

Type-Theoretical Lexical Semantics

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(Lectures 4/5 on Lexical Semantics at ESSLLI 2011)

Overview

- ❖ Formal semantics (lexical semantics, in particular)
- ❖ Typing – formal calculi with typing
 - ❖ How to capture linguistic data?
 - ❖ How to capture “type presuppositions” with typing?
 - ❖ What is (is not) typing/subtyping?
- ❖ Which typing formalisms?
 - ❖ FOL with types
 - ❖ HOL as in Church’s Simple Type Theory (Montague)
 - ❖ TCL (Asher 2011)
 - ❖ Other formalisms?

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Proposal

- ❖ Type-theoretic semantics
 - ❖ Formal semantics in Modern Type Theories
 - ❖ In particular, lexical semantics in MTTs
 - ❖ Why are MTTs useful?

Remarks:

- (1) Focussing on providing formal mechanisms for formal (lexical) semantics, not on empirical issues.
- (2) Another way to look at this: Formal realisation or implementation of the categorical ideas in earlier lectures. (Using seen examples etc.)

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These lectures

- ❖ Basics of MTTs and type-theoretical semantics
- ❖ Lexical semantics in MTTs with coercive subtyping

Remark: Coercive Subtyping/coercions are different from (but related to) and developed independently upon the notion of coercion in linguistics.

- ❖ Revisiting the linguistic issues in MTTs
 - ❖ “Type presuppositions” via typing
 - ❖ Copredication
 - ❖ Coercions (in linguistics)
 - ❖ Other issues (eg, sense enumeration/selection)

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I. Typing and Modern Type Theories

- ❖ The typing relation (or judgement)

$a : A$

Usually specified by means of a proof system.

What can be “A” in “ $a : A$ ”?

- ❖ Types: eg,
Nat, List(Nat), Table, Man, Man \rightarrow Prop, Phy \times Info, Phy \bullet Info
- ❖ Propositions (“propositions-as-types”): eg,
 $\forall x:[\text{man}]. [\text{handsome}](x) \rightarrow \neg[\text{ugly}](x)$
- ❖ Advanced types: dependent types, type universes (see later)

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- ❖ What typing is not:

- ❖ “ $a : A$ ” is not a logical formula.
 - ❖ $7 : \text{Nat}$
 - ❖ Different from a logical formula is $\text{is_nat}(7)$.
 - ❖ Eg, Typing judgements (in intensional TTs) is decidable while the truth/provability of a formula (in FOL or a stronger calculus) is not.
- ❖ “ $a : A$ ” is different from the set-theoretic membership relation “ $a \in S$ ” (the latter is a logical formula in FOL).

- ❖ What typing is related to:

- ❖ Meaningfulness (ill-typed \rightarrow meaningless)
- ❖ Semantic/category errors (eg, “A table talks.”)
- ❖ Type presuppositions (Asher 2011)

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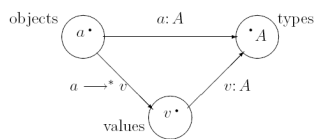
Types v.s. Sets

- Types may be thought of as “manageable sets”.
- Some typical differences
 - Typing is decidable: “ $a:A$ ” is decidable (in intensional TTs), while the set membership “ $a \in S$ ” is not.
 - Type theories can have an embedded/consistent logic, by propositions-as-types principle, while set theory is different.
 - There are union/intersection sets $S \cup S' / S \cap S'$, but union or intersection types would usually make the type theory undecidable or logically inconsistent.
 - There is set inclusion $S \subseteq S'$, but subtyping $A \leq B$ is much more restricted (and we do need a powerful subtyping mechanism for lexical semantics).

Simple v.s. Modern Type Theories

- Church’s simple type theory (Montague semantics)
 - Base types (“single-sorted”): e and t
 - Composite types: $e \rightarrow t$, $(e \rightarrow t) \rightarrow t$, ...
 - Formulas in HOL (eg, membership of sets)
 - Eg, $s : e \rightarrow t$ is a set of entities ($a \in s$ iff $s(a)$)
- Modern type theories (eg, Martin-Löf’s type theory)
 - Many types of entities – “many-sorted”
 - Table, Man, Human, Phy, ... are all types (of certain entities).
 - Different MTTs have different embedded logics
 - Martin-Löf’s type theory: first-order logic (but not the standard one)
 - Impredicative UTT: higher-order logic (standard one)

MTTs (1) – canonical objects



Examples:

- $A = \mathbb{N}$, $a = 3+4$, $v = 7$.
- $A = \mathbb{N} \times \mathbb{N}$, $a = (\lambda x: \mathbb{N}. (x, x+1))(2)$, $v = (2, 3)$.

