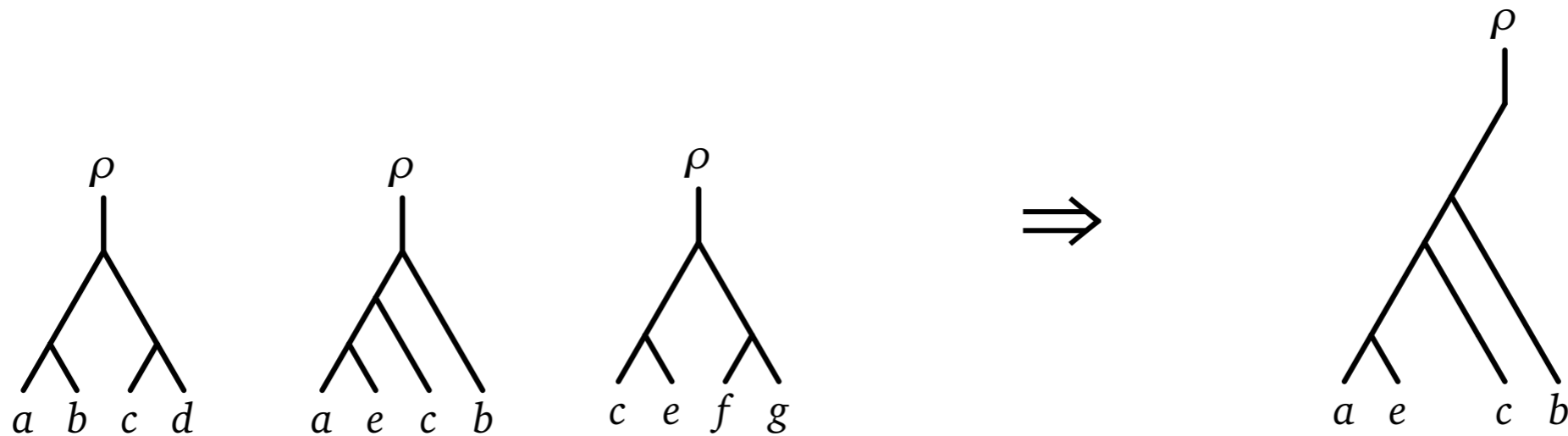
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Reconciling Phylogenies

2. Supertrees

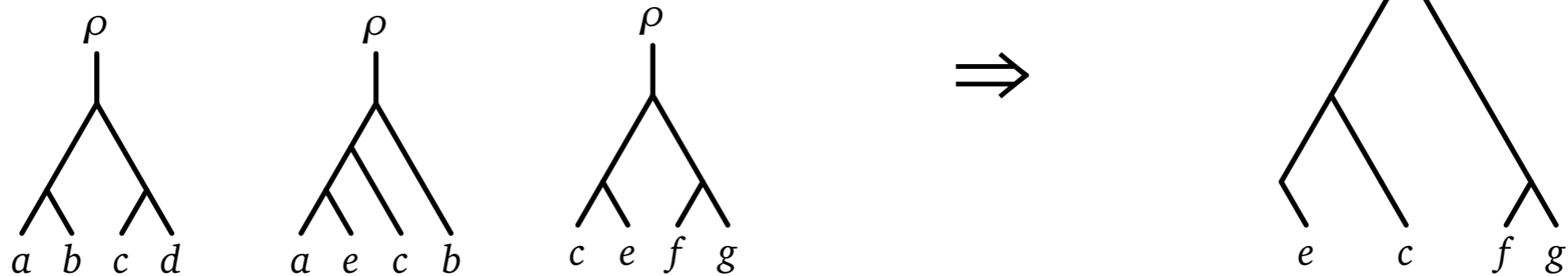
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Reconciling Phylogenies

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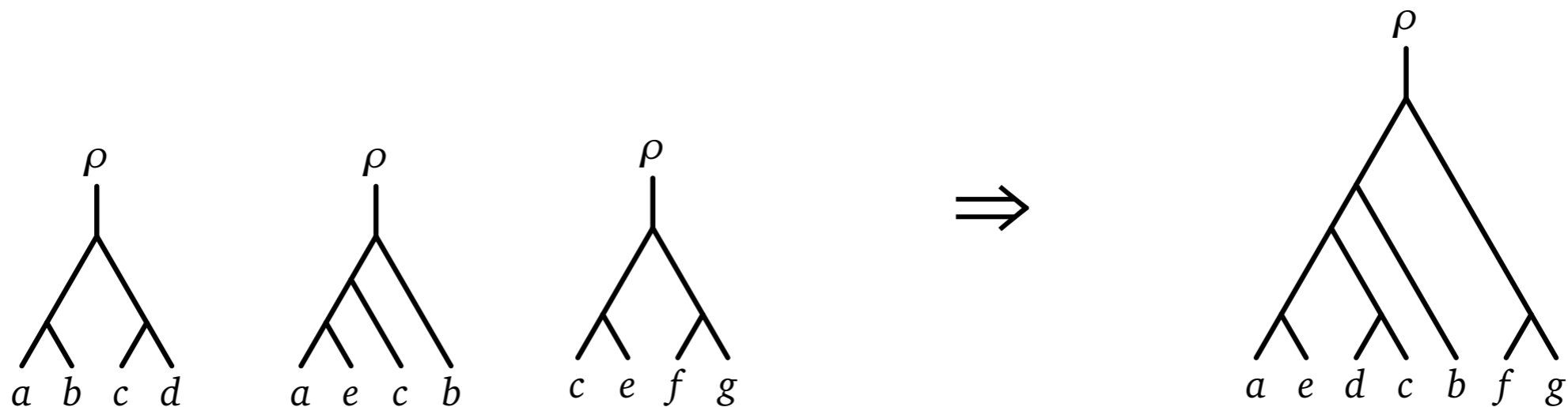
- MRP supertrees [Ragan 1992]
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Reconciling Phylogenies

2. Supertrees

- MRP supertrees [Ragan 1992]
- RF supertrees [Bansal et al. 2010]
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- ...



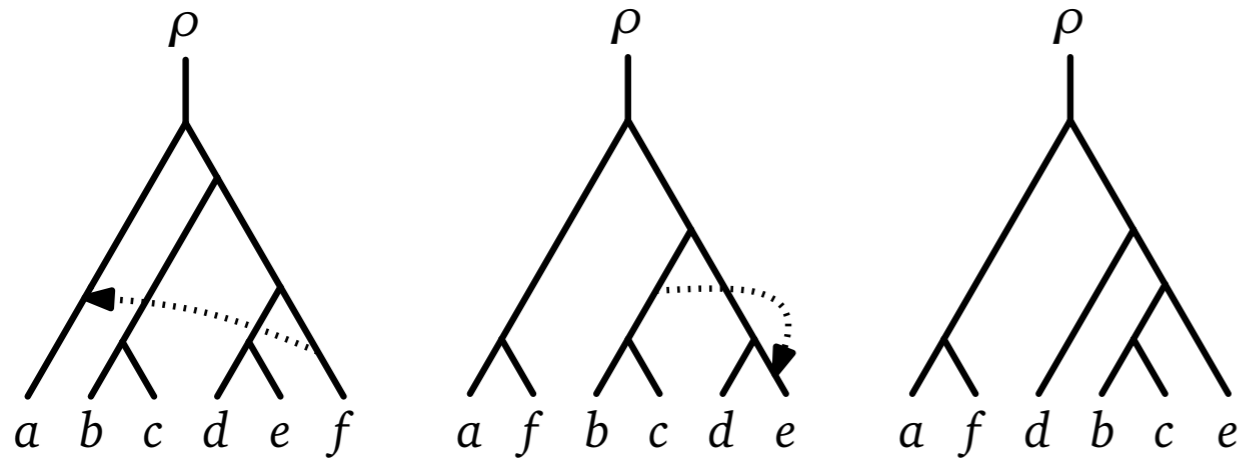
3. Phylogenetic networks

- DLT networks [Hallet/Lagergren 2011, Doyon et al. 2011]
- Recombination networks [Gusfield et al. 2003]
- Level- k hybridization networks [van Iersel/Kelk 2011]
- MAAF of multiple trees [Chen/Wang 2012]

Computing SPR Distance

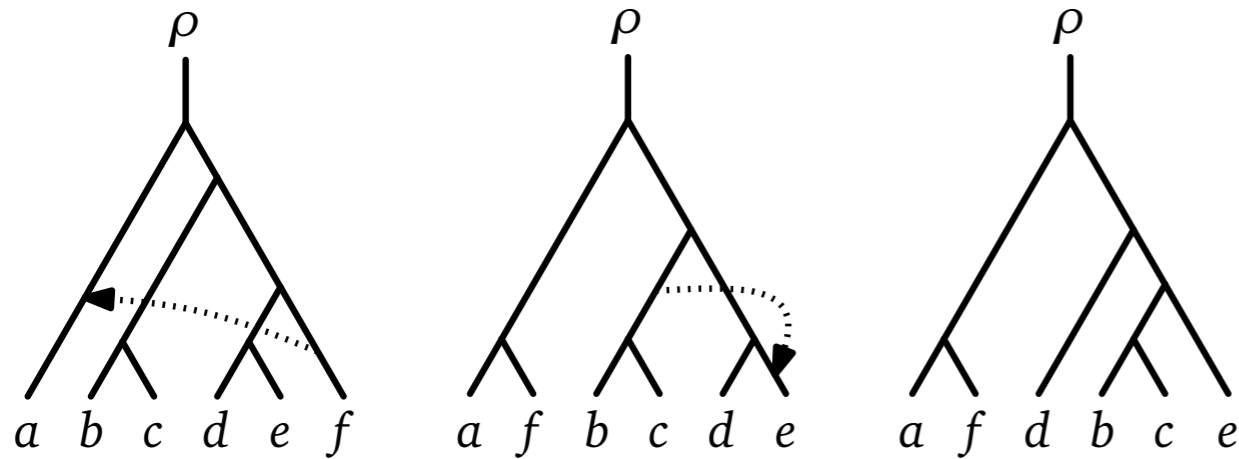
Agreement Forests

How many SPR operations does it take to turn T_1 into T_2 ?

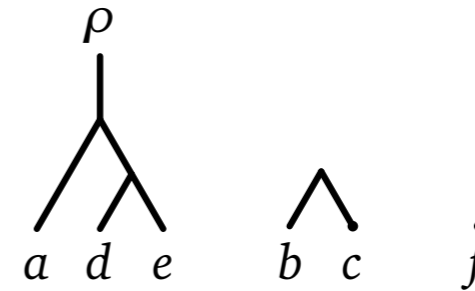


Agreement Forests

How many SPR operations does it take to turn T_1 into T_2 ?



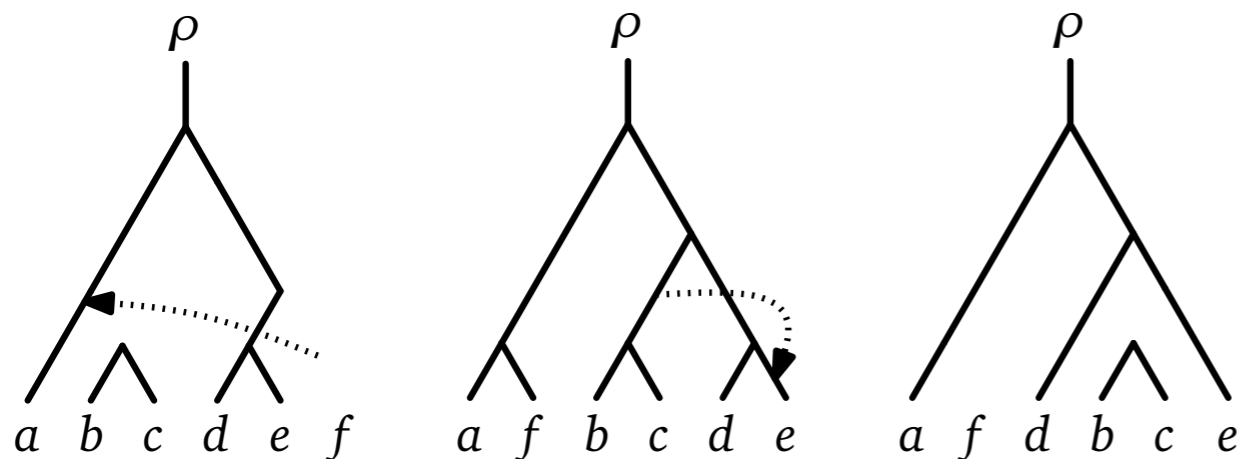
What is the largest forest we can obtain from T_1 and T_2 using edge deletions and forced contractions?



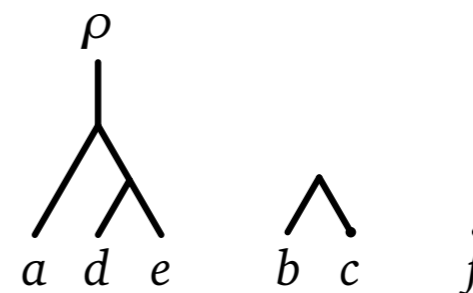
[Bordewich/Semple 2005]

Agreement Forests

How many SPR operations does it take to turn T_1 into T_2 ?



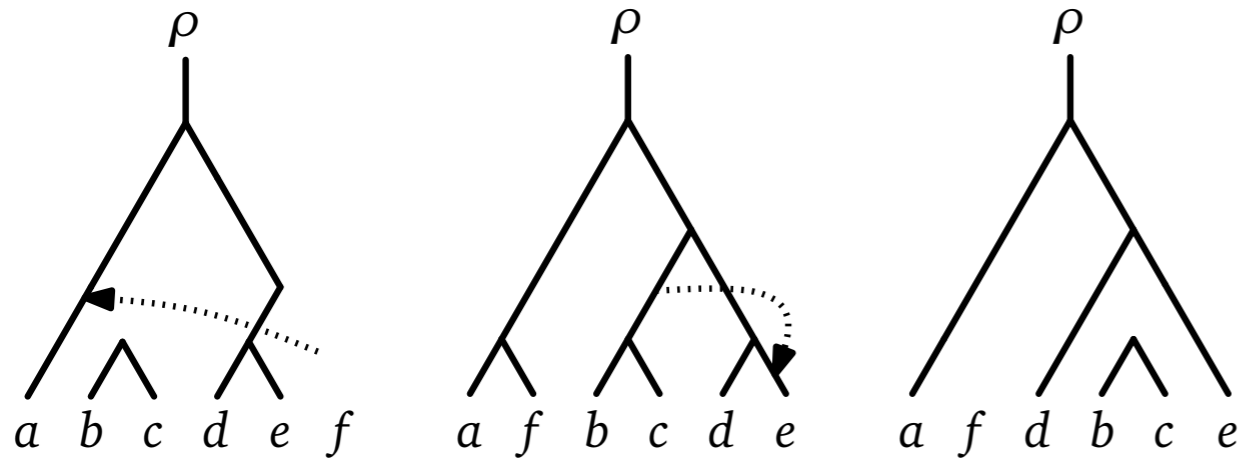
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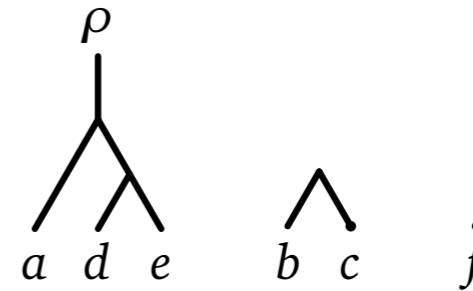
[Bordewich/Semple 2005]

Agreement Forests

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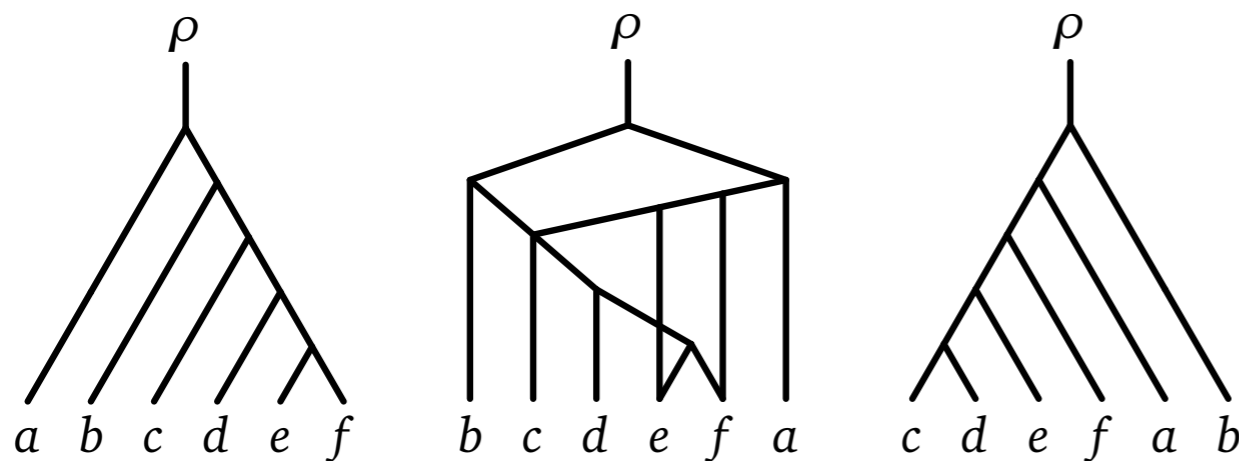


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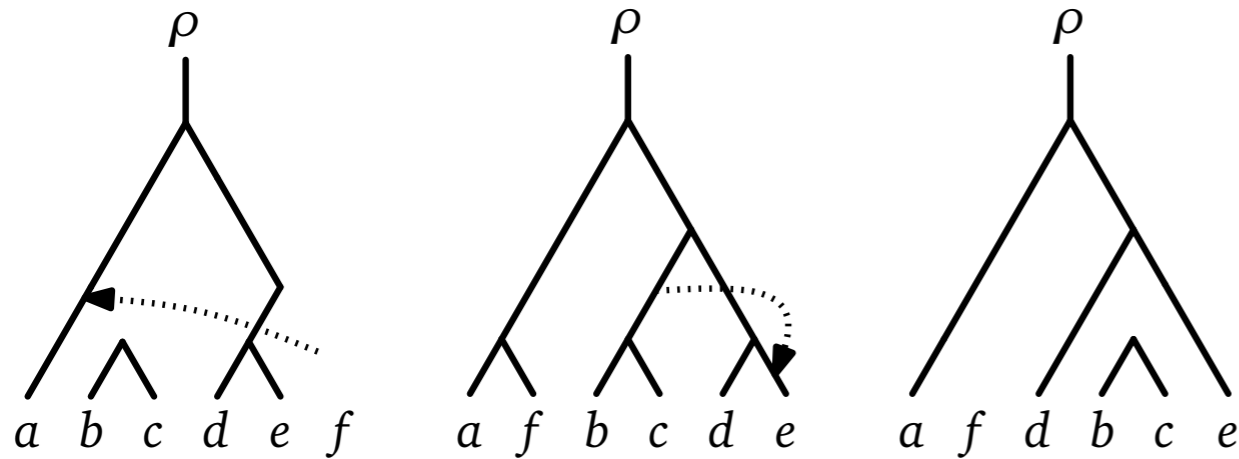
[Bordewich/Semple 2005]

What is the smallest hybridization network that displays both T_1 and T_2 ?

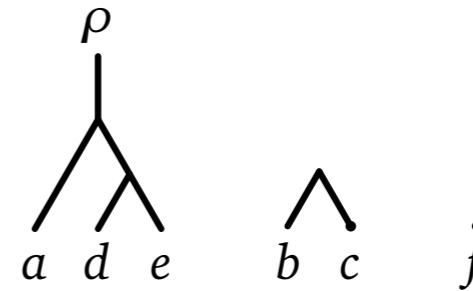


Agreement Forests

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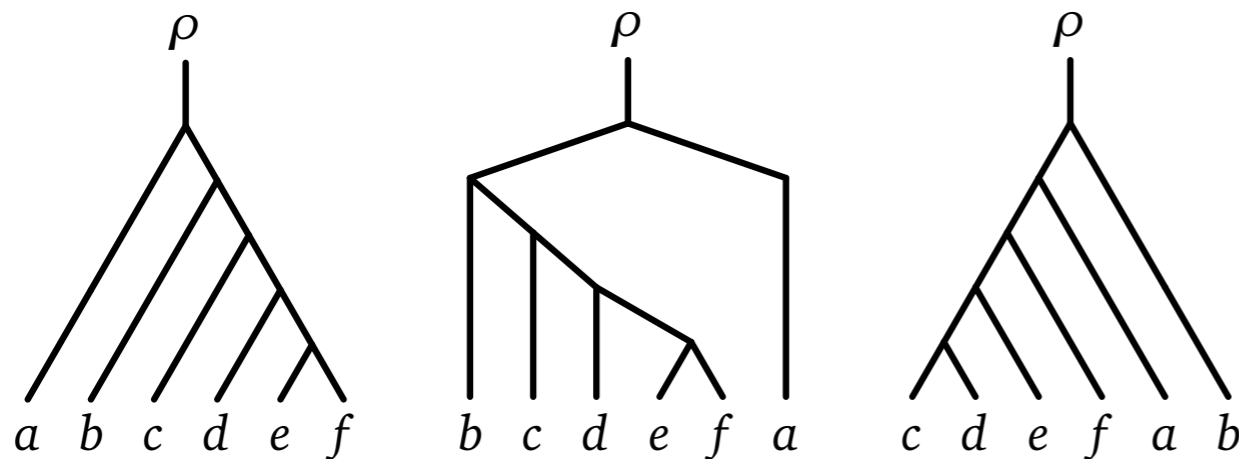


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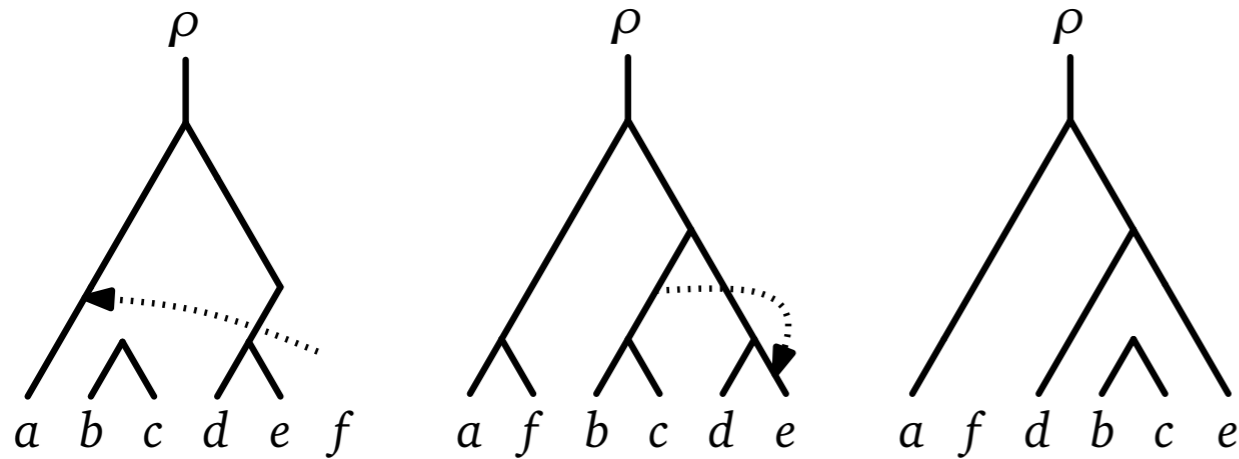
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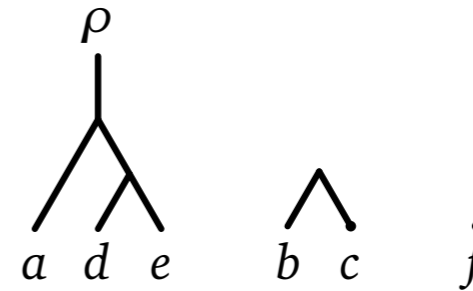


Agreement Forests

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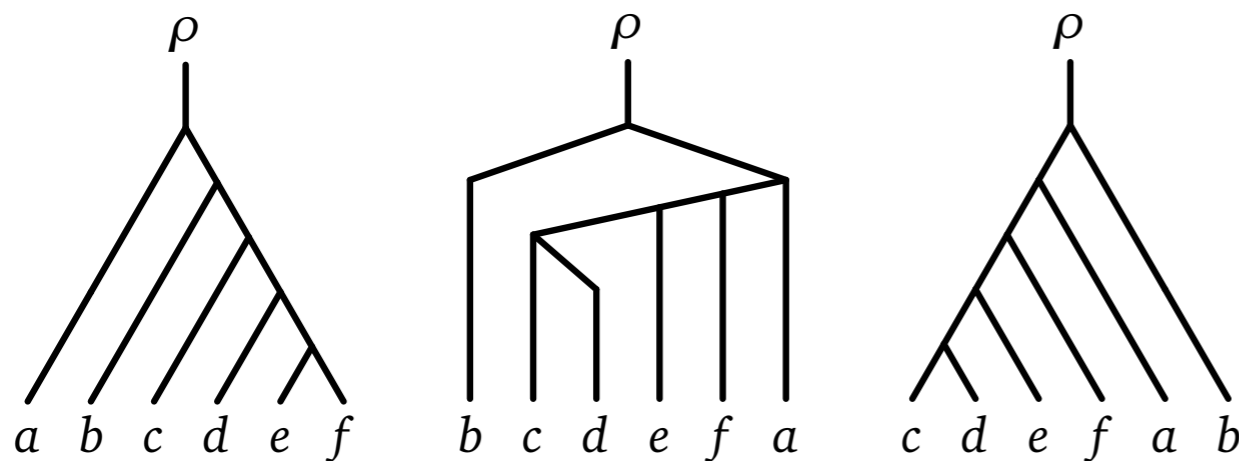


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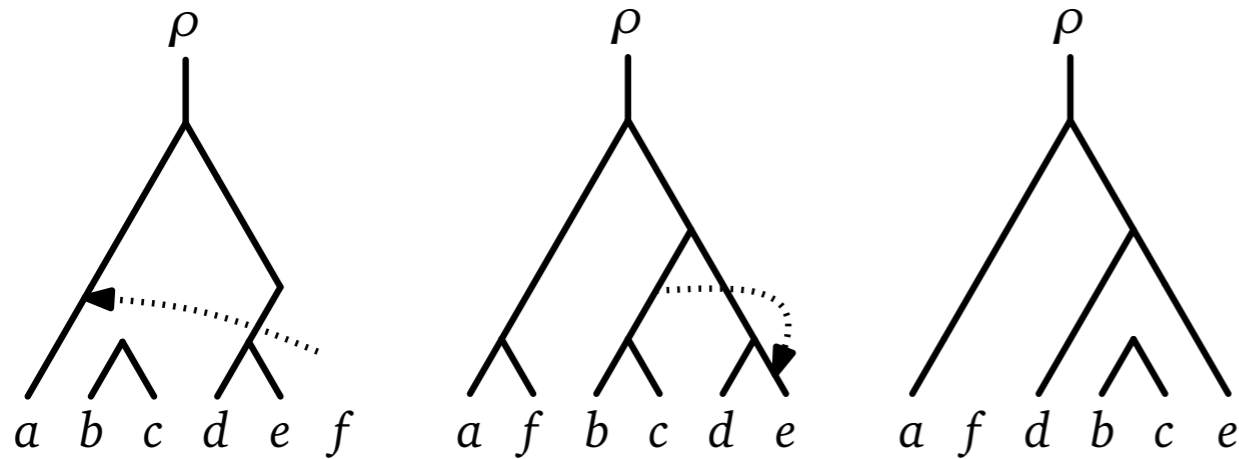
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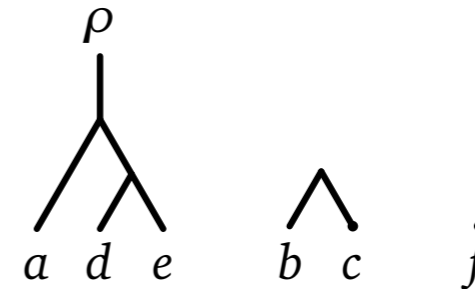