MTTs (2) – Embedded Logic

Propositions-as-types

formula	type	example
$A \supset B$	$A \rightarrow B$	If ..., then ...
$\forall x: A. B(x)$	$\prod x: A. B(x)$	Every man is handsome.

Prop – totality of logical propositions

- Impredicative type theories such as UTT [Luo 94] (as in ℓ in Montague grammar)
- Example – predicates to interpret adjectives/verbs:
 - $handsome : Man \rightarrow Prop$
 - $walk : Man \rightarrow Prop$

MTTs (3): dependent/inductive types

- Σ -types – an example
 - Types of dependent pairs (“inductive”: the only objects are pairs)
 - Intuitively, for $A : \text{Type}$ and $B : A \rightarrow \text{Type}$, $\Sigma(A, B) = \{ (x, y) \mid x : A \ \& \ y : B(x) \}$ (“dependent”: type $B(x)$ depends on object x .)
 - Example (when B is an A -indexed proposition): $\Sigma(\text{Man}, \text{handsome})$
 - Σ -types could be used (as in Martin-Löf’s type theory) as existential formulas – but this is a non-standard strong quantifier.
- Other inductive types
 - finite types, nats, lists, vectors, trees, ordinals, ...

Types in MTTs: summary

- Propositional types
 - $P \supset Q$, $\forall x: A. P(x)$, ...
- Inductive types
 - Nat , $A \times B$, $\text{List}(A)$, ...
- Dependent types
 - $\Sigma x: A. B(x)$ (intuitively, $\{ (a, b) \mid a : A \ \& \ b : B(a) \}$)
 - $\prod x: A. B(x)$ (intuitively, $\{ f : A \rightarrow \prod_{a \in A} B(a) \mid a : A \ \& \ b : B(a) \}$)
- Universes
 - A universe is a type of (some other) types
 - Eg, CN – a universe of the types that interpret CNs (see later for an example of using this)
- Other types: Phy , Table , ..., $A \bullet B$, ...

MTTs: example TTs

- ❖ Predicative type theories
 - ❖ Martin-Löf's type theory
 - ❖ Extensional and intensional equalities in TTs
- ❖ Impredicative type theories
 - ❖ Prop
 - ❖ Impredicative universe of logical propositions (cf. t in simple TT)
 - ❖ Internal totality (a type, and can hence form types, eg $\text{Table} \rightarrow \text{Prop}$, $\text{Man} \rightarrow \text{Prop}$, $\forall X:\text{Prop}.X$,
 - ❖ F/F^0 (Girard), CC (Coquand & Huet)
 - ❖ ECC/UTT (Luo, implemented in Lego/Plastic)
 - ❖ pCIC (implemented in Coq/Matita)

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MTTs: Technology and Applications (in CS)

- ◆ Proof technology based on type theories
 - Proof assistants – ALF/Agda, Coq, Lego, NuPRL, Plastic, ...
- ◆ Applications
 - Formalisation of mathematics (eg, 4-colour Theorem in Coq)
 - Program verification (eg, security protocols)
 - Dependently-typed programming (Cayenne, DML, Epigram)

Here: type-theoretical lexical semantics

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II. Type-Theoretical Semantics

- ❖ Type-theoretical semantics
 - ❖ Formal semantics in the Montagovian style
 - ❖ But, in modern type theories (not in simple TT)
- Remark: proof-theoretic v.s. model-theoretic semantics of logical systems
- ◆ A key difference from the Montague semantics:
 - ❖ CNs interpreted as types (not predicates of type $e \rightarrow t$)
- ❖ Some work on TT semantics
 - ❖ Ranta 1994: basics of TT semantics
 - ❖ Luo 1998/2010/2011: coercive subtyping in TT semantics