Agreement Forests

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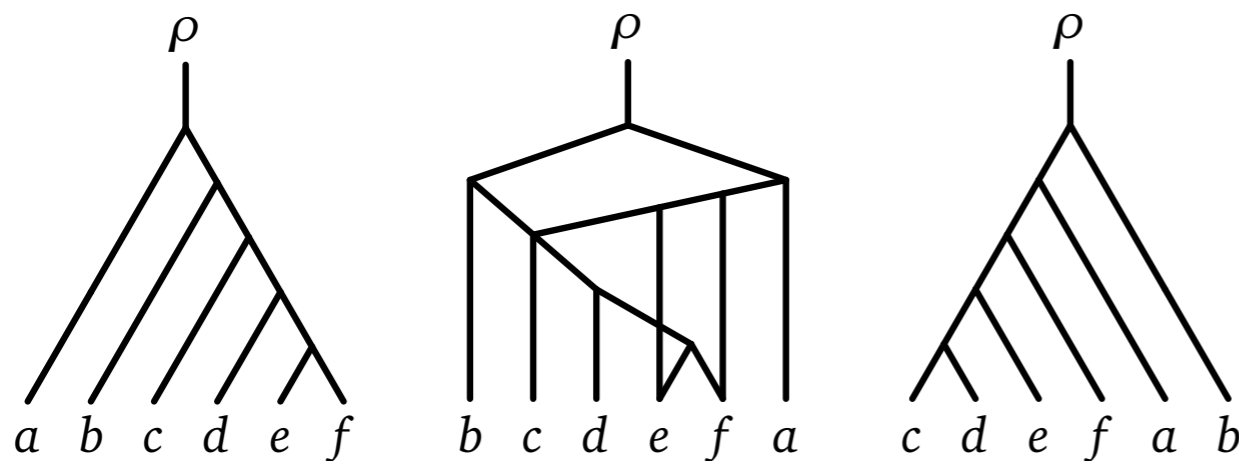


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[Bordewich/Semple 2005]

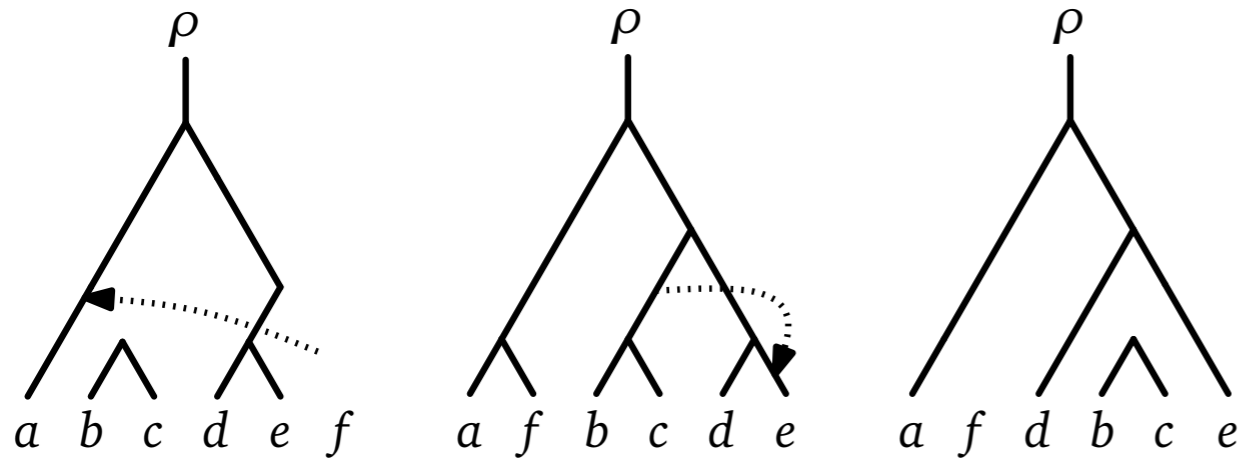
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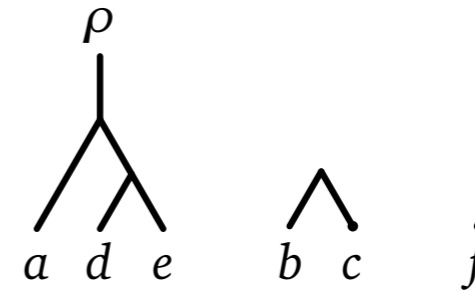
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Agreement Forests

How many SPR operations does it take to turn T_1 into T_2 ?

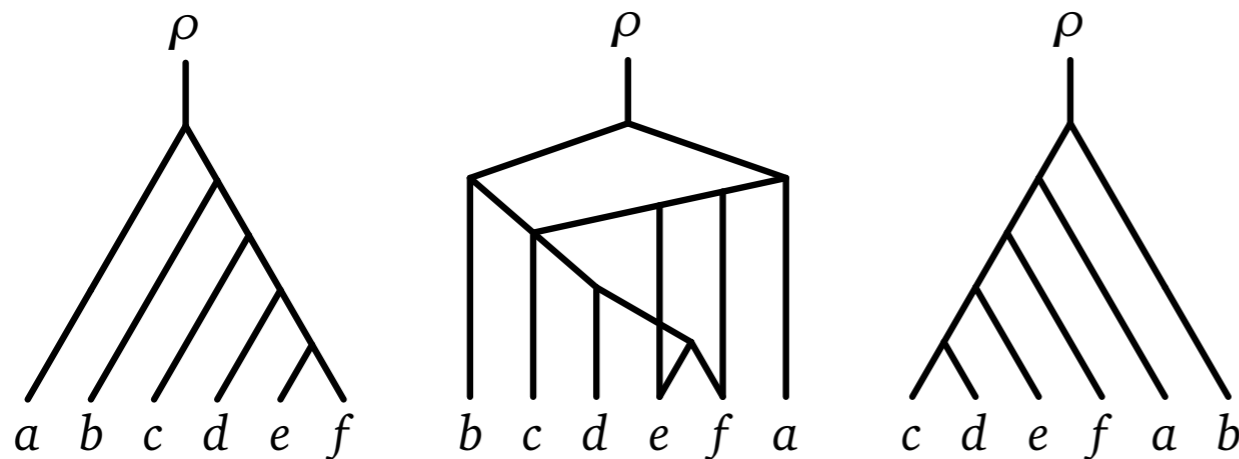


What is the largest forest we can obtain from T_1 and T_2 using edge deletions and forced contractions?

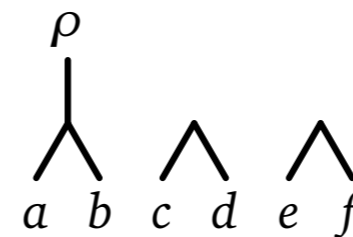


[Bordewich/Semple 2005]

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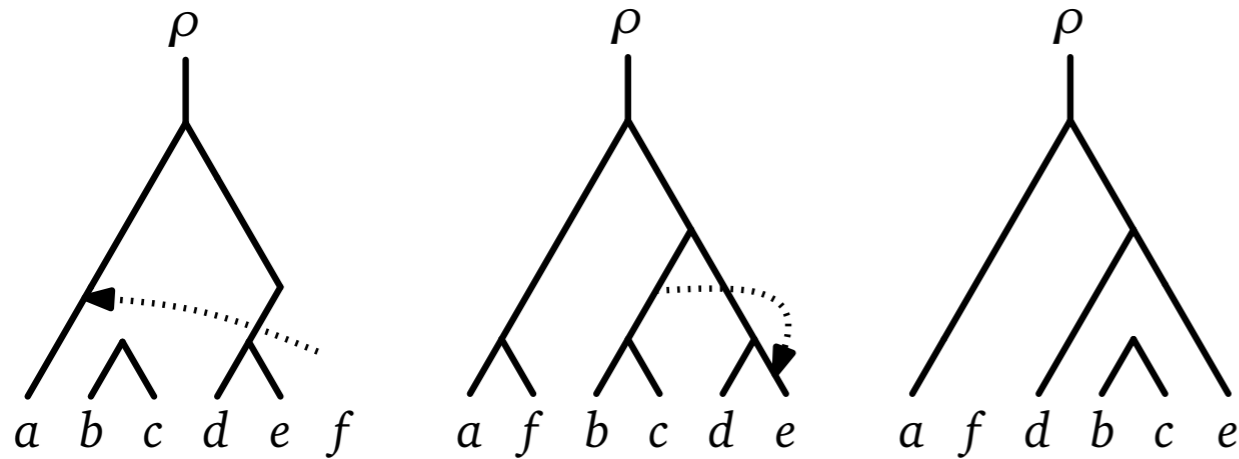
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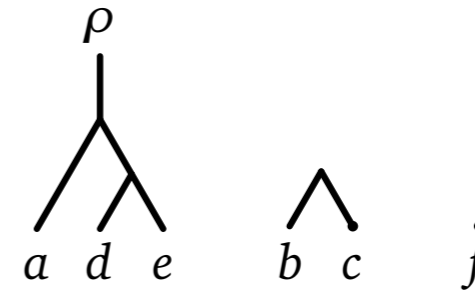
agreement forest

Agreement Forests

How many SPR operations does it take to turn T_1 into T_2 ?

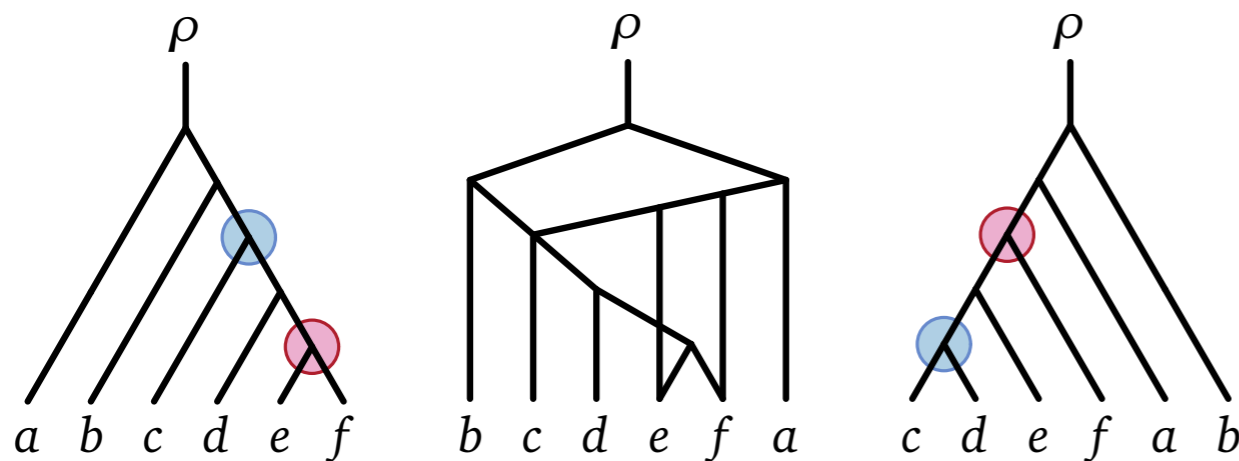


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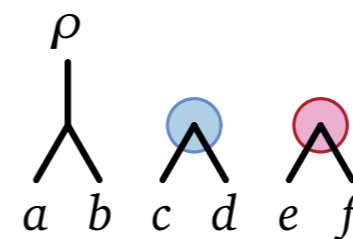


[Bordewich/Semple 2005]

What is the smallest hybridization network that displays both T_1 and T_2 ?



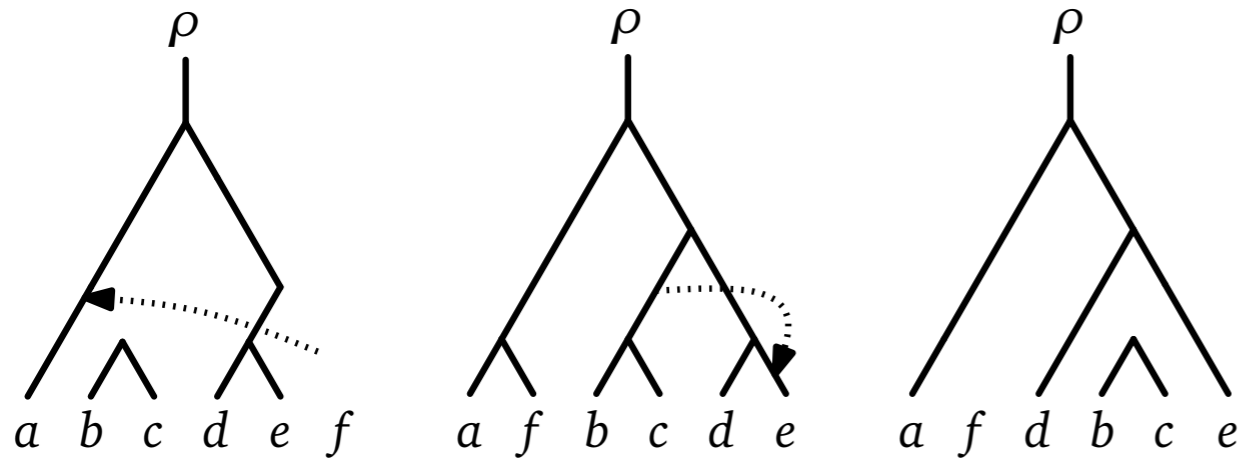
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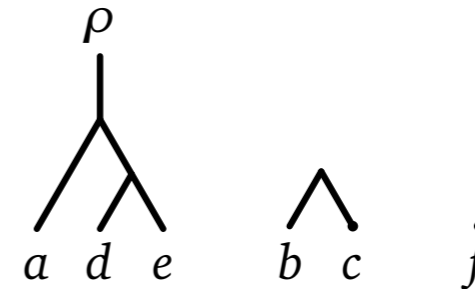
agreement forest

Agreement Forests

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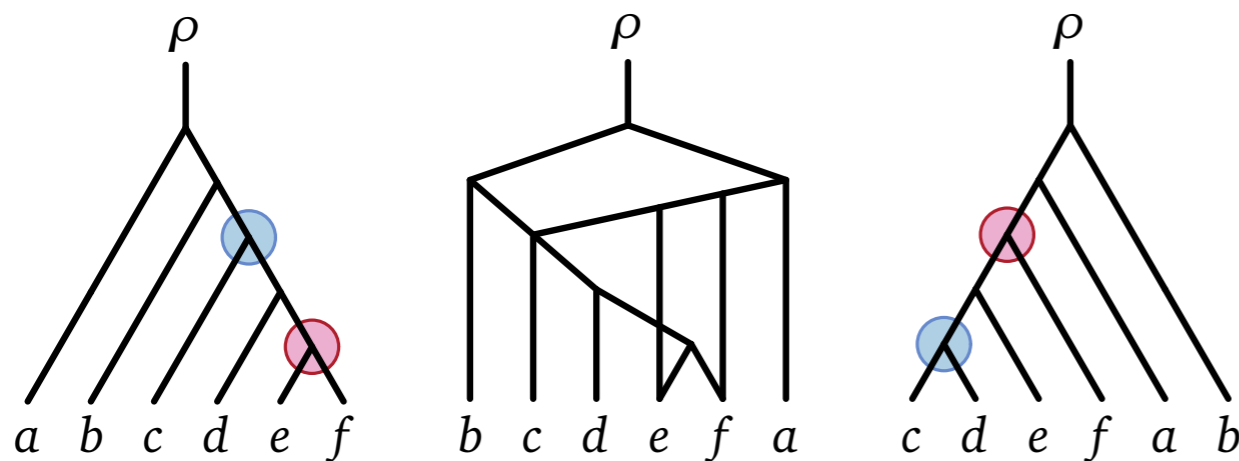


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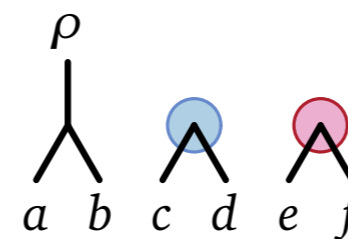


[Bordewich/Semple 2005]

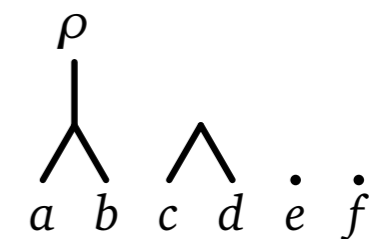
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What is the largest **acyclic** agreement forest of T_1 and T_2 ?



agreement forest

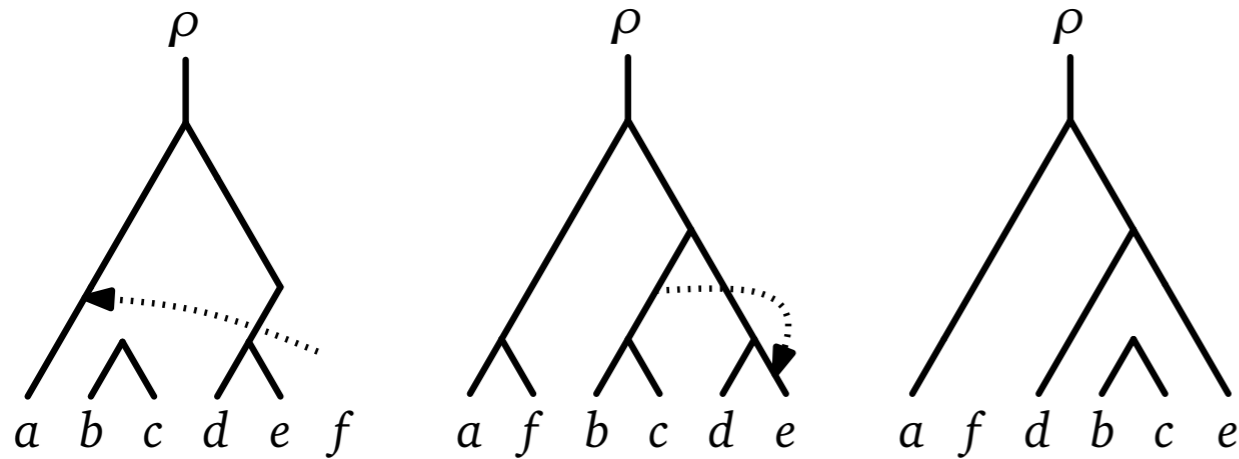


acyclic agreement forest

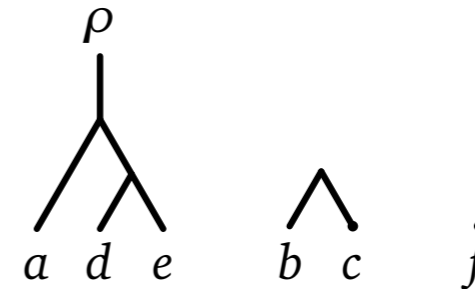
[Bordewich/Semple 2007]

Agreement Forests

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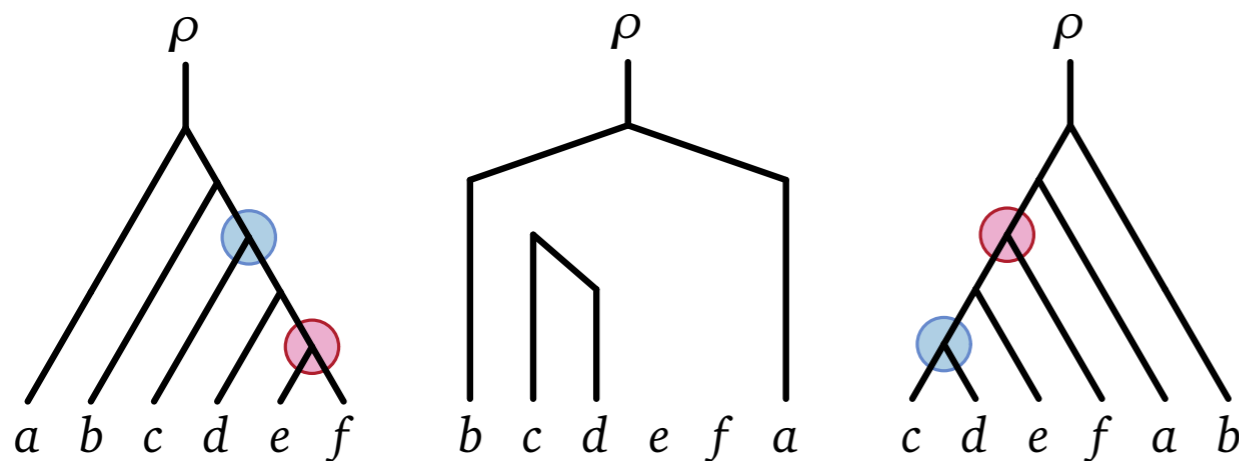


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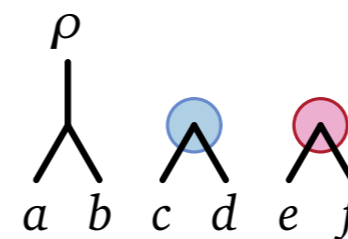


[Bordewich/Semple 2005]

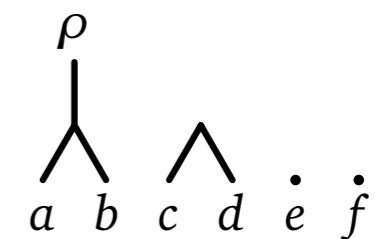
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agreement forest

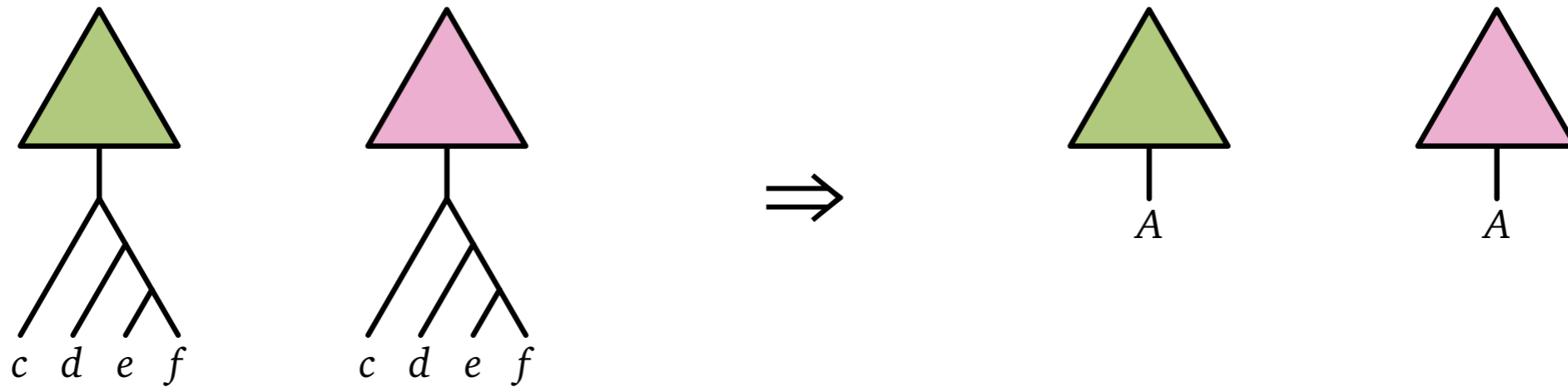


acyclic agreement forest

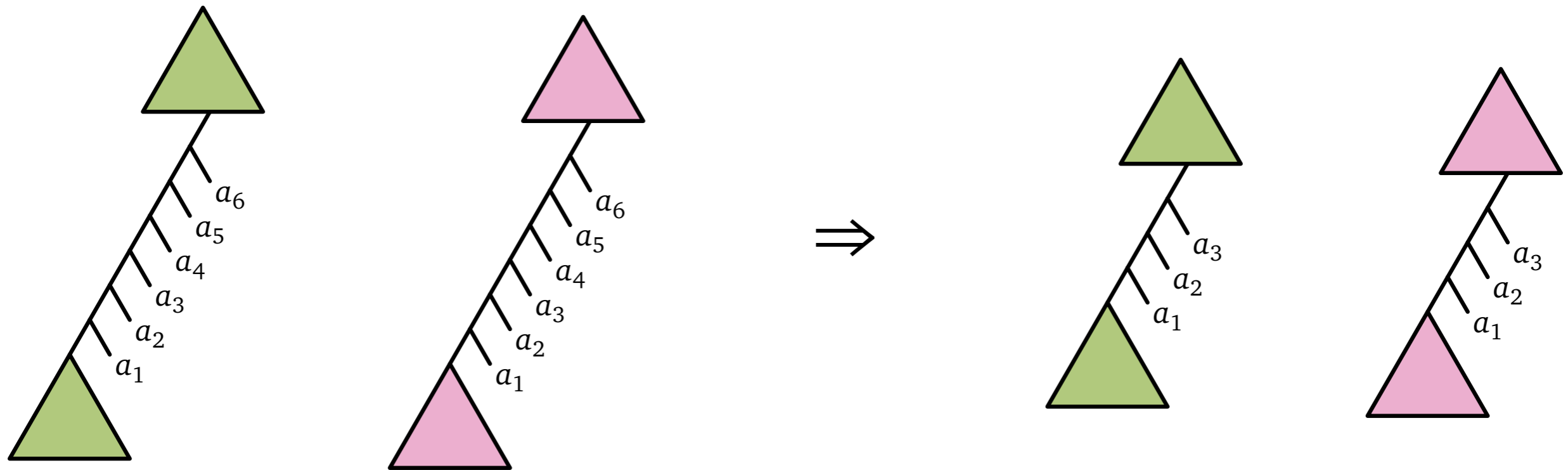
[Bordewich/Semple 2007]

Kernelization for Maximum Agreement Forest (SPR Distance)

Rule 1: Prune agreeing subtrees



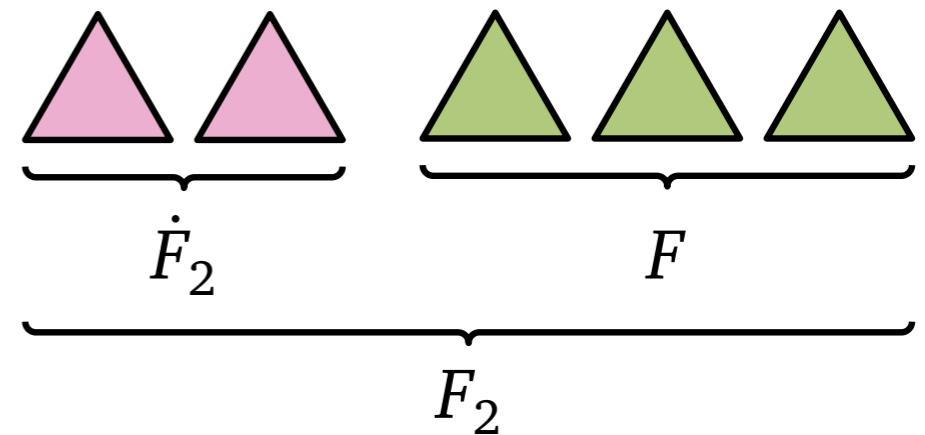
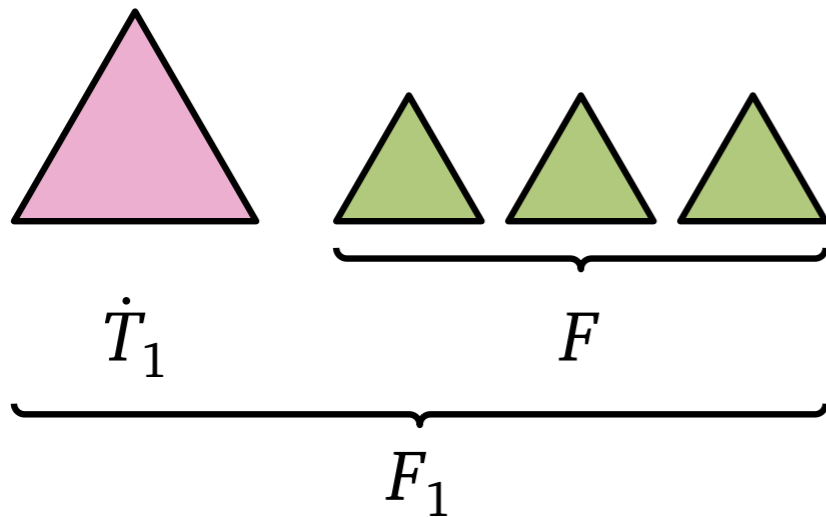
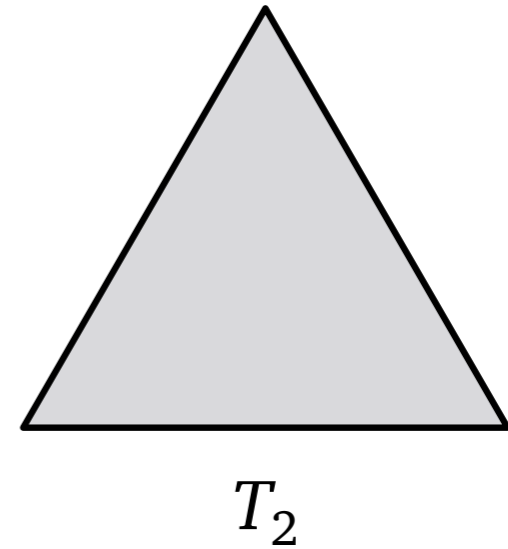
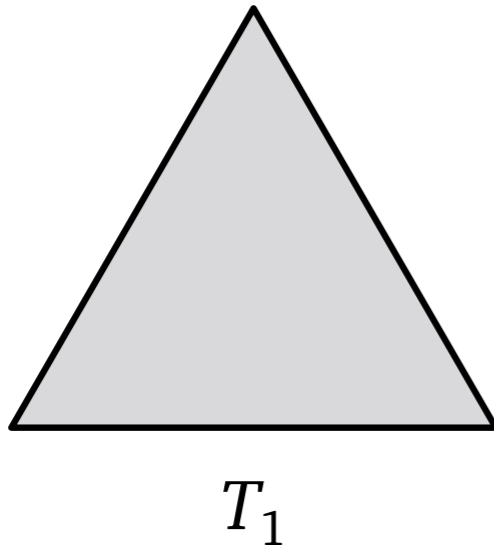
Rule 2: Compress agreeing chains