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Montague Semantics: examples

- ◆ Sentences (as propositions)
 - [John walks], [A man walks] : t
- ◆ Common nouns (as functional subsets of entities)
 - man : CN
 - [man] : $e \rightarrow t$
- ◆ Verbs (as subsets of entities)
 - walk : IV
 - [walk] : $e \rightarrow t$
 - [John walks] = [walk](j), if $j = [\text{John}] : e$.
 - [A man walks] = $\exists m:e. [\text{man}](m) \ \& \ [\text{walk}](m)$
- ◆ Adjectives (as functions from subsets to subsets)
 - handsome : CN/CN
 - [handsome] : $(e \rightarrow t) \rightarrow (e \rightarrow t)$
 - [handsome man] = [handsome]([man]) : $e \rightarrow t$

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Type-theoretic semantics (1): sentences & CNs

- ❖ Sentences (as propositions)
 - ❖ [John walks] : Prop
 - ❖ [A man walks] : Prop
- ❖ Common nouns are interpreted as types
 - ❖ [man], [book], [table] : Type (fine-grained)
 - ❖ Remark: not as sets of type $e \rightarrow t$ as in Montague semantics
- ❖ Other semantics types
 - ❖ Eg, Phy/Info – the type of physical/informational entities

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Type-theoretic semantics (2): verbs

- ❖ Verbs are interpreted as predicates over "meaningful" domains
 - ❖ [shout] : [human] \rightarrow Prop
 - ❖ Note: "A table shouts" is meaningless (a "category error") in the sense that $\exists t:[\text{table}]. [\text{shout}](t)$ is ill-typed (not "false", as in Montague's semantics).
- ❖ We need:
 - ❖ [John shouts] = [shout](j) : Prop, for $j : [\text{man}]$
 - ❖ [A man shouts] = $\exists m:[\text{man}]. [\text{shout}](m) : \text{Prop}$
 - ❖ But these are ill-typed! ([man] is not [human])
- ❖ Subtyping
 - ❖ [man] \leq [human], the above become well-typed.
 - ❖ Subtyping is crucial for type-theoretical semantics! (Things only work in the presence of subtyping.)

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TT semantics (3): adjectives & modified CNs

- ❖ Adjectives, like verbs, are interpreted as predicates over "meaningful" domains
 - ❖ [handsome] : [man] → Prop
 - ❖ Note: "A table is handsome" is meaningless (a "category error") in the sense that $\exists t: [table]. [handsome](t)$ is ill-typed (not "false", as in Montague's semantics).
- ❖ Modified CNs
 - ❖ Σ -types for modified CNs
 - ❖ [handsome man] = $\Sigma([man], [handsome])$
 - ❖ Subtyping is needed as well (A handsome man is a man ...)
 - ❖ More on subtyping later

Predicate-modifying adverbs: an advanced example

- ❖ Advanced features in MTTs are useful
 - ❖ Semantics to adverbs: example of using type universes
- ❖ Montague semantics:
 - ❖ [quickly] : $(e \rightarrow t) \rightarrow (e \rightarrow t)$
 - ❖ [John walked quickly] = [quickly]([walk], j) : t
- ❖ How in MTT?
 - ❖ Problem: We have many types that interpret CNs (Table, Man, Animated, ...), not a single e.
 - ❖ Solution:
 - ❖ Introduce universe CN of types that interpret CNs
 - ❖ [quickly] : $\Pi[A:CN. (A \rightarrow Prop) \rightarrow (A \rightarrow Prop)]$
 - ❖ [John walked quickly] = [quickly]([animated], [walk], j) : Prop
 - ❖ Remark: the above type of [quickly] is both polymorphic and dependent.

Remark on anaphora analysis

- ❖ Various treatments of "dynamics"
 - ❖ DRTs, dynamic logic, ...
 - ❖ MTTs provide a suitable (alternative) mechanism.
- ❖ Donkey sentences
 - ❖ Eg, "Every farmer who owns a donkey beats it."
 - ❖ Montague semantics
 - ❖ $\forall x. \text{farmer}(x) \ \& \ [\exists y. \text{donkey}(y) \ \& \ \text{own}(x,y)] \Rightarrow \text{beat}(x,?y)$
 - ❖ Modern TTs (Π for \forall and Σ for \exists):
 - ❖ $\Pi x: \text{Farmer} \Pi z: [\Sigma y: \text{Donkey. own}(x,y)] \text{beat}(x, \pi_1(z))$
- ❖ But, this is only an interesting point ...