Running time: $O((56k)^k + \text{poly}(n))$

[Bordewich/Semple 2005]

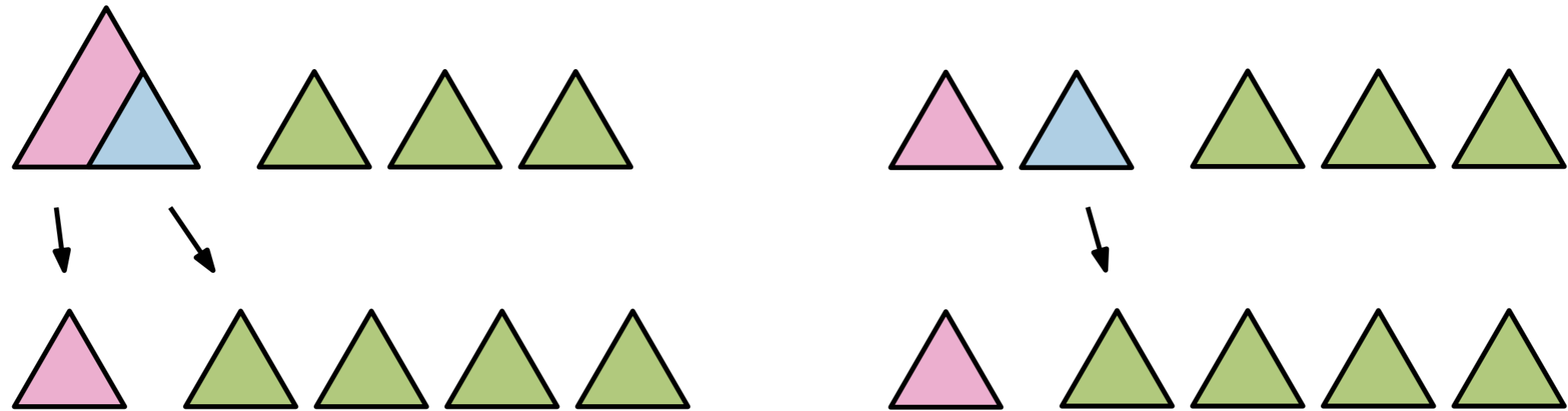
Depth-Bounded Search for MAF [Whidden/Zeh 2009]



An MAF of T_1 and T_2 can be obtained by cutting edges in F_2 .

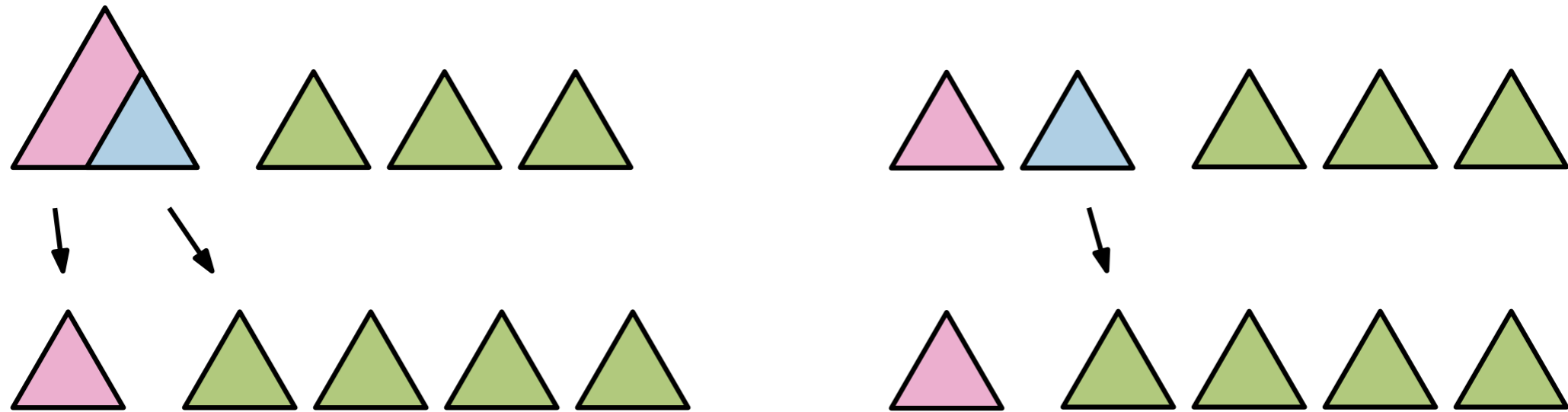
Depth-Bounded Search for MAF [Whidden/Zeh 2009]

Case 1: A whole tree in \dot{F}_2 agrees with a subtree of \dot{T}_1

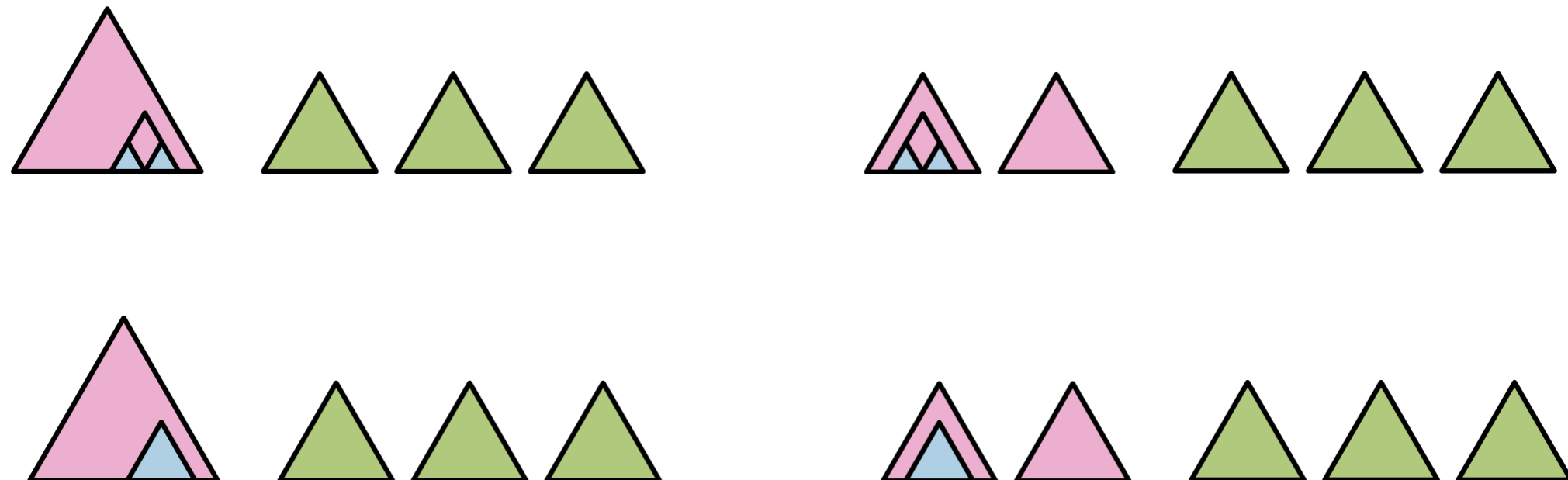


Depth-Bounded Search for MAF [Whidden/Zeh 2009]

Case 1: A whole tree in \dot{F}_2 agrees with a subtree of \dot{T}_1

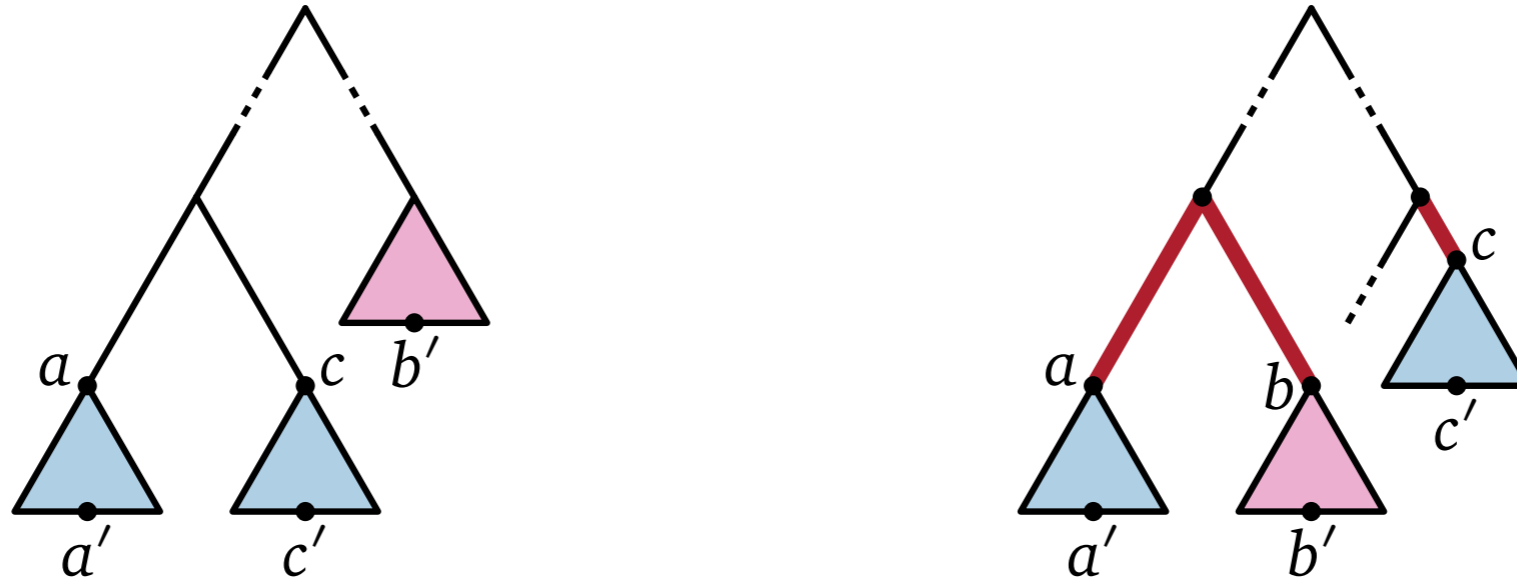


Case 2: Two agreeing subtrees are adjacent in \dot{T}_1 and \dot{F}_2



Depth-Bounded Search for MAF [Whidden/Zeh 2009]

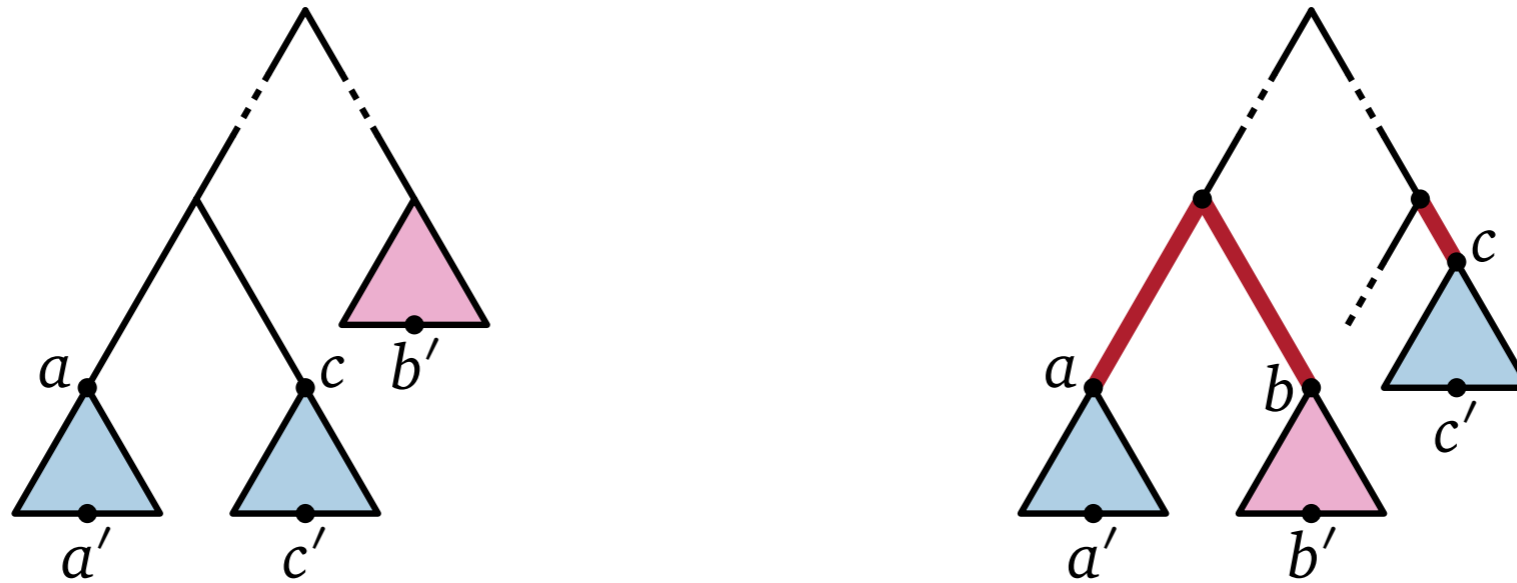
Case 3: Subtrees A and B are adjacent in T_1 but not in F_2



One branch per edge

Depth-Bounded Search for MAF [Whidden/Zeh 2009]

Case 3: Subtrees A and B are adjacent in T_1 but not in F_2



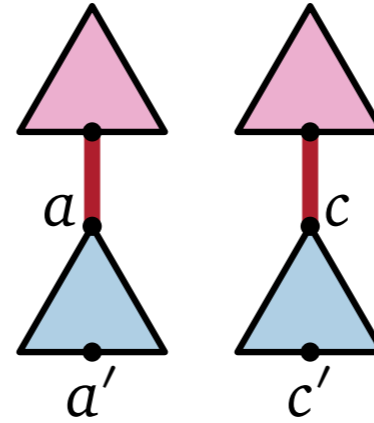
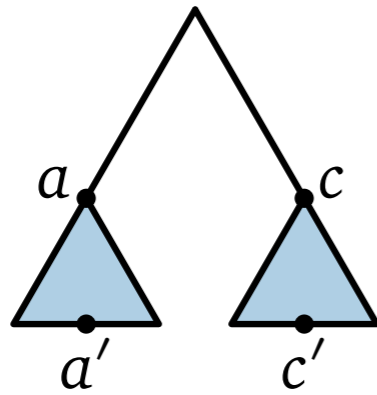
One branch per edge

- Number of recursive calls = 3^k
- Each costs $O(n)$ time

Running time: $O(3^k n)$

Improved Branching Rules [Whidden/Beiko/Zeh 2010]

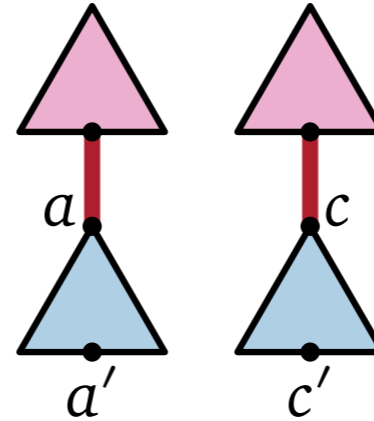
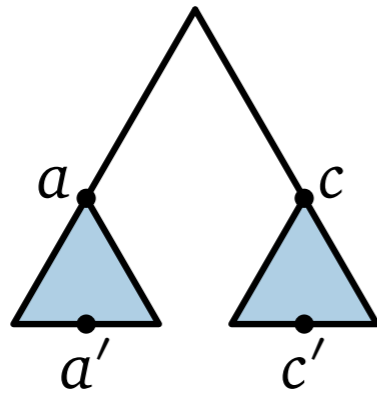
Case 3.1: a and b belong to different subtrees of \dot{F}_2



2 recursive calls
with parameter
 $k - 1$

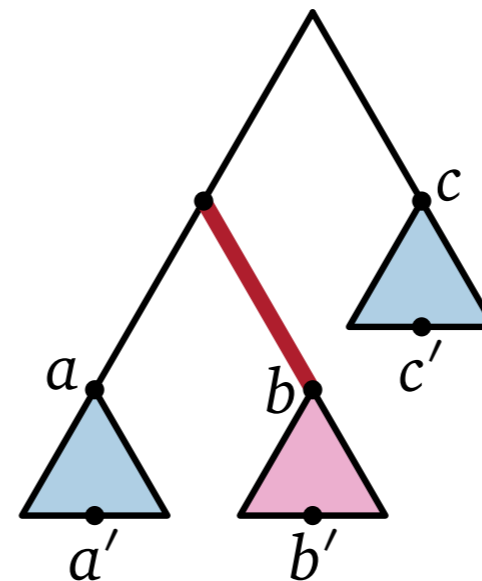
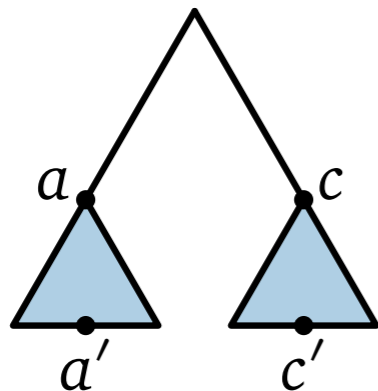
Improved Branching Rules [Whidden/Beiko/Zeh 2010]

Case 3.1: a and b belong to different subtrees of \dot{F}_2



2 recursive calls
with parameter
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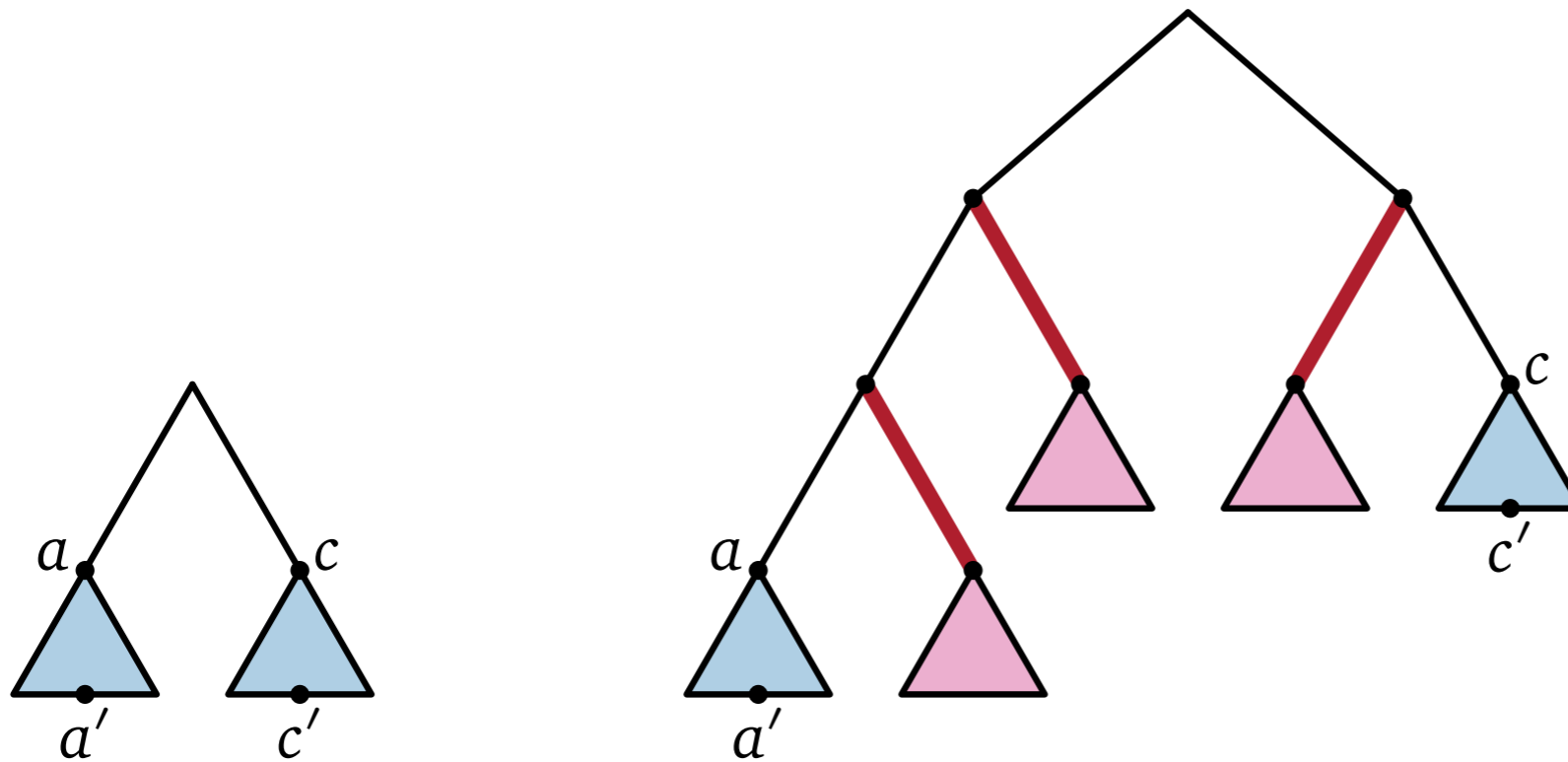
Case 3.2: One pendant subtree on path from a to b in \dot{F}_2



1 recursive call
with parameter
 $k - 1$

Improved Branching Rules [Whidden/Beiko/Zeh 2010]

Case 3.3: $m \geq 2$ pendant subtrees on path from a to b in \dot{F}_2

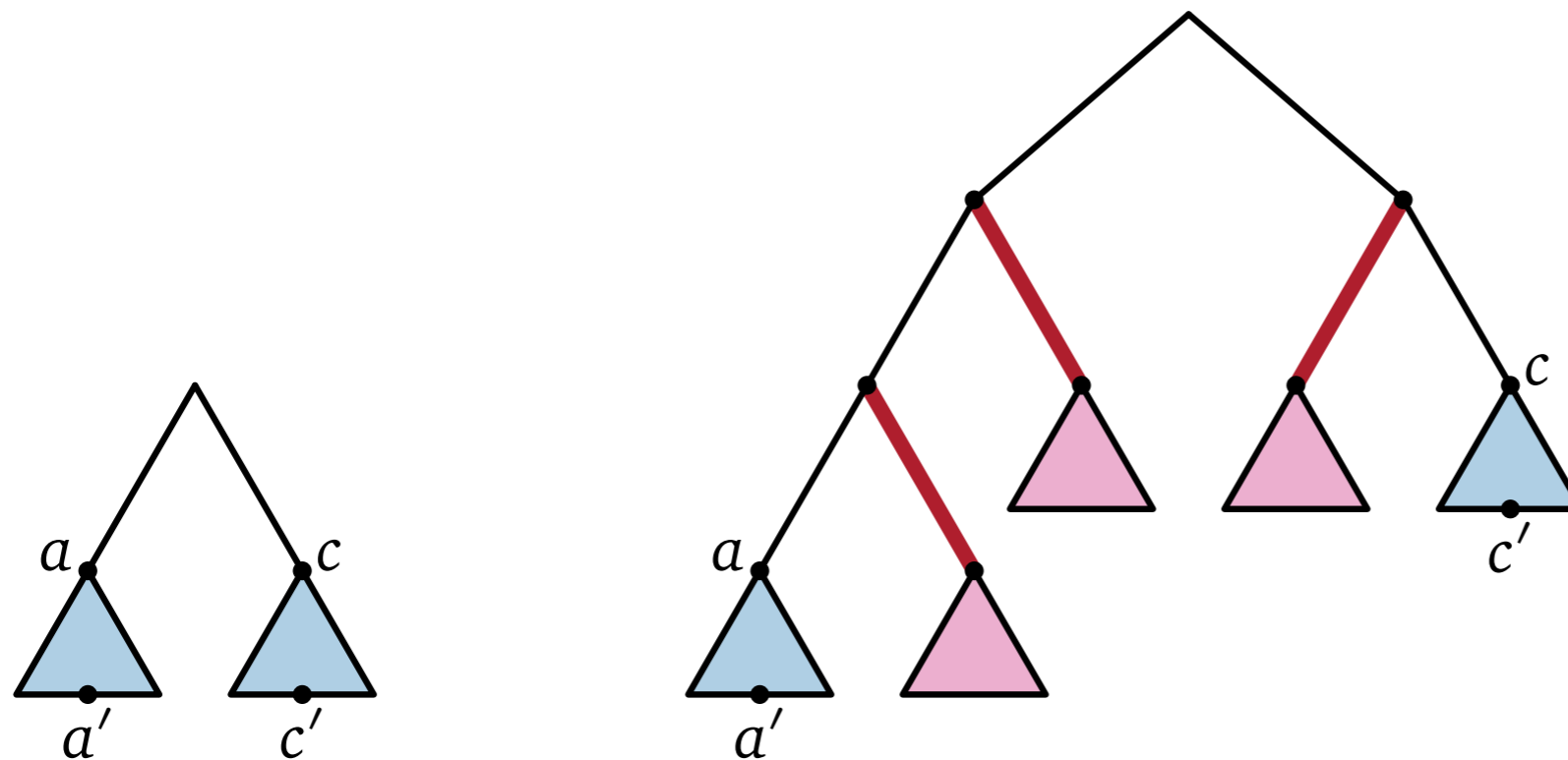


3 recursive calls
with parameters

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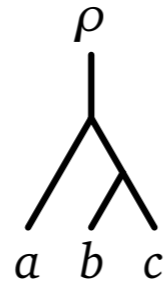
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Number of recursive invocations

$$I(k) \leq 2I(k - 1) + I(k - 2) \leq (1 + \sqrt{2})^k \approx 2.41^k$$

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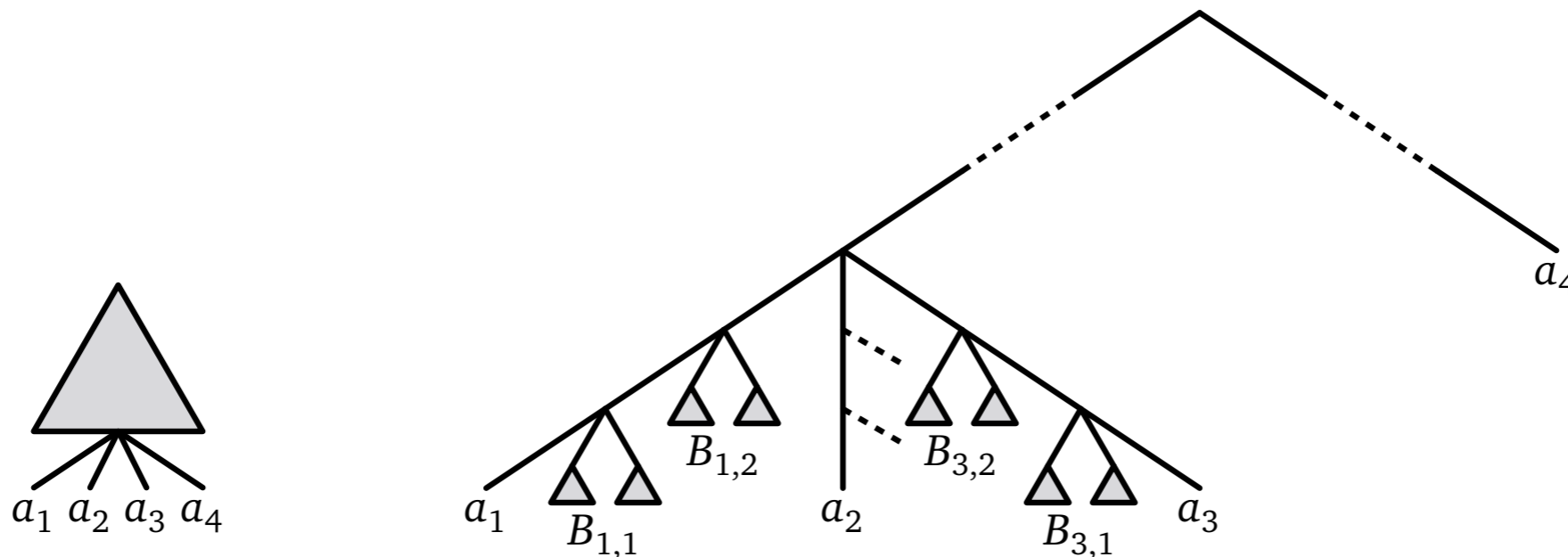
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Problem 2: Sibling pairs become sibling groups.