Type-theoretical lexical semantics: why/how?

- ❖ MTTs provide a promising formalism for
 - ❖ Formal semantics (basics as above)
 - ❖ Lexical semantics, in particular (next)
- ❖ Many promising mechanisms in MTTs to represent
 - ❖ Sense enumeration/selection model
 - ❖ Dot-types and copredication
 - ❖ Type presuppositions
 - ❖ Coercions (in linguistics)
 - ❖ and ... (other difficult cases)
- ❖ How?
 - ❖ Coercive subtyping etc

III. Coercive Subtyping

- ❖ Need for subtyping
 - ❖ Some subtypes of entities: $\text{Phy}/\text{Info} \leq e$
 - ❖ More crucially needed for TT semantics
 - ❖ Many-sorted (CNs & modified CNs are interpreted as types)
 - ❖ Representation of relationships between these types is needed in TT semantics
- ❖ Coercive subtyping
 - ❖ Adequate (and powerful) framework for MTTs
 - ❖ Traditional "subsumptive subtyping" is inadequate for MTTs
 - ❖ Coercive subtyping are very useful in lexical semantics.

Subtyping problem in the Montagovian setting

- ❖ Problematic example (in Montague semantics)
 - ❖ [heavy] : $(\text{Phy} \rightarrow t) \rightarrow (\text{Phy} \rightarrow t)$
 - ❖ [book] : $\text{Phy} \bullet \text{Info} \rightarrow t$
 - ❖ [heavy book] = [heavy]([book]) ?
 - ❖ In order for the above to be well-typed, we need
 - $\text{Phy} \bullet \text{Info} \rightarrow t \leq \text{Phy} \rightarrow t$
 - By contravariance, we need
 - $\text{Phy} \leq \text{Phy} \bullet \text{Info}$
 - But, this is not the case (the opposite is)!
- ❖ In TT sem, because CNs are interpreted as types, things work as intended (see later).

Subsumptive subtyping: traditional notion

❖ "Subsumptive subtyping":

$$\frac{a : A \quad A \leq B}{a : B}$$

❖ Fundamental principle of subtyping

If $A \leq B$ and, wherever a term of type B is required, we can use a term of type A instead.

For example, the subsumption rule realises this.

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Question:

Is subsumptive subtyping adequate for type theories with canonical objects?

Answer:

No (canonicity fails) and then what?

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Canonicity

❖ Definition

Any closed object of an inductive type is computationally equal to a canonical object of that type.

❖ This is a basis of TTs with canonical objects.

❖ This is why the elimination rule is adequate.

❖ Eg, Elimination rule for $List(T)$:

"For any family C , if C is inhabited for all canonical T -lists $nil(T)$ and $cons(T,a,l)$, then so is C for all T -lists."

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❖ Canonicity is lost in subsumptive subtyping.

❖ Eg,
$$\frac{A \leq B}{List(A) \leq List(B)}$$

❖ $nil(A) : List(B)$, by subsumption;

❖ But $nil(A) \neq$ any canonical B -list $nil(B)$ or $cons(B,b,l)$.

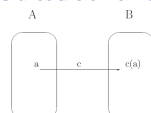
❖ The elim rule for $List(B)$ is inadequate: it does not cover $nil(A) \dots$

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Coercive subtyping: basic idea

❖ $A \leq B$ if there is a coercion c from A to B :



Eg. $Even \leq Nat$; $Man \leq Human$; $\Sigma(Man, handsome) \leq Man$; ...