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- 5 cases depending on the structure of F_2
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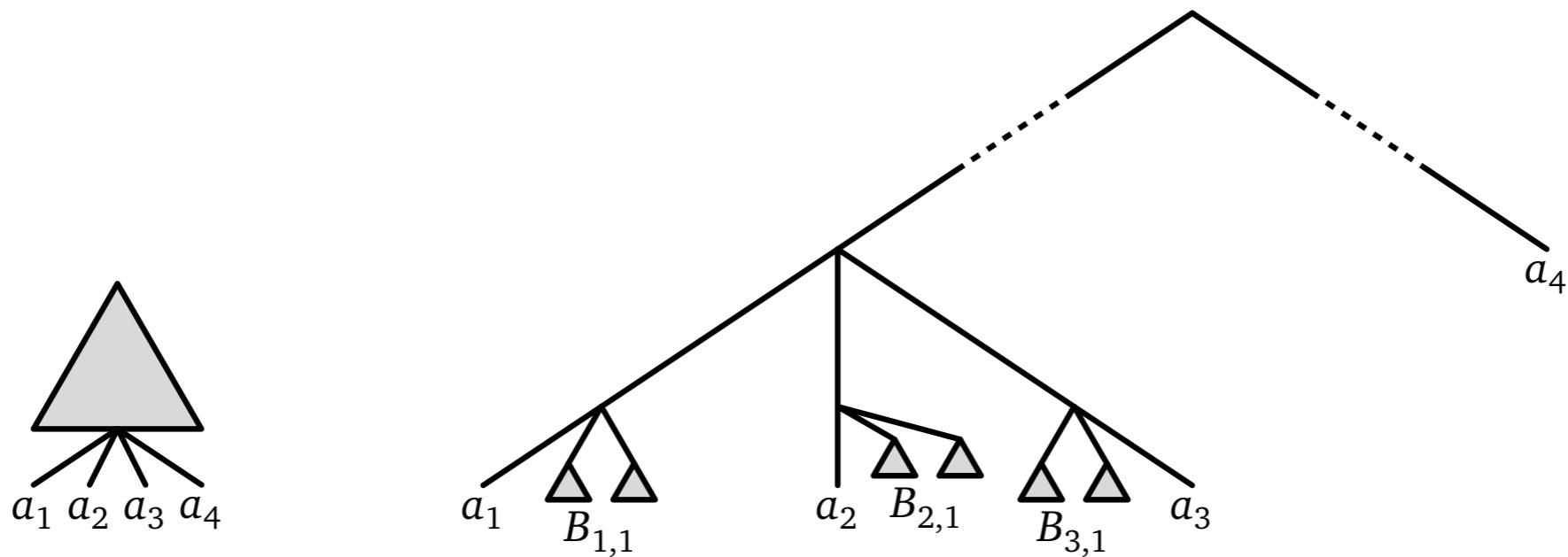
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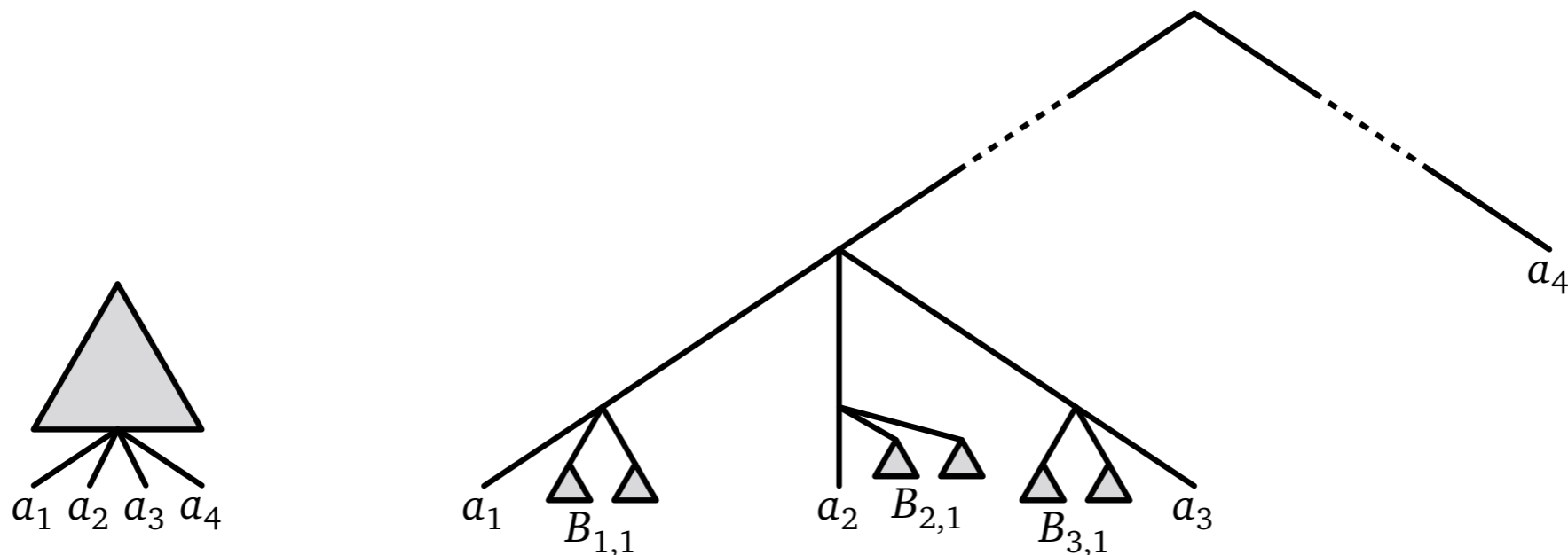


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- Until the protected edges are eliminated, every recursive call becomes a 2-way branch.
- Each such sequence of 2-way branches ends in a “1-way branch”.

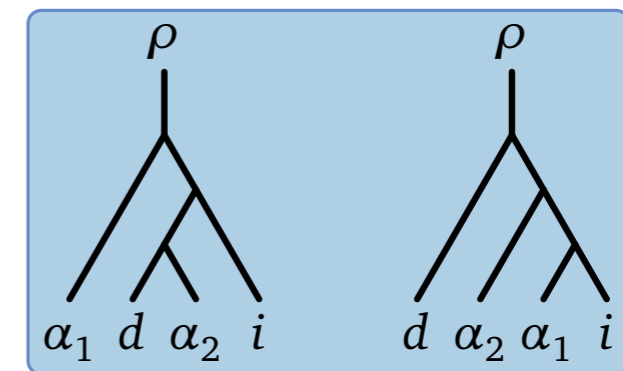
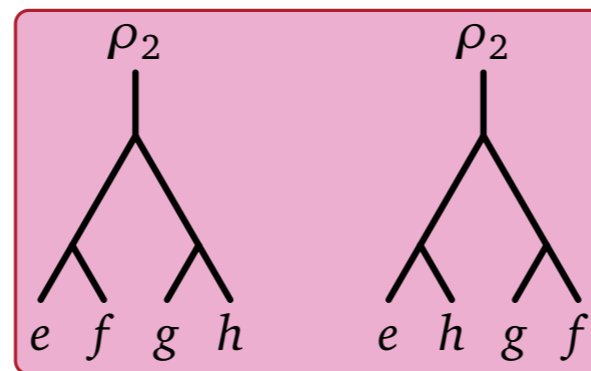
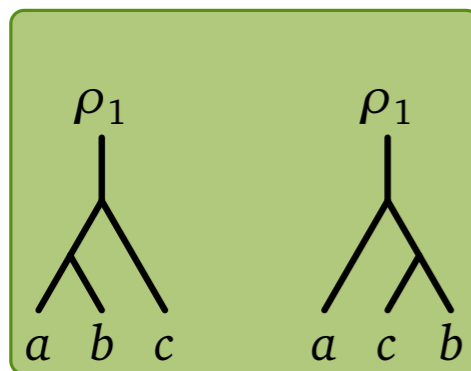
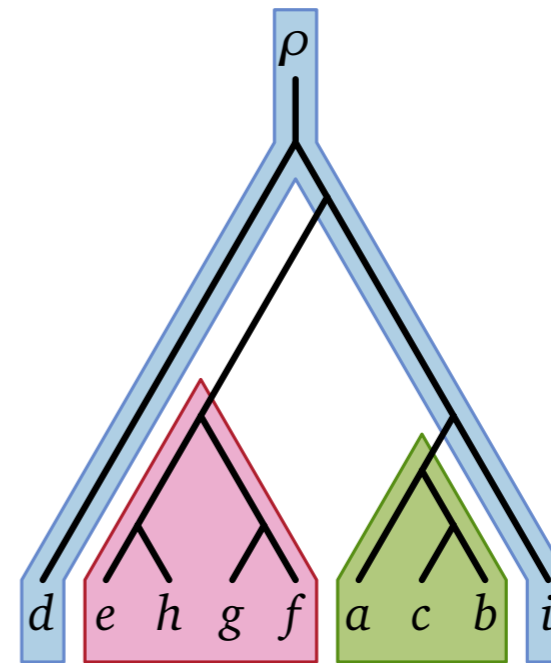
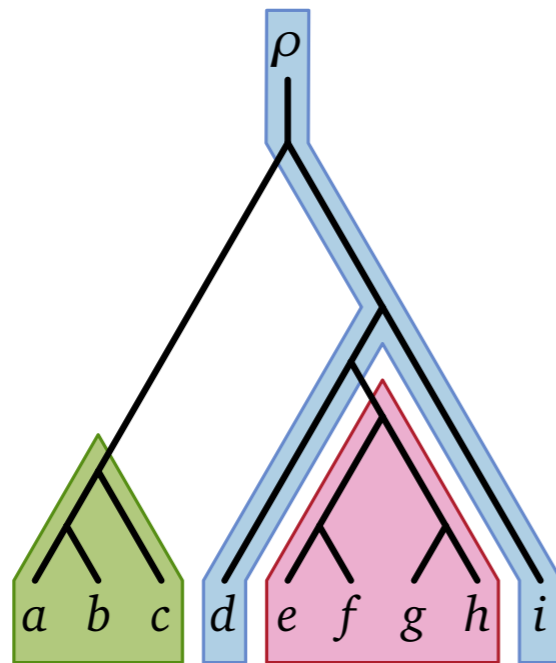
Running time: $O(2.42^k n)$

Binary Trees Even Faster [Whidden/Beiko/Zeh 2012]

- Edge protection idea from the multifurcating algorithm
- A couple of new cases
- A hairy analysis

Running time: $O(2^k n)$

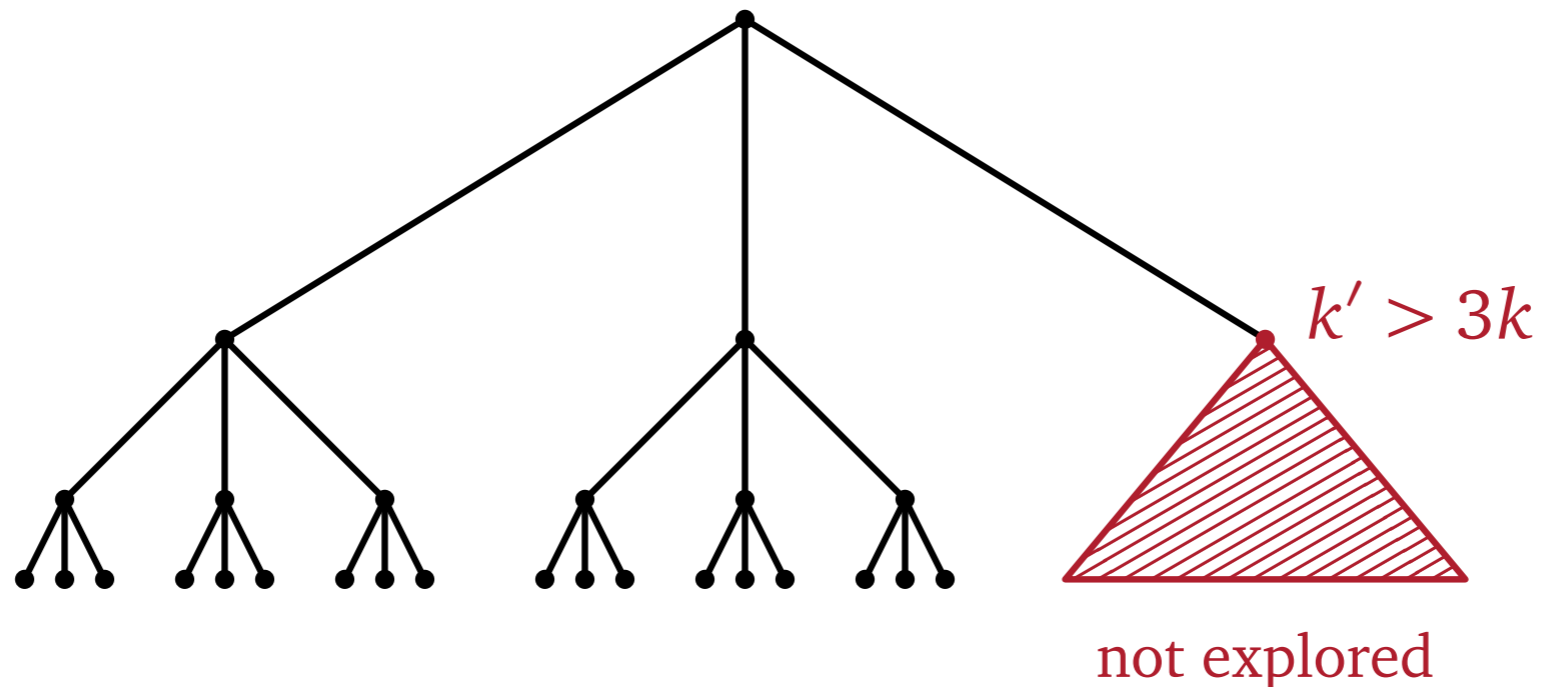
Clustering [Linz/Semple 2009]



An MAF of the two input trees can be computed by computing MAFs of the clusters ... with a twist.

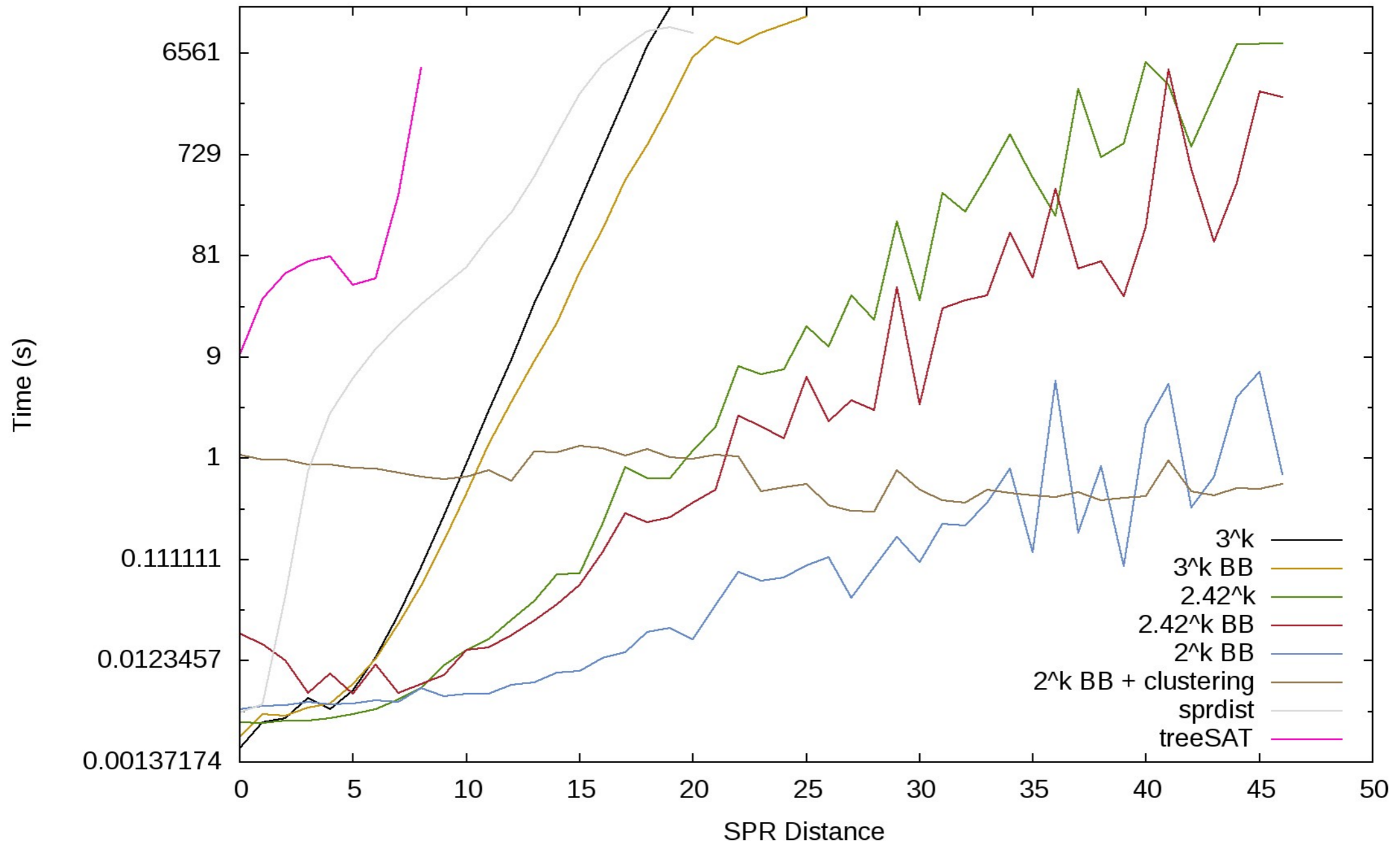
Branch and Bound

- For each invocation, compute 3-approximation k' of number of edges left to be cut.
- If $k' > 3k$, abort.



Added cost per invocation: $O(n)$ [Whidden/Zeh 2009]

Experimental Results



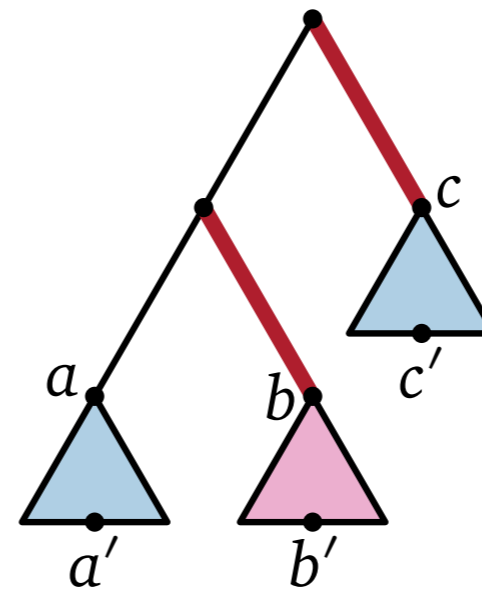
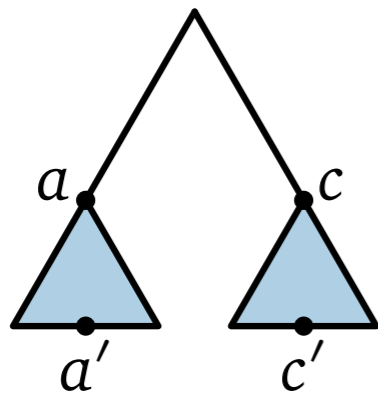
Hybridization [Whidden/Beiko/Zeh 2012]

Observation: While F_2 is not an AF of T_1 and T_2 , at least one of the branches in each case of the MAF algorithm makes progress towards an MAAF.

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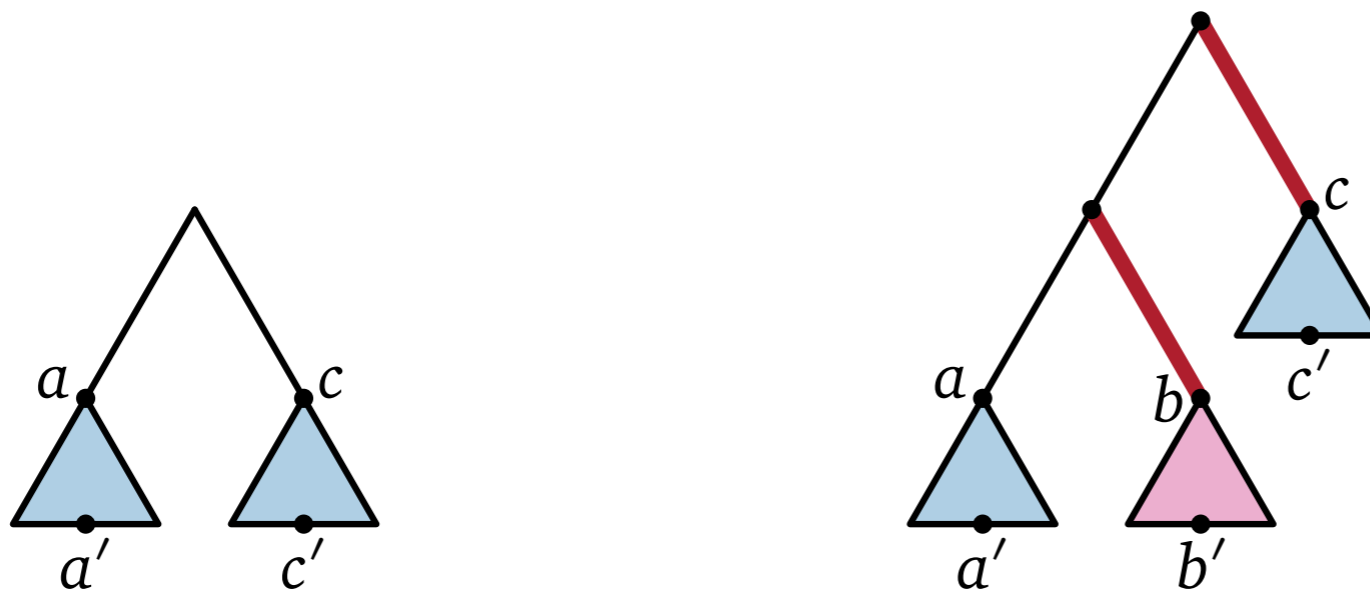


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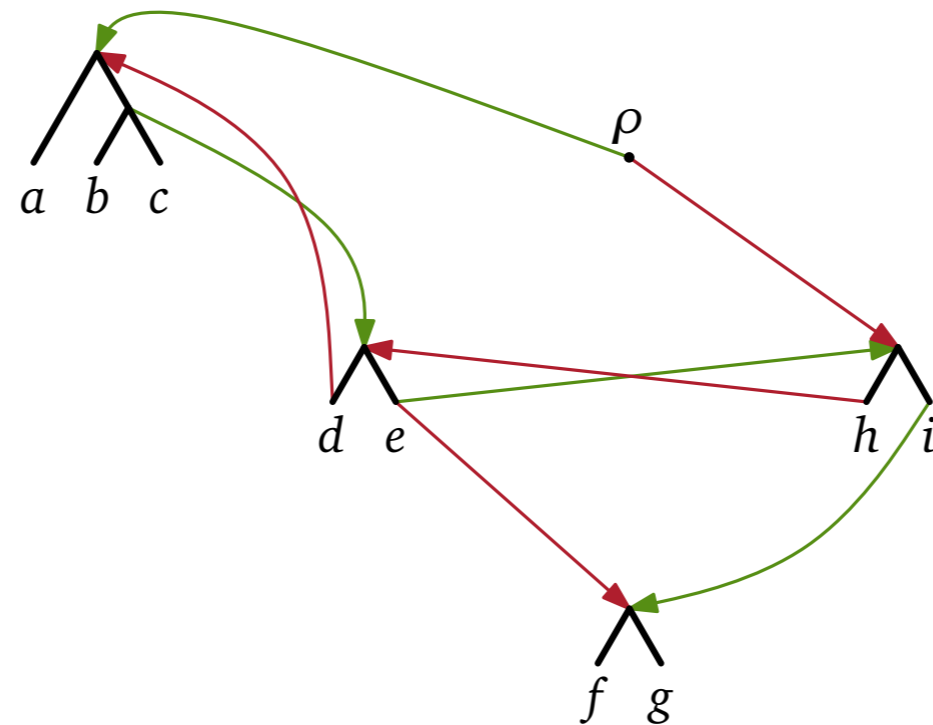
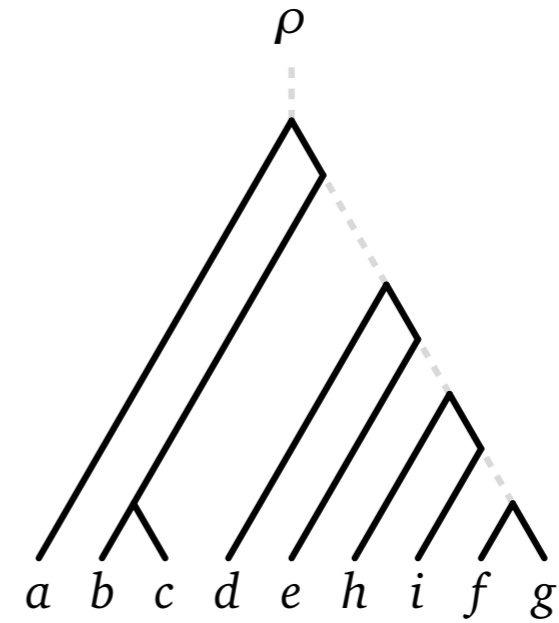
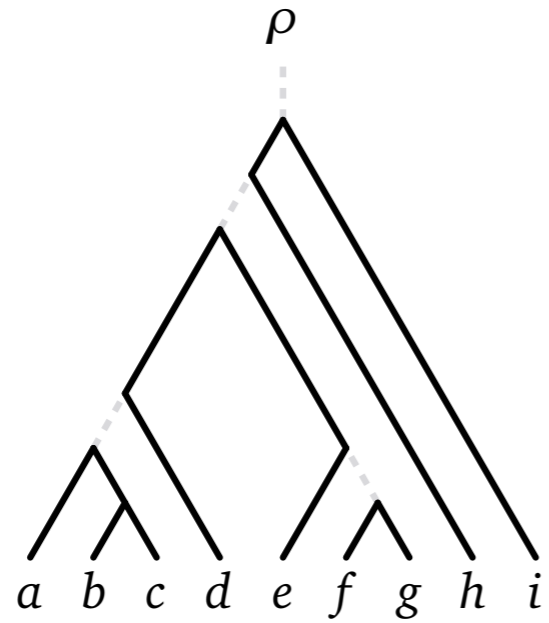


2 recursive calls
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Once an AF is obtained, cut edges to eliminate cycles.

Hybridization [Whidden/Beiko/Zeh 2012]

Cycle graph



Breaking cycles

- $2k$ edges between components
- For each, may need to eliminate the path to the root of the parent component

$\Rightarrow O(2^{2k} \cdot 2.42^k n) = O(9.68^k n)$ time

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Reducing the number of candidate edges

- Can get away with considering only k of the $2k$ edges

$\Rightarrow O(2^k \cdot 2.42^k n) = O(4.84^k n)$ time

A better analysis

- If the AF has $k' \approx k$ edges, the refinement step considers $\binom{k}{k-k'} \ll 2^k$ choices
- If the AF has $k' \approx 0$ edges, the refinement step considers at most $2^{k'} \ll 2^k$ choices
- If the AF has $k' \approx k/2$ edges, the refinement step considers $\binom{k}{k-k'} \approx 2^k$ choices, but this situation can arise only $2.42^{k'} \ll 2.42^k$ times

$\Rightarrow O(3.18^k n)$ time

Application: SPR Supertrees

SPR Supertrees [Whidden/Zeh/Beiko 2012]

Open problem: Computational complexity of computing an optimal SPR supertree.

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Iterative improvement

- Try all $O(n^2)$ SPR operations on current supertree and choose the one that minimizes the SPR distance from gene trees

Limit number of SPR moves to consider

- Consider only SPR operations across $r = O(1)$ edges $\Rightarrow O(n)$ moves

$\Rightarrow O(tn)$ exact SPR computations

- Rank moves based on approximate SPR distance of resulting tree to gene trees
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MAF-driven improvements

- In each iteration, every gene tree initiates one SPR move on supertree that reduces its distance by one
- Choose this move using the MAF of gene tree and supertree

$\Rightarrow t$ exact SPR computations

Conclusions

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Faster supertree search

- FPT approximation to handle really large trees

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Compute *all* M(A)AFs [Abrecht et al. 2012]

- Provide more biological insight