❖ Subtyping as abbreviations:

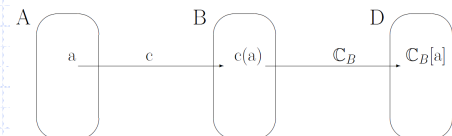
$a : A \leq_c B$

→ "a" can be regarded as an object of type B

→ $C_B[a] = C_B[c(a)]$, ie, "a" stands for "c(a)"

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Subtyping: basic need in TT semantics

- ❖ What about, eg,
 - ❖ "A man is a human."
 - ❖ "A handsome man is a man" ?
 - ❖ "Paul walks", with $p=[\text{Paul}] : [\text{handsome man}]$?
- ❖ Solution: coercive subtyping
 - ❖ $[\text{man}] \leq [\text{human}]$
 - ❖ $[\text{handsome man}] = \Sigma([\text{man}], [\text{handsome}]) \leq_{\tau_1} [\text{man}]$
 - ❖ $[\text{Paul walks}] = [\text{walk}](p) : \text{Prop}$
because
 - $[\text{walk}] : [\text{human}] \rightarrow \text{Prop}$ and
 - $p : [\text{handsome man}] \leq_{\tau_1} [\text{man}] \leq [\text{human}]$

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Coercive subtyping: adequacy etc.

- ❖ Inadequacy of subsumptive subtyping
 - ❖ Canonical objects
 - ❖ Canonicity: key for TTs with canonical objects
 - ❖ Subsumptive subtyping violates canonicity.
- ❖ Adequacy of coercive subtyping
 - ❖ Coercive subtyping preserves canonicity & other properties.
 - ❖ Conservativity (Luo & Soloviev 2002; Xue, Luo & Adams 2011)
- ❖ Historical development and applications in CS
 - ❖ Formal presentation (Luo 1997/1999)
 - ❖ Implementations in proof assistants: Coq, Lego, Plastic, Matita

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IV. Coercive subtyping in TT semantics

1. Need for subtyping (earlier slides)
2. Sense enumeration/selection via. overloading
3. Coercion contexts and local coercions
4. Dot-types and copredication
5. Structured lexical entries as Σ -types

Notes:

- ❖ Focus on representation mechanisms, rather than NL semantic treatments.
- ❖ However, linguistic examples, rather than formal details.

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2. Sense selection via overloading

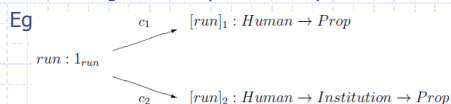
- ❖ Sense enumeration (cf, Pustejovsky 1995 and others)
 - ❖ Homonymy
 - ❖ Automated selection
 - ❖ Existing treatments (eg, Asher et al via +-types)
 - ❖ For example,
 1. John runs quickly.
 2. John runs a bank.
- with homonymous meanings
1. $[\text{run}]_1 : \text{Human} \rightarrow \text{Prop}$
 2. $[\text{run}]_2 : \text{Human} \rightarrow \text{Institution} \rightarrow \text{Prop}$
- "run" is overloaded – how to disambiguate?

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Overloading via coercive subtyping

- ❖ Overloading can be represented by coercions



- ❖ Homonymous meanings can be represented.
- ❖ Automated selection according to typings

Question: What if typings cannot disambiguate (eg, bank)?
A solution: Local coercions

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3. Coercion contexts and local coercions

- ❖ Coercion contexts
 - $x:C, \dots, A \leq_c B, \dots \vdash \dots$
 - ❖ Useful in representing context-sensitivity
 - ❖ Eg, reference transfer
 - The ham sandwich shouts.*
 - This can be interpreted in a context that contains, eg, $[\text{sandwich}] < [\text{human}]$ that coerces sandwich into the person who has ordered a sandwich.
- Remark: "coherent contexts" needed, not just valid contexts.
(Formal details omitted.)

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❖ Local coercions (in terms/judgements)

coercion $A \leq_c B$ in t

❖ Useful in disambiguation

- ❖ Eg, "bank" has different meanings in
 - (1) the bank of the river
 - (2) the richest bank in the city
- ❖ We might consider two coercions:

$$c_1 : 1_{\text{bank}} \rightarrow \text{Type} \quad c_1(\text{bank}) = [\text{bank}]_1$$

$$c_2 : 1_{\text{bank}} \rightarrow \text{Type} \quad c_2(\text{bank}) = [\text{bank}]_2$$

But this is **incoherent!**

❖ Solution: local coercions

- ❖ Rather than two coercions for "bank" in the same context, (which is incoherent), we can use

coercion $1_{\text{bank}} \leq_{c_1} \text{Type}$ in [(1)]

coercion $1_{\text{bank}} \leq_{c_2} \text{Type}$ in [(2)]

4. Dot-types and copredication

❖ Dot-types in Pustejovsky's GL theory

- ❖ Example: PHY•INFO
- ❖ $\text{PHY}\bullet\text{INFO} \leq \text{PHY}$ and $\text{PHY}\bullet\text{INFO} \leq \text{INFO}$

❖ Copredication

"John picked up and mastered the book."

[pick up] : Human \rightarrow PHY \rightarrow Prop
 \leq Human \rightarrow PHY•INFO \rightarrow Prop
 \leq Human \rightarrow [book] \rightarrow Prop
 [master] : Human \rightarrow INFO \rightarrow Prop
 \leq Human \rightarrow PHY•INFO \rightarrow Prop
 \leq Human \rightarrow [book] \rightarrow Prop

Remark: CNs as types in type-theoretical semantics – so things work.

Modelling dot-types in type theory

❖ What is A•B?

- ❖ Inadequate accounts (cf, (Asher 08)):
 - ❖ Intersection type
 - ❖ Product type

❖ Proposal (SALT20, 2010)

- ❖ A•B as type of pairs that do not share components
- ❖ Both projections as coercions

❖ Implementation

- ❖ Being implemented in proof assistant Plastic by Xue.

$$\frac{A : \text{Type} \quad B : \text{Type} \quad \mathcal{C}(A) \cap \mathcal{C}(B) = \emptyset}{A \bullet B : \text{Type}}$$

$$\frac{a : A \quad b : B}{(a, b) : A \bullet B} \quad \frac{c : A \bullet B}{p_1(c) : A} \quad \frac{c : A \bullet B}{p_2(c) : B} \quad \frac{a : A \quad b : B}{p_1((a, b)) = a : A} \quad \frac{a : A \quad b : B}{p_2((a, b)) = b : B}$$

$$\frac{A \bullet B : \text{Type}}{A \bullet B <_{p_1} A : \text{Type}} \quad \frac{A \bullet B : \text{Type}}{A \bullet B <_{p_2} B : \text{Type}}$$

Example

❖ "heavy book"

- ❖ [heavy] : Phy \rightarrow Prop
 \leq Phy•Info \rightarrow Prop
 \leq [book] \rightarrow Prop

❖ So,

[heavy book] = Σ ([book], [heavy])
 is well-formed!

Another example

❖ Privative adjectives (cf, material modifiers)

- ❖ Eg, "fake" in "fake gun"
- ❖ A tentative proposal: use disjoint union types
 - ❖ Eg, $[gun]^* = [real\ gun] + [fake\ gun]$
 - ❖ The injection operators inl/inr as coercions:
 $inl/inr : [real\ gun]/[fake\ gun] \rightarrow [gun]^*$
 - ❖ "A fake gun is not a gun."
- ❖ In most of the cases, we do not want this!
 - ❖ Local coercions! (In the situations we do, use + and the associated coercions.)

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5. Structured lexical entries

❖ Proposal (1998, 2011):

Basic CNs represented by Σ -types, eg,

$$[book] = \Sigma \left\{ \begin{array}{l} Arg : \text{PHY} \bullet \text{INFO} \\ Qualia : \Sigma \left\{ \begin{array}{l} Formal : Hold(p_1(Arg), p_2(Arg)) \\ Telic : R(Arg) \\ Agent : \exists h:Human.W(h, Arg) \end{array} \right\} \end{array} \right\}$$

❖ Remarks

- ❖ Should lexicon be complex/structured/generative?
- ❖ Non-CN lexical entries: a general structure (A, ϕ) ?
- ❖ Cf, Cooper's work on record types (2005, 2007)

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Future work

❖ Some interesting topics

- ❖ How well MTTs capture, eg, type presuppositions?
- ❖ How far may a type-theoretical semantics go?
- ❖ Proof-theoretic semantics for linguistic interpretations?

❖ Implementation for linguistic inference

- ❖ Extending mathematical vernacular
- ❖ Exploiting the existing TT-based proof technology

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(For further references, see the references of the associated notes.)

